### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

## (19) World Intellectual Property Organization International Bureau



## | COLUMN |

## (43) International Publication Date 6 March 2003 (06.03.2003)

### **PCT**

# (10) International Publication Number WO 03/018854 A2

(51) International Patent Classification<sup>7</sup>: C22C

(21) International Application Number: PCT/US02/27195

(22) International Filing Date: 27 August 2002 (27.08.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

60/315,261	27 August 2001 (27.08.2001)	US
60/315,544	28 August 2001 (28.08.2001)	US
60/358,412	15 February 2002 (15.02.2002)	US
60/356,765	15 February 2002 (15.02.2002)	US
60/357,136	19 February 2002 (19.02.2002)	US
60/375,023	20 February 2002 (20.02.2002)	US
60/380,259	26 April 2002 (26.04.2002)	US

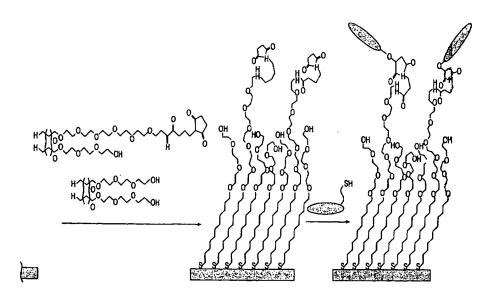
- (71) Applicant: SURFACE LOGIX, INC. [US/US]; 50 Solders Field Place, Brighton, MA 02135 (US).
- (72) Inventors: HODNELAND, Christian; 216 Saint Paul Street # 501, Brookline, MA 02446 (US). CAMPBELL, Stewart; 4 Sheehan Circle, Framingham, MA 01701 (US).

DUFFY, David; 18 Forest Street, Cambridge, MA 02140 (US). AGOSTO, Melina; 50 Forest Street #2, Cambridge, MA 02140 (US). WANG, Evelyn; 705 Graisbury Avenue, Haddonfield, NJ 08033 (US).

- (74) Agents: LAVENUE, Teresa et al.; Kenyon & Kenyon, 1500 K Street N.W. Suite 700, Washington, DC 20005 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: IMMOBILIZATION OF BIOLOGICAL MOLECULES ONTO SURFACES COATED WITH MONOLAYERS



(57) Abstract: The present invention provides an article for immobilizing functional organic biomolecules through a covalent bond to a thiolate monolayer on a coinage metal surface. Also provided are methods for making the article and methods for the immobilization of functional organic biomolecules on the article. The thiolate monolayer contains two moieties, one having an inert group that is resistant to reacting with biomolecules and one having a covalent bond forming group that reacts with the functional organic biomolecule to covalently immobilize it on the monolayer.



### WO 03/018854 A2



### Published:

 without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

# IMMOBILIZATION OF BIOLOGICAL MOLECULES ONTO SURFACES COATED WITH MONOLAYERS

### RELATED APPLICATIONS

This application claims priority to provisional applications, 60/315,261 (filed 8/27/01); 60/315,544 (filed 8/28/01); 60/358,412 (filed 2/15/02); 60/356,765 (filed 2/15/02); 60/357,136 (Filed 2/19/02); 60/375,023 (filed 2/20/02); and 60/380,259 (4/26/02).

### FIELD OF THE INVENTION

The present invention relates generally to immobilizing biomolecules on an article having a monolayer. The invention also provides the articles, methods of making the article, controlling the density of the immobilized biomolecules and methods for characterizing the density.

15

20

10

### **BACKGROUND OF THE INVENTION**

There is much interest in immobilizing biològical materials such as polypeptides, oligonucleotides, carbohydrates, lipids and cells onto surfaces because of the potential application of such surface bound materials. For example, these materials may be useful in diagnostic devices and instruments for medical and pharmaceutical research. Accordingly, a variety of techniques for immobilizing materials, such as proteins, onto surfaces have been investigated. See Table 1 of Blawas, A.S.; Reichert "Protein Patterning" Biomaterials, 1998, 19, 595-609 and cited references.

Proteins adsorb onto hydrophobic surfaces and this phenomenon has been used to immobilize proteins for study onto glass slides. However, the adsorption may not be sufficiently stable as the proteins tend to leach from the surface when brought into contact with solutions. Also, it may be difficult to selectively position and orient a protein by adsorption, and adsorption may cause partial denaturation.

5

10

15

20

Another studied non-covalent immobilization method uses the tight binding interaction between biotin and streptavidin to immobilize biotinylated molecules. See, e.g. Blawas, A.S.; Olivier, T.F.; Pirrung, M.C.; Reichert, W.M. Langmuir 1998, 14, 4243-4250. However, the large size of streptavidin and its multiple binding sites for biotin may result in surfaces having ill-defined ligand composition.

Known covalent immobilization methods may also suffer from selectivity problems. A high selectivity in binding between a molecule of interest and a solid support is important because binding not only immobilizes the molecule but also orients it with respect to the surrounding environment. Proper orientation of a protein insures that a binding site does not face the surface, but is presented to the surrounding environment where it can react with a binding partner.

Immobilizing proteins by a method that results in proper orientation would increase the sensitivity of assays designed to detect a binding event to the immobilized protein.

Certain types of known covalent immobilization techniques involve

reacting the molecule of interest, or a linker molecule with a polymeric substrate. For example, peptides, polypeptides and proteins may be immobilized on cellulose filter paper by taking advantage of the high density of hydroxyl groups in cellulose. However, cellulose has many chemically distinct reactive sites, so the support itself may not be an ideal substrate for immobilizing a polypeptide or protein in a specific orientation.

5

15

20

Covalent attachment of polypeptides to polymers by reacting the polypeptide's amine terminus with an activated, surface-bound carboxylic acid derivative on the surface also is known. See, e.g. U.S. Patent No. 5,602,207.

Since many common amino acids have side chains capable of reacting with activated carboxylic acids, such as serine, threonine and lysine, immobilization of polypeptides by this method may not be highly selective. See, also, U.S. Patent No. 5,580,697 describing a method of modifying a polymer surface by C-H bond insertion of a nitrene derived from a perfluoronated aryl azide.

Self assembled monolayer (SAM) technology is being investigated as another type of immobilization platform. One method of immobilizing peptides and polypeptides at the C-terminus using a self-assembled monolayer employs an amine-terminated siloxane bonded to a glass substrate. This method of immobilization is exemplified in U.S. Patent No. 5,744,305. In this method, treatment of a clean glass slide with, for instance, 3-aminopropyl-triethoxysilane forms a monolayer of silanol-amine on the glass surface presenting the amine groups to the surrounding environment. Carboxylic acid groups on peptides,

polypeptides and proteins can form amide linkages to such surfaces. This method also may suffer from selectivity problems because of the abundance of carboxylic acid groups on polypeptides.

Macbeath, G., et al. in J. Am. Chem. Soc. 1999, 121, 7967-7968, further

describe a method for detecting binding events between a small molecule ligand and a protein. In their method, small molecule, thiol-derivatized ligands are immobilized onto glass slides in three steps. In the first step, glass slides were derivatized by silanizing one face of the slide with 3-aminopropyl-triethoxysilane.

In the second step, the silanized surface was treated with N-succinimidyl-3-maleimido-propionate to densely functionalize the entire surface of the slide with maleimide groups. Steps 1 and 2 are depicted below.

In the third step, thiol-functionalized ligands were deposited into 200-250 µm spots on the surface using a robotic microarrayer, whereupon they underwent Michael addition to the maleimide groups. The remainder of the surface was then blocked from reaction with thiol residues by washing with thioethanol. The slides were treated with fluorescently labeled protein conjugates of the small molecule ligands. Fluorescence detection demonstrated that the protein bound only to the spots of its immobilized small molecule conjugate. The

15

immobilization method of Schreiber et al. required two steps to prepare the glass slide for immobilizing small molecule ligands, and produces a surface that is densely functionalized with maleimide groups. See, also, Rowe, C.A. et al. disclosing the immobilization of NeutrAvidin in Anal. Chem. 1999, 71, 3846-3852.

U.S. Patent No. 5,077,210 describes a method of immobilizing active agents such as proteins on silanized substrates having surface hydroxyl groups, like glass. This patent describes a method for immobilizing a protein via a free amino group in three steps. A glass substrate is silanized with a thiol-terminated alkyl siloxane, thus exposing the thiol groups to the environment. The thiol groups are then reacted with a heterobifunctional compound having a thiol-reactive group and an amino reactive group. In the third step, the immobilized amine reactive groups are reacted with a free amine of the active agent or protein.

Additionally, his-tagged proteins have been immobilized on a flat gold surface by forming Nickel metal chelate complexes *via* the imidazole rings of histidine and the carboxyl groups of a thiol bound to the surface through sulfur. See Sigal G.B., et al., Anal. Chem 1996, 68, 490-97. The thiol of formula:

20

5

10

15

was immobilized on gold coated glass slides by immersing the glass slide in a solution containing the linker molecule in ethanol. The density of the linker molecules on the surface was adjusted by providing another, triethylene glycol terminated, thiol (HS-(CH<sub>2</sub>)<sub>11</sub>-(OCH<sub>2</sub>CH<sub>2</sub>)<sub>3</sub>-OH) in the solution.

Wilner et al. discloses separating thiol and maleimide groups on separate molecules that were attached to the surface in serial fashion. Wilner, I. et al., J. Am. Chem. Soc. 1999, 121, 6455-6468. Wilner et al. were investigating the electrochemical behavior of a gold electrode that had been coated with an electrically active protein. The protein was bound to the gold electrode by first immersing the electrode in a solution of cysteamine to coat the electrode with cysteamine (-SCH<sub>2</sub>-CH<sub>2</sub>NH<sub>2</sub>). The cysteamines were then reacted with the heterobifunctional linker N-succinimidyl-3-maleimidopropionate to produce a maleimide-modified surface. The two step sequence is depicted below.

15

5

10

The amount of protein bound to the maleimide surface was characterized by coulometric assay that gave an estimated surface coverage of 4.8 x 10<sup>-11</sup> mol cm<sup>-2</sup>. The formation of a tightly packed monolayer of thiolates generally requires more extensive Van der Waals contact between adjacent molecules than is

provided by the ethyl group of cysteamine.

5

10

15

20

Studies on the adsorption of linear alkanethiolates onto gold surfaces have demonstrated that self-assembled monolayers tend to develop from nuclei of adsorbed thiolate molecules. Van der Waals interactions between the alkyl chains stabilize the monolayer and promote tight packing. Ulman, A., Introduction to Thin Organic Films: From Langmuir-Blodgett to Self-Assembly (Academic Press: Boston, 1991). For these reasons, reducing the thiol concentration of a solution used to coat the surface would be ineffective for producing a surface uniformly coated with a monolayer of thiolate at a correspondingly lower density.

Though non-specific adsorption has been used to immobilize proteins purposefully in some research studies, Blawas A.S. et al. Langmuir 1998, 14, 4243-4250, when a covalent immobilization technique is used to immobilize proteins in a pattern on a surface, non-specific protein adsorption may be problematic. It can reduce the sensitivity of an assay. Further, when surface-bound protein is used to attach cells to a surface, non-specific protein binding may cause cells to adhere randomly to the surface. Blawas, A.S.; Reichert "Protein Patterning" Biomaterials, 1998, 19, 595-609.

Another method for resisting non-specific adsorption of peptides, polypeptides, proteins, nucleotides, cells and the like involves the use of thiols having a proximal linear alkyl segment for promoting self assembly and a distal polyethylene glycol (polyethoxy) segment to resist non-specific adsorption of biological materials. Singhvi R. et al. "Engineering Cell Shape and Function"

Science, 1994, 264, 696-698.

5

10

15

U.S. Patent No. 5,843,650 describes a method of amplifying target nucleic acids in a test sample. In the method, a pair of oligonucleotide probes are used. When the probe pairs are hybridized to a target polynucleotide, chemical functional groups on the probes reacted to bind the probes together. This patent discloses that functional groups that can be used to bind the probes together while hybridized to the target include nucleophilic/electrophilic pairs, like thiol and maleimide, and Diels Alder reacting pairs.

International Publication No. WO 98/30575 describes the Diels Alder reaction as a way to conjugate macromolecules with other molecular entities.

### SUMMARY OF THE INVENTION

The present invention provides an article having a coinage metal surface and a mixed self-assembled monolayer surface covering at least a portion of the coinage metal surface, the mixed self-assembled monolayer surface comprising a first monolayer moiety and a second monolayer moiety, the first monolayer moiety comprising a thiolate bearing a covalent bond forming reactive group, and a second monolayer moiety comprising a thiolate bearing an inert group.

The covalent bond forming reactive group of the first monolayer moiety

20 may be a Michael acceptor. Preferred Michael acceptors include quinone,

maleimide, α-β unsaturated ketone, α-β unsaturated amide and α-β unsaturated

ester. The maleimide may be a radical having a formula:

wherein R<sub>1</sub> is hydrogen or an electron withdrawing group.

5

10

15

20

The electron withdrawing group may be carboxylic acid derivative selected from the group consisting of carboxylic acid, ester, amide, carbamate, nitrile, acyl halide and imidazolide.

The inert group of the second monolayer moiety resists non-specific adsorption of a biomolecule such as polyethylene glycol.

The article may have the first and second monolayer moieties are present in a predetermined ratio of the first monolayer moiety to the second monolayer moiety. For example, the first monolayer moiety is 10 mole percent or less of a total of the first and second monolayer moieties on the surface. In another embodiment, the first monolayer moiety is 5 mole percent or less of the total monolayer moieties on the surface. In yet another embodiment, the first monolayer moiety is from about 0.01 mole percent to about 2 mole percent of the total monolayer moieties on the surface.

The present invention also provides a process for making an article having a coinage metal surface region and a mixed self-assembled monolayer of thiolate on the surface region, the process comprising a contacting step of contacting the coinage metal surface with a solution comprising a mixture of a first monolayer forming disulfide moiety bearing a covalent bond forming reactive group, and a

second monolayer forming disulfide moiety bearing an inert group, the mixture in an inert solvent, wherein the contacting step forms a mixed self-assembled monolayer of thiolates on the surface region, wherein the first monolayer forming disulfide moiety reacts with the coinage metal surface region to form a first monolayer thiolate moiety bearing the covalent bond forming reactive group, and the second monolayer forming disulfide moiety reacts with the coinage metal surface region to form a second monolayer thiolate moiety bearing the inert group.

The asymmetric disulfide compound preferrably has a formula:

10

15

20

5

wherein

 $R_1$  is hydrogen or an electron withdrawing group,  $R_2$  is a saturated or unsaturated, substituted or unsubstituted hydrocarbyl,  $R_3$  is a saturated or unsaturated, substituted or unsubstituted hydrocarbyl, and W is a hydrophilic or hydrophobic substituent.

In another embodiment,  $R_2$  and  $R_3$  each are linear and formed of a first alkyl segment bonded to a sulfur atom and a second segment selected from the group consisting of polyalkoxy, polyperfluoroalkyl, poly(vinyl alcohol) and polypropylene sulfoxide bonded to the alkyl segment.  $R_2$  may be of the formula:  $-(CH_2)_m-(O(CH_2)_n)_o-NHC(O)-(CH_2)_p$  and wherein m is a number from

10 to 24, n is 2, o is a number from 1 to 10 and p is a number from 1 to 16.  $R_3$  may be of the formula:  $-(CH_2)_i-((CH_2)_j-O)_k$ , wherein i is a number from 10 to 24, j is 2, and k is a number from 1 to 10. W may be a hydroxyl, sulfonate, hydroxy substituted  $C_1-C_4$  alkyl or methyl.

5

10

15

20

In another embodiment, there is a process for making an article having a coinage metal surface region and a mixed self assembled monolayer of thiolate, the process comprising a contacting step of contacting the coinage metal surface with a solution comprising a mixture of a first monolayer forming disulfide moiety bearing a covalent bond forming reactive group, and a second monolayer forming disulfide moiety bearing an inert group, the mixture in an inert solvent, wherein the solution comprises the first and second monolayer forming disulfide moieties in a predetermined ratio of the first monolayer forming disulfide moiety to the second monolayer forming disulfide moiety, wherein the contacting step forms a mixed self-assembled monolayer of thiolates on the surface region, wherein the first monolayer forming disulfide moiety reacts with the coinage metal surface region to form a first monolayer thiolate moiety bearing the covalent bond forming reactive group, and the second monolayer forming disulfide moiety reacts with the coinage metal surface region to form a second monolayer thiolate moiety bearing the inert group, wherein the predetermined ratio of the first and second monolayer forming disulfide moieties in the solution determines the ratio of the first and second monolayer thiolate moieties on the coinage metal surface region.

The present invention also provides a process for immobilizing a functional organic molecule in a predetermined density on a mixed monolayer surface, the mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group, wherein the first monolayer moiety is present in a predetermined density in the mixed monolayer surface, the process comprising the step of contacting the mixed monolayer surface with the functional organic molecule, wherein the contacting step forms a covalent bond between the functional organic molecule and the covalent bond forming reactive group of the first monolayer moiety to immobilize the functional organic molecule, and wherein the density of the first monolayer moiety in the mixed monolayer surface. In another embodiment, the covalent bond formation does not require an enzymatic reaction.

In another embodiment, there is provided a process for immobilizing a protein in a predetermined density on a mixed monolayer surface, wherein the protein is a fusion protein comprising a reactive group and a protein, the process comprising the step of contacting the mixed monolayer surface with a bifunctional affinity tag and the fusion protein, the mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group, wherein the first monolayer moiety is present in a predetermined density in the mixed monolayer surface, wherein the bifunctional affinity tag comprises a first reactive group and

a second reactive group, the first reactive group comprising a covalent bond forming reaction partner to react with the covalent bond forming reactive group of the first monolayer moiety, and the second reactive group comprises a reaction partner to react with the reactive group of the fusion protein; wherein the contacting step forms a covalent bond between the first reactive group of the bifunctional affinity tag and the covalent bond forming reactive group of the first monolayer moiety to immobilize the bifunctional affinity tag, and wherein the contacting step forms an association between the second reactive group of the bifunctional affinity tag and the reactive group of the fusion protein to immobilize the fusion protein, and wherein the density of the immobilized fusion protein is determined by the density of the first monolayer moiety in the mixed monolayer surface.

The present invention also provides a process for immobilizing a protein in a predetermined density on a mixed monolayer surface, wherein the protein is a fusion protein comprising a covalent bond forming reactive group and a protein, the process comprising the step of contacting the mixed monolayer surface with a bifunctional affinity tag and the fusion protein, the mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group, wherein the first monolayer moiety is present in a predetermined density in the mixed monolayer surface, wherein the bifunctional affinity tag comprises a first reactive group and a second reactive group, the first reactive group comprising a covalent bond

of the first monolayer moiety, and the second reactive group comprises a reaction partner to react with the reactive group of the fusion protein, wherein the contacting step forms a covalent bond between the first reactive group of the bifunctional affinity tag and the covalent bond forming reactive group of the first monolayer moiety to immobilize the bifunctional affinity tag, and wherein the contacting step forms a covalent bond between the second reactive group of the bifunctional affinity tag and the reactive group of the fusion protein to covalently immobilize the fusion protein, and wherein the density of the immobilized fusion protein is determined by the density of the first monolayer moiety in the mixed monolayer surface.

5

10

15

20

Preferrably, the covalent bond forming group of the fusion protein is cutenase, and wherein the first reactive group of the bifunctional affinity tag is a thiol, and wherein the second reactive group of the bifunctional affinity tag is paranitrophenolphosphate.

Another embodiment of the present invention provides a process for immobilizing a functional organic molecule in a predetermined density on a mixed monolayer surface, the mixed monolayer surface comprising a first monolayer moiety having a switchable covalent bond forming reactive group and a second monolayer moiety having an inert group, wherein the switchable covalent bond forming reactive group has a reactive state and an unreactive state, wherein an activating signal turns the unreactive state to the active state to turn on

signal turns the reactive state to the unreactive state to turn off the switchable covalent bond forming reactive group, the process comprising the step of contacting the mixed monolayer surface with the functional organic molecule, wherein the contacting step comprises providing the activating signal to turn on the switchable covalent bond forming reactive group to allow a covalent bond to form between the covalent bond forming reactive group of the first monolayer moiety and the functional organic molecule to immobilize the functional organic molecule, allowing the covalent bond formation to take place for a length of time, after the length of time, providing the quieting signal to turn off the switchable covalent bond forming reactive group, the length of time determining the density of the immobilized functional organic molecule on the mixed monolayer surface.

5

10

15

20

When the functional organic molecule is a carbohydrate, it preferrably comprises a reducing end, the reducing end comprising a peracteylated sugar having an n-pentenyl group.

The carbohydrate may be derivatized to convert the peracteylated sugar having an n-pentenyl group on the reducing end, to a thiolacetate derivative sugar. The thiolacteylated derivative sugar may be saponified under oxygen free conditions to yield after a neutralization step, a deprotected carbohydrate containing a thiol group at the reducing end.

The activating and the quieting signals are selected from the group consisting of electrical impulses, changes in pH, and exposure to light.

The present invention also provides a process for measuring density of covalent bond forming reaction groups on a mixed monolayer surface. The mixed monolayer surface comprises a first monolayer moiety comprising an electrically active compound to provide a detectable signal and a covalent bond forming reactive group, and a second monolayer moiety having an inert group, the process comprising measuring the detectable signal, and correlating the measurement of the signal to the density of the first monolayer moiety, and correlating the density of the first monolayer moiety to the density of the covalent bond forming reaction groups.

5

10

15

20

A preferred electrically active compound is a bis-cyclopentadienyl metallocene having a cyclopentadienyl ring with a substituent that contains a thiol group. A more preferred electrically active compound is ferrocene-2-carboxylic acid (2-mercapto-ethyl)-amide.

In another embodiment the process involves measuring density of immobilized functional organic molecules on a mixed monolayer surface, the mixed monolayer surface comprising a first monolayer moiety comprising an electrically active compound to provide a detectable signal and a covalent bond forming reactive, and a second monolayer moiety having an inert group, wherein the covalent bond forming reactive group reacts with the functional organic molecule to form a covalent bond to immobilize the functional organic molecule on the mixed monolayer surface, the process comprising measuring the detectable signal, and correlating the measurement of the detectable signal to the density of

the first monolayer moiety, and correlating the density of the first monolayer moiety to the density of the immobilized functional organic molecules.

5

10

15

20

Measuring the detectable signal may be performed by cyclic voltametry or other methods known in the art.

The present invention also provides a process for immobilizing a functional organic molecule on a mixed monolayer surface, comprising the step of contacting the mixed monolayer surface with the functional organic molecule, the mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group, wherein the contacting step forms a covalent bond between the functional organic molecule and the covalent bond forming reactive group of the first monolayer moiety to immobilize the functional organic molecule.

Preferrably the mixed monolayer surface comprises a predetermined ratio of the first monolayer moiety to the second monolayer moiety.

The present invention also provides a process for immobilizing a protein on a mixed monolayer surface wherein the protein is a fusion protein comprising a reactive group and a protein, the process comprising the step of contacting the mixed monolayer surface with a bifunctional affinity tag and the fusion protein, the mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group, wherein the bifunctional affinity tag comprises a first reactive group and a second reactive group, the first reactive group comprising a covalent bond

of the first monolayer moiety, and the second reactive group comprises a reaction partner to react with the reactive group of the fusion protein, wherein the contacting step forms a covalent bond between the first reactive group of the bifunctional affinity tag and the covalent bond forming reactive group of the first monolayer moiety to immobilize the bifunctional affinity tag, and wherein the contacting step forms an association between the second reactive group of the bifunctional affinity tag and the reactive group of the fusion protein to immobilize the fusion protein.

5

10

15

20

In one embodiment, the reactive group of the fusion protein is an antibody, and wherein the second reactive group of the bifunctional affinity tag is an antigen target of the antibody, and wherein the association is an antibody-antigen association.

In another embodiment, there is provided a process for immobilizing a protein on a mixed monolayer surface wherein the protein is a fusion protein comprising a covalent bond forming group and a protein, the process comprising the step of contacting the mixed monolayer surface with a bifunctional affinity tag and the fusion protein, the mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group, wherein the bifunctional affinity tag comprises a first reactive group and a second reactive group, the first reactive group comprising a covalent bond forming reaction partner to react with the covalent

bond forming reactive group of the first monolayer moiety, and the second reactive group comprises a covalent bond forming reaction partner to react with the covalent bond forming group of the fusion protein, wherein the contacting step forms a covalent bond between the first reactive group of the bifunctional affinity tag and the covalent bond forming reactive group of the first monolayer moiety to immobilize the bifunctional affinity tag, and wherein the contacting step forms a covalent bond between the second reactive group of the bifunctional affinity tag and the covalent bond forming group of the fusion protein to immobilize the fusion protein.

5

10

15

20

In a preferred embodiment the covalent bond forming group of the fusion protein is cutenase, and wherein the first reactive group of the bifunctional affinity tag is a thiol, and wherein the second reactive group of the bifunctional affinity tag is paranitrophenolphosphate.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a molecular scale representation of the structure of a self assembled monolayer prepared according to the invention.

FIG. 2 schematically depicts the synthetic route used to prepare asymmetric disulfide 1 used for forming surfaces presenting maleimide groups.

(a) trityl chloride, THF, 49%; (b) TsCl, pyr., CH<sub>2</sub>Cl<sub>2</sub>, 91%; (c) HN(CO<sub>2</sub>tBu)<sub>2</sub>, NaH, DMF, 72%; (d) TFA, EDT, PhOH, PhSMe, H<sub>2</sub>O; (e) 9, Et<sub>3</sub>N, MeOH; (f) 10, Et<sub>3</sub>N, DMF.

FIG. 3 is an overlay of surface plasmon resonance ("SPR") sensorgrams showing the selectivity of binding to a maleimide-derivatized surface prepared according to the invention after treatment with: (A) a biotinylated, thiol-containing peptide and then streptavidin; (B) a mixture of lysine and biotinylated, thiol-containing peptide, and then streptavidin; (C) mercaptoethanol, then the biotinylated, cysteine-containing peptide and then streptavidin; and (D) a biotinylated peptide having no free thiol functionality and then streptavidin.

5

10

15

20

FIG. 4 is a surface plasmon resonance sensorgram showing the lack of non-specific protein binding of a maleimide-derivatized surface prepared according to the invention. The SPR sensorgram was taken after the surface was treated with a biotinylated, thiol-containing peptide and a solution of the sticky protein fibrinogen.

FIG. 5 is an overlay of surface plasmon resonance sensorgrams showing the robustness of surfaces prepared according to the invention under typical assay conditions. Three maleimide-functionalized surfaces were treated with biotinylated, thiol-containing peptide and then exposed to the following conditions: (A) after treatment in PBS buffer for 4 hours; (B) control, no exposure; (C) after treatment with a solution of dithiothreitol and lysine in PBS buffer for 2 hours. Each surface was then treated with streptavidin and analyzed by SPR to assay for the proportion of biotinylated peptide remaining on the surface after exposure.

FIG. 6 schematically depicts the synthetic route used to prepare ferrocene-

thiol 13, which using the methods of the present invention, was used as an electrochemical tag to determine the density of maleimide groups within monolayers. (a) (i) EDC, NHS, CH<sub>2</sub>Cl<sub>2</sub>; (ii) compound 11, Et<sub>3</sub>N, DMF; (b) dithiothreitol ("DTT"), Et<sub>3</sub>N, MeOH.

5

10

15

20

FIG. 7 relates to the determination of the density of maleimide groups within the monolayers. SAMs formed from different ratios of disulfides 1 and 2 were treated with a solution of ferrocene-2-carboxylic acid (2-mercapto-ethyl) amide (hereinafter "ferrocene 13"), and then analyzed using cyclic voltammetry in 0.5 M KNO<sub>3</sub> at a scan rate of 200 mV/s relative to a Ag/AgCl reference. All potentials listed herein are relative to a Ag/AgCl reference. FIG. 7 A is a representation of a SAM after immobilization of ferrocene 13 to maleimide SAM. FIG. 7 B is normalized cyclic voltammograms of SAMs formed from different solution ratios of disulfide 1 (a disulfide bearing a ferrocene 13) (shown as a v/v percentage). The two waves centered at 480 mV correspond to the oxidation and reduction of the immobilized ferrocene molecules. From the normalized areas under the redox waves, the density of the immobilized ferrocene group, and therefore maleimide, was determined and depicted in FIG. 7 C. xsolution is the mole fraction of disulfide 1 (a disulfide bearing a ferrocene) relative to disulfide 2 (a disulfide bearing an inert group) in the solution from which the monolayers were formed, whereas x surface is the mole fraction of maleimide incorporated into the surface.

FIG. 8 illustrates the use of the maleimide SAM in a kinase assay. A

peptide substrate (IYGEFKKKC) of an src kinase was immobilized to the maleimide surface through the C-terminal cysteine residue, and the inhibition of the kinase by the drug staurosporine was monitored: FIG. 8A is a scan of p60<sup>c-src</sup> staurosporine IC<sub>50</sub> results. FIG. 8B is a plot of spot intensity, converted to % inhibition.

5

10

15

20

FIG. 9 illustrates that a tethered maleimide can react with a thiol to form a stable alkyl-thiol bond or with a diene to form new stable carbon-carbon bonds via a Diels-Alder reaction. FIG. 9 also shows a non-limiting example of the use of a maleimide to generate a two ligand surface by first reacting the maleimide with a thiol and the reacting the maleimide with a diene in a Diels Alder reaction.

FIG. 10 illustrates a general method of tagging carbohydrates with thiol reactive groups. Here GlcNAc is used as an example. (a) (i) AcSH, AIBN, dioxane; (ii) NaOH, water/dioxane.

FIG. 11 illustrates the formation of a surface presenting immobilized carbohydrates. SAMs presenting maleimide at 1-2% density are contacted with solutions (pH 6) containing thiol-tagged carbohydrates for 30 min. The resulting surface presents the carbohydrates in a well defined orientation at controlled densities.

FIG. 12 depicts the results of a Glycosyltransferase assay. The surface comprises three different carbohydrate substrates defined by columns and a control column (no substrate). Each row was reacted with a different concentration of β1,4-galactosyltransferase and a constant concentration of sugar

donor (UDP [ $^{14}$ C] galactose, 3.5  $\mu$ M). The reaction was detected by phosphorimaging. It is clear that only the immobilized GlcNAc was a substrate for this particular enzyme.

- FIG. 13 is depicts an electrochemical molecule that may be used in the present invention to determine the density of immobilized biomolecules.
  - FIG. 14 schematically depicts the synthetic route used to prepare to ethyl-4-nitrophenyl (8-mercapto-octyl)phosphonate 18 used to covalently bind cutinase to a SAM. Reagents and conditions: (a) Triethyl phosphite; (b) 1. (COCl)2, 2. 4-nitrophenol/Et3N; (c) thiolacetic acid, AIBN; (d) Hcl/MeOH.
- FIG. 15 depicts a rendition of an embodiment of the present invention where a fusion proteins has been immobilized.
  - FIG. 16 depicts an immobilized fusion protein where the immobilization occurs through a covalent bond between a cutenase and a paranitrophenolphospate.
  - FIG. 17 depicts a device for arraying biological materials, according to an example embodiment of the present invention. FIG. 17(a) depicts an unassembled view, while FIG. 17(b) depicts an assembled view.

15

- FIG. 18 depicts a device according to the present invention, and similar to the device depicted in FIG. 17, comprising registration pins.
- FIG. 19 shows a cross-sectional view of an assembled device according to another example embodiment of the present invention.
  - FIG. 20 depicts a device according to the present invention.

FIG. 21 depicts a device according to the present invention.

FIG. 22 depicts an "array of arrays" according to the present invention.

FIGs. 23(a)—(c) depict a process using two removable members, each having a plurality of well orifices, where the well orifices in one removable member are of a different size than the well orifices in the other removable member.

5

15

- FIG. 24 shows an assembled view of a device according to an embodiment of the present invention comprising two removable members defining a plurality of well orifices.
- 10 FIG. 25 shows a cross section of a device according to an embodiment of the present invention.
  - FIG. 26 depicts an embodiment of the present invention wherein a removable member forms a channel between two base plates. Different materials of interest may be immobilized on the surface of each base plate and allowed to interact through fluid or other materials in the channel.
  - FIG. 27 depicts an embodiment of the present invention wherein two removable members are sealed together to form a channel.
  - FIG. 28 depicts a removable member defining non-uniform well orifices, according to an embodiment of the invention.
- FIG. 29 depicts a removable member defining non-uniform well orifices, according to an embodiment of the invention.
  - FIG. 30 depicts a device according to an embodiment of the present

invention which comprises a removable member that does not have the same footprint as the base plate, but rather has a smaller footprint than the base plate.

- FIG. 31 depicts a base plate and removable member with registration pins.
- FIG. 32 depicts results of an assay performed using a device according to the present invention.
  - FIG. 33(a) depicts an array according to the present invention. FIG. 33(b) depicts results of an assay performed using devices according to the present invention.
- FIG. 34(a) and (b) depict the results of assays performed according to the present invention.
  - FIG. 35 depicts a process according to the present invention.
  - FIG. 36 depicts results of an assay demonstrating the sensitivity of assays performed using devices according to the present invention.
- FIG. 37 depicts results of an assay performed using a device according to

  the present invention. FIG. 37(a) depicts a portion of the developed base plate

  depicted in FIG. 37(c). FIG. 37(b) is a graph of the results of the assay.
  - FIG. 38 depicts results of assays demonstrating the use a device according to the present invention to perform many assays simultaneously.
- FIG. 39 depicts the results of an assay performed according to the present invention.
  - FIG. 40 is a representation of an exemplary technique, which may be used to immobilize biomolecules on surface 131.

FIG. 41(a)—(i) depicts a device according to the present invention that makes use of four removable members, each having a plurality of well orifices, where the well orifices in one removable member are at different locations than the well orifices in the other removable members.

FIG. 42 depicts a device according to the present invention.

5

20

- FIG. 43 shows a cross section of a device according to the present invention.
  - FIG. 44 depicts a device according to the present invention.
- FIG. 45 shows cross sections of devices according to the present invention.

#### DETAILED DESCRIPTION OF THE INVENTION

Some of the terms used in this disclosure have the following ascribed meanings.

An "aglycone" is the component of a glycoside, which is not a sugar.

An "asymmetric" disulfide is a disulfide that may be viewed as formed by covalent bonding of the sulfur atoms of two different third radicals.

A "carbohydrate" is a polyhydroxy aldehyde or ketone, or a substance that yields such compounds upon hydrolysis. Non-limiting examples of carbohydrates are monosaccharides, disaccharides, oligosaccharides, glycopeptides, glycoproteins, glycolipids and their derivatives. As used in this disclosure carbohydrates include polyhydroxy aldehydes and ketones whose aldehyde or

ketone functionality is reduced, such as alditols, cyclitols and their derivatives, and oxidized forms such as aldonic acids, uronic acids, aldaric acids, keto acids and their derivatives. M. Sznaidman, *Introduction to Carbohydrates in Bioorganic Chemistry: Carbohydrates*, p. 1-55 (S.M. Hecht, Ed, Oxford Press, 1999).

A "carboxylic acid derivative" is a carboxylic acid and "derivatives" thereof. Carboxylic acid derivatives include esters, amides, carbamates, nitriles, acyl halides and imidazolides.

A "coinage metal" is gold, silver, platinum and copper.

5

10

15

20

A "derivative" is a compound that can be obtained from another compound by a simple chemical process. Grant & Hackh's Chemical Dictionary 5th ed. (1987).

A "monolayer forming moiety" is a moiety that is a precursor molecule to a "monolayer moiety." For example, a "monolayer forming disulfide moiety" is a precursor molecule that when brought into contact with a coinage metal surface, forms a bond with the coinage metal to form a thiolate monolayer, herein referred to as a "monolayer thiolate moiety."

A "monolayer forming moiety bearing an inert group" is a precursor molecule to a "monolayer moiety bearing an inert group." For example, if the monolayer forming moiety bearing an inert group is a disulfide compound bearing an inert group, upon contact with a coinage metal surface, the disulfide compound bearing an inert group becomes a thiolate bearing an inert group or, as

referred to herein as a "monolayer thiolate moiety bearing an inert group."

Exemplary "monolayer forming disulfide moieties bearing an inert group" are disulfides of the formula R-(O-(CH<sub>2</sub>)<sub>y</sub>)<sub>z</sub>-(CH<sub>2</sub>)<sub>x</sub>-S-S-(CH<sub>2</sub>)<sub>x</sub>-((CH<sub>2</sub>)<sub>y</sub>-O)<sub>z</sub>-H, wherein x is a number from 10 to 24, y is preferably 2, z is a number from 1 to 10, and R is H or CH<sub>3</sub> or any inert group that resists non-specific adsorption of a biomolecule. A "monolayer forming disulfide moiety bearing an inert group" will also be referred to interchangeably throughout the specification as a "diluent disulfide." Similarly, a "monolayer thiolate moiety bearing an inert group" will also be referred to interchangeably throughout the specification as a "diluent thiolate."

5

10

15

20

A "monolayer forming moiety bearing a covalent bond forming reactive group" is a precursor molecule to a "monolayer moiety bearing a covalent bond forming reactive group." For example, if the monolayer forming moiety bearing a covalent bond forming reactive group is a disulfide compound bearing a covalent bond forming reactive group, upon contact with a coinage metal surface, the disulfide compound becomes a thiolate bearing a covalent bond forming reactive group or, as referred to herein as a "monolayer thiolate moiety bearing a covalent bond forming reactive group."

A "covalent bond forming reactive group" is any group that will react with a reaction partner to form a covalent bond. The covalent bond forming reactive group on a monolayer moiety will react with a reaction partner on a functional organic molecule to form a covalent bond and immobilize a functional organic

molecule on the monolayer. Exemplary reactions include, but are not limited to Michael additions or Diels Alder reactions. In the case of a Michael addition, an exemplary covalent bond forming reactive group may be a maleimide, which will react with a thiol on a functional organic molecule. The functional organic molecule may have to be derivatized to contain a thiol group. Other exemplary covalent bond forming reactive groups include, but are not limited to: carboxylic acids and amines; phosphonyl groups and esters; thiols and carboxylic acids; free amino groups and carboxylic acids; free amino groups and dicarboxylic acids; and sulfonyl groups and esters. For simplification and for purposes of illustration only, the figures depict the covalent bond forming reactive group as a maleimide that reacts in a Michael addition with a thiol group on a functional organic molecule. One skilled in the art will appreciate that any number of suitable chemistries may be employed to form a covalent bond. Some non-limiting examples are included above. Preferred chemistries include those where the reaction is well behaved, and has well understood reaction kinetics. Preferably the reaction goes to completion.

5

10

15

20

"Electron withdrawing groups" (alternatively "EWG") are well understood by those in the art to be collections of atoms (functional groups) that tend to withdraw electron density from atoms to which they are bonded. Non-limiting examples of EWGs include carboxylic acid derivatives, keto groups, nitro groups, such as but not limited to esters, amides, carbamates, nitriles, acyl halides, and imidazoles.

A "functional organic molecule" means an organic molecule that has a role in the functioning of a biological organism and molecules that selectively bond to such organic molecules. Functional organic molecules include oligopeptides, peptides, polypeptides, proteins (large polypeptides with tertiary structure), nucleotides, nucleosides, carbohydrates, lipids enzymes, enzyme substrates, ligands, receptors, antibodies, antigens, small molecules, and nucleic acids. These broad classifications of polymers and oligomers assume functional forms in organisms. Some proteins are enzymes, receptors or antibodies. Some carbohydrates are involved in cell surface recognition and therefore function as ligands to which receptor proteins bind. Functional organic molecules further include ligands and receptors, antibodies and antigens, enzymes and the like.

5

10

15

20

A "hydrocarbyl" means a fragment of a molecule that contains carbon and hydrogen. The term is intended to have a broad meaning that includes fragments comprising the elements carbon and hydrogen. Hydrocarbyl groups may have any number of heteroatoms in addition to carbon and hydrogen. Heteroatoms may be pendant, such as a carbonyl oxygen or the fluorine atoms of difluoromethylene. Heteroatoms also may be incorporated into a hydrocarbyl fragment, such as the nitrogen of triethylamine or the oxygen atom of diethyl ether or a polylalkoxy fragment.

A "ligand" is a molecule that binds specifically to another molecule.

A "Michael addition" is a conjugate addition reaction of a nucleophile to a carbon-carbon double bond conjugated to an electron withdrawing group. The

nucleophilic addition is often followed by proton abstraction or trapping of an electrophile by the carbon atom across the double bond from the carbon atom undergoing the addition. See generally, Mundy, B.P.; Ellerd, M.G. Name Reactions and Reagents in Organic Synthesis (John Wiley & Sons: New York 1988) and March, J. Advanced Organic Chemistry: Reactions Mechanisms and Structure (John Wiley & Sons: New York, 4th ed. 1992).

5

10

15

20

A "Michael acceptor" is an electrophilic compound having a carbon-carbon double bond conjugated to an electron withdrawing group that can participate in a Michael addition. Michael acceptors include, but are not limited to quinones, maleimides,  $\alpha$ - $\beta$  unsaturated ketones,  $\alpha$ - $\beta$  unsaturated amides, and  $\alpha$ - $\beta$  unsaturated esters.

A "polypeptide" is an oligomer or polymer of amino acids bound by peptide bonds formed by the condensation of the amino group of one amino acid with the carboxyl group of another. The term "peptide" is also used in this disclosure to refer to amino acid oligomers and short chained polymers of less than about 50 amino acids. These terms have well understood meaning to those skilled in the art. When peptides containing ten amino acids or less are intended, the term "oligopeptide" shall be used. Hawley, G.G. *The Condensed Chemical Dictionary* 759 (Van Nostrand Reinhold Co.: New York 1981).

A "small molecule" as used herein means small organic or non-organic structures having molecular weights of 1,000 daltons or less.

A "switchable covalent bond forming group" as used herein means a

group that can upon the introduction of a certain stimulus, be activated to bond to a functional organic molecule through various chemical reactions to achieve a covalent bond. For example, the stimulus may be an electrical impulse, a change in temperature, pH or the like. A "reversible switching group," is one that can be switched "on" to an active state from an unreactive state, and that can be switched "off" from an active state to an unreactive state. A signal that turns the active state on is referred to herein as an "activating signal." A signal that turns the active state off is referred to herein as a "quieting signal." An exemplary reversible switchable group is a quinone. Quinones can be modulated electrochemically -- they can be turned on or off by either adding or removing. electrons. When a quinone is in its oxidized state (electrons are removed), it is in its active state. When the quinone is reduced (electrons are added), it is in its unreactive state. An "irreversible switching group" is one that is initially unreactive, but upon exposure to a signal, changes to its active state. Often the signal works to break a bond and thus release a group that rendered the compound unreactive. For example, nitroveratryloxycarbonyl ("NVOC") is a photolabile group that is removable by light. NVOC groups are commonly used in photochemistry to protect amines, carboxylic acids or hydroxyl groups. A compound is prepared to have a covalent attachment of a NVOC group at these sites. The NVOC group renders the compound unreactive at these sites. When it is desired to activate the compound, light is used cleave off the NVOC groups and render the compounds active. When a light is shined on these compounds,

5

10

15

20

the NVOC group is released to expose the amines, carboxylic acids or hydroxyl groups thus allowing them to participate in a subsequent reaction.

A "thiol" is an organic compound that contains a -SH functional group, also known as a mercaptan. In this disclosure, thiol is also used to refer to the -SH functional group as is conventional in the art. The thiol functional group is also known as sulfhydryl and mercapto. Whether the term thiol refers to a compound or a functional group will be clear from the context.

5

10

15

20

"Thiolate" refers to a sulfur anion singly bonded to a carbon atom and to compounds containing a sulfur anion singly bonded to a carbon atom. The term thiolate is also used to refer to the organic constituent of a self-assembled monolayer formed by contacting a thiol or disulfide with a coinage metal surface, without inferring anything about the distribution of electrons in the coinage metal surface-S bond (such as for example, the Au-S bond).

The term "thiyl fragment" is used to refer to a fragment (syn. moiety, radical) of a disulfide that includes one of the sulfur atoms of the disulfide group and the substituent bonded to that sulfur atom.

Those skilled in the art of surface chemistry will appreciate that the products of reactions performed on surfaces can be difficult to characterize.

Routine solution phase characterization techniques like <sup>1</sup>H NMR are not available for characterization of surface bound reaction products. An improvement that reduces the number of chemical reactions that are performed on surfaces to prepare them for a particular application should provide surfaces with well-

defined characteristics and more reproducible characteristics between surfaces prepared in the same manner. The present invention fulfills this need.

The present invention provides a process for making an article useful for the immobilization of biomolecules. This process uses a technique of forming a self-assembled monolayer of thiolates on a coinage metal surface. The inventive method enables the immobilization of covalent bond forming reactive groups, such as Michael acceptors and others, with fewer manipulative steps on the surface than are required by methods known in the art. The need to derivatize a pre-formed SAM with a covalent bond forming reactive group is avoided. Avoiding the derivatization step obviates concern about the completeness of the derivatization and the composition of the surface after the derivatization.

5

10

15

20

Accordingly, the present invention provides a process for immobilizing a functional organic molecule on a coinage metal surface by forming a monolayer of thiolates on the surface wherein at least a portion of the thiolates are functionalized with a covalent bond forming reactive group. A significant feature of the invention is that the monolayer is formed in one step by reacting the surface with a disulfide compound bearing a covalent bond forming reactive group. The covalent bond forming reactive group reacts with a functional organic molecule to covalently bond it to the thiolate monolayer (FIG. 1), resulting in immobilization of the functional organic molecule on the surface.

The invention will be further illustrated with gold surfaces since gold/thiolate monolayers are the most thoroughly studied self-assembled

monolayers with which the present invention is most immediately concerned.

While a gold surface may be the surface of a solid gold article, gold surfaces
produced by surface engineering are conventionally gold coatings over substrates
like glass. Glass articles, like microscope slides and coverslips, can be coated
with a layer of gold by vapor deposition of an adhesive layer of titanium onto a
clean glass surface of the article followed by vapor deposition of gold onto the
adhesive layer. Instrumentation for applying a gold coating to a glass substrate is
commercially available from Pharmacia Biosensors.

5

10

15

20

Underneath the gold surface, the article may comprise any material so long as the gold surface adheres to the article to render it useful as a support for immobilizing functional organic molecules. Further, the article may be useful as a research or diagnostic tool, or any other appropriate application that may be found for the article. For instance, polycarbonate and polyurethane, in addition to glass, are suitable substrates that can be coated with gold according to methods well known in the art.

According to the process, the gold surface is contacted, *e.g.* by immersion, with a solution of a monolayer forming moiety, preferably a disulfide.

Conventionally, disulfide and thiol solutions that are used to prepare self-assembled monolayers are dilute, on the order of 10<sup>-3</sup> M. Thus, the solution concentration is preferably about 9 x 10<sup>-3</sup> M in total disulfide concentration or less, and are more preferably about 1 x 10<sup>-3</sup> M or less. An advantage of using disulfides as the monolayer forming moiety rather than thiols is that when using a

thiol, a self-reaction may occur to produce an ill-defined monolayer having dimer and oligomer molecules incorporated therein. By using a disulfide rather than a thiol as the molecular precursor for forming the monolayer, the invention prevents self-reaction of the precursor. Further, providing a disulfide functionalized with a covalent bond forming reactive group as the precursor, a thiolate monolayer will be formed having the covalent bond forming reactive group present at the surface.

5

10

15

20

Accordingly, one embodiment of the preset invention provides a process for making an article having a coinage metal surface region and a mixed self-assembled monolayer of thiolate on the surface region. The process involves contacting the coinage metal surface with a solution containing a mixture of two monolayer forming disulfide moieties in an inert solvent.

The solvent is one that allows the disulfides to form a monolayer when they contact the coinage metal surface. Ethanol and methanol are two such solvents. One skilled in the art will appreciate and understand other solvents that may work. For instance, a solvent such as DMSO is not suitable as it will not allow a monolayer to form.

The first monolayer forming disulfide moiety bears a covalent bond forming reactive group. The second monolayer forming disulfide moiety in the solution mixture bears an inert group. For simplification and ease of understanding, the monolayer forming disulfide moiety bearing an inert group may be referred to herein as a diluent disulfide, since it will not be able to react

with a functional organic molecule to form a covalent bond to immobilize the functional organic biomolecule. When the monolayer forming disulfide moieties contact the surface region, a mixed self-assembled monolayer of thiolates is formed on the surface region. The first monolayer forming disulfide moiety bearing a covalent bond forming reactive group reacts with a coinage metal surface region to form a first monolayer thiolate moiety bearing a covalent bond forming reactive group. The second monolayer forming disulfide moiety reacts with the coinage metal surface region to form a second monolayer thiolate moiety bearing an inert group (also referred to as a diluent thiolate).

5

10

15

20

Any disulfides can be used in the present invention. Non-limiting examples include symmetric and asymmetric disulfides. Preferably, the disulfide used as the monolayer forming moiety bearing the covalent bond reacting group will be an asymmetric disulfide. This asymmetric disulfide preferably has at one end a covalent bond forming reactive group and at the other end an inert group.

Symmetric disulfides may be prepared conventionally by oxidizing an ω-amino-functionalized thiol like HS-(CH<sub>2</sub>)<sub>a</sub>-(OCH<sub>2</sub>CH<sub>2</sub>)<sub>b</sub>-NH<sub>2</sub>, wherein a and b each independently may vary widely, e.g. from 0 to 24 (but a or b can not both be 0) or higher homologs thereof (but wherein a is preferable 6-24 and b is preferably 1-10) to form a disulfide and then reacting the amine group with a compound having a covalent bond forming reactive group, such as a Michael acceptor, and an activated carboxyl group such as compound 10 in FIG. 2. By using a symmetric disulfide, the gold surface can be coated exclusively with

thiolates that present the covalent bond forming reactive groups, or in this case the Michael acceptor, at the surface. For some applications, such high density may be desirable, but for providing a surface to covalently immobilize proteins, carbohydrates and other biological material a much lower density is preferred. Thus, asymmetric disulfides are preferred in these cases.

The disulfide compounds may also be asymmetric, preferably having one covalent bond forming reactive group such as a Michael acceptor on one end and an inert group on the other. Preferred asymmetric disulfides are maleimide substituted disulfides of the formula:

10

15

20

5

wherein  $R_2$  and  $R_3$  are saturated or unsaturated, substituted or unsubstituted hydrocarbyl and  $R_1$  is a hydrogen or an electron withdrawing group and W is a hydrophilic or hydrophobic substituent. W may also be selected from the group consisting of hydroxyl, sulfonate, hydroxy substituted  $C_1$ - $C_4$  alkyl and methyl.  $R_2$  may be a chain of the formula --( $CH_2$ )<sub>m</sub>-( $O(CH_2)$ <sub>n</sub>)<sub>o</sub>-NHC(O)-( $CH_2$ )<sub>p</sub> wherein m is a number from 10 to 24, n is preferably 2, o is a number from 1 to 10 and p is a number from 1 to 16. Preferably,  $R_3$  is of the formula --(O-( $CH_2$ )<sub>j</sub>)<sub>k</sub>-( $CH_2$ )<sub>i</sub>---, wherein i is a number from 10 to 24, j is preferably 2, and k is a number from 1 to 10. W is preferably hydroxyl, sulfonate or methyl, and is more preferably

hydroxyl. In one embodiment, R<sub>2</sub> and R<sub>3</sub> each are linear and formed of an alkyl segment bonded to a sulfur atom and a second segment selected from the group consisting of polyalkoxy, polyperfluoroalkyl, poly(vinyl alcohol) and polypropylene sulfoxide bonded to the alkyl segment.

5

10

15

20

Asymmetric disulfides may be formed conventionally by exposing a mixture of two thiols to oxidative conditions and recovering the mixed disulfide. However, if the covalent bond forming reactive group is a Michael acceptor, since Michael addition between the thiol and Michael acceptor can occur at this stage, and since the statistical yield of mixed oxidative coupling is inherently low, it is preferred to use a protection strategy such as the strategy depicted in Figure 2. That figure shows schematically a preparation of an asymmetric disulfide bearing a terminal maleimide Michael acceptor functional group on one thiolate moiety and a hydroxy terminated polyethoxy-alkyl segment on the other.

Referring to Figure 2, an ω-hydroxy polyalkoxy-alkyl thiol 3 is protected as its trityl thioether. The hydroxy group of thioether 4 is converted into its sulfonate 5. The sulfonate is displaced with di-*tert*-butyl iminodicarboxylate to give protected amine thiol 6. The trityl and Boc groups are cleaved to give ω-ammonium thiol 7. The resulting ω-ammonium thiol is then reacted with (polyalkoxy-alkyl)-(2-pyridyl) disulfide 9 to form asymmetric disulfide 8 having an ammonium group on one terminus and a hydroxy group on the other.

Treatment of 8 with γ-maleimidobutyric acid N-hydroxysuccinimide ester 10 in the presence of a non-nucleophilic base yields asymmetric disulfide precursor

compound 1. Those skilled in the art will appreciate that this preparation is highly versatile. ω-Hydroxy polyalkoxy-alkyl thiol 3 may be substituted by another ω-hydroxy thiol of a different desired overall chain length and/or having alkyl or polyalkoxy chain segments of different chain length. A compound having another Michael acceptor, chain length or amine-reactive functionality may be substituted for compound 10 to prepare a variety of precursor compounds presenting a variety of Michael acceptors in a variety of ways on the surface.

Further, a disulfide having a different thiyl fragment in place of the polyalkoxy-alkyl thiyl fragment of compound 9 may be substituted for compound 9 in step (e). For instance, a pyridinium disulfide having a linear alkyl thiyl fragment may be substituted. For another instance, the thiyl fragment could be a polyalkoxy-alkyl chain with any of a wide variety of terminal groups.

10

15

20

Using asymmetric disulfides described above, another aspect of the invention provides a uniformly mixed monolayer of a thiolates bearing a covalent bond forming reactive group dispersed in a thiolate bearing an inert group (or more than one diluent thiolate), wherein the thiolates are all derived from disulfide precursors. According to this aspect of the invention, an asymmetric disulfide precursor bearing one covalent bond forming reactive group is contacted with the surface to synchronously and proximally adsorb thiolate bearing a covalent bond forming reactive group and one thiolate bearing an inert group onto the surface. This process provides more uniform initial dispersion of the thiolate bearing a covalent bond forming reactive group in thiolates bearing an inert group

on the surface, which is expected to lead to a more uniform monolayer and more reproducible characteristics between monolayers prepared by the inventive process.

5

10

In gold/thiolate monolayers, individual thiolates may be hindered from migration across the surface by neighboring molecules. According to studies of alkanethiolate monolayers on gold, monolayer formation occurs in two kinetically distinct stages. Initial adsorption from a millimolar solution of thiol occurs within minutes. After the initial adsorption, the thiolates reorient to maximize Van der Waals interactions and other stabilizing interactions, which is thought to occur over several hours. The reorientation phenomenon has been detected as a slow increase in thickness of the monolayer. During both these kinetic phases, the thiolates are less constrained by neighboring molecules from migration across the surface than they are after reorientation. Mixed monolayers tend to form microdomains enriched in one type of thiolate. Stranick, S.J.; Parikh, A.N.; Tao, Y.T.; Allara, D.L.; Weiss, P.S. J. Phys. Chem. 1994, 98, 7636. Microdomains 15 reduce the uniformity of the surface. They also introduce an uncontrolled element that can effect the reproducibility of the surface characteristics obtained in repetitions of the same procedure. Surface migration of thiolates appears to be an important factor that allows microdomains to form. By more uniformly distributing thiolates bearing a covalent bond forming reactive groups and 20 thiolates bearing an inert group in the monolayer using an asymmetric disulfide precursor, microdomain formation should be suppressed resulting in more

uniform and reproducible surface characteristics.

5

10

As discussed above, covalent bond forming reactive groups can be any group that will react with a reaction partner to form a covalent bond. A non-limiting example of a covalent bond forming reactive groups is a Michael acceptor. Michael acceptors will participate in a Michael addition (a conjugate addition reaction of a nucleophile to a carbon-carbon double bond conjugated to an electron withdrawing group). Michael acceptors include quinones, maleimides and others. A preferred Michael addition involves a maleimide and a thiol. Non-limiting example of Michael acceptor functional groups are maleimide groups of the formula:

wherein the maleimide group is bonded to the residuum of the disulfide through

15 nitrogen, and substituent R<sub>1</sub> is either hydrogen or an electron withdrawing group.

It may be desirable to modify the reactivity of maleimide so as to accelerate a subsequent immobilization reaction. Thus, suitable maleimide groups include, in addition to maleimide itself, derivatives of maleimide having an electron withdrawing group on no more than one of the vinylic carbons. Preferred

20 electron withdrawing groups are carboxylic acid derivatives, keto groups, nitro

groups, esters, amides, carbamates, nitriles, acyl halides and imidazolides.

Since the rate of addition of the reaction partner (thiols) to maleimide (R<sub>1</sub>=H) is quite rapid, and for reasons of commercial availability and cost of the starting materials, a most preferred maleimide derivative is maleimide itself when the functional organic molecule is to be immobilized by Michael addition of a thiol group to the maleimide group. Alternative Michael acceptor functional groups include *ortho*- and *para*-quinones and quinone derivatives, acrylic acids and their derivatives, vinyl sulfones, enamines and enamides.

5

10

15

20

In contrast to the covalent bond forming reactive group on one monolayer forming moiety, the other monolayer forming moiety will have an inert group. The inert group is a group that resists non-specific adsorption ("NSA") or non-specific binding ("NSB") of a biomolecule. In particular, when the article or methods of the present invention are to be used to immobilize proteins, it is preferred that the inert group resists non-specific adsorption of proteins. Often hydrophilic groups resist such NSA. One preferred inert group is a polyethylene glycol, such as triethylene glycol ("EG3").

According to the present invention, the monolayer thiolate moiety bearing an inert group may be derived from a monolayer forming disulfide moiety bearing an inert group. The disulfide bearing an inert group may be any disulfide capable of forming a SAM on the gold surface. Further it may be any disulfide discussed above. Symmetric linear alkane disulfides are one example. Preferred disulfides bearing an inert group impart resistance against non-specific biomolecule

adsorption to the surface. More particularly preferred disulfides bearing an inert group are of the formula W-R<sub>4</sub>-S-S-R<sub>4</sub>-W wherein R<sub>4</sub> is a hydrocarbyl having an alkyl segment bonded to sulfur and a linear polyalkoxy segment bonded to the alkyl segment and to W. Substituent W may be either a hydrophilic or hydrophobic group, and preferably is a functional group that resists adsorption of proteins. More preferably, W is hydrophilic group such as hydroxyl or sulfonate, most preferably hydroxyl. The polyalkoxy segment resists protein adhesion. A preferred polyalkoxy is polyethoxy. Especially preferred diluent disulfides are of the formula  $H-(O-(CH_2)_y)_z-(CH_2)_x-S-S-(CH_2)_x-((CH_2)_y-O)_z-H$ , wherein x is a number from 10 to 24, y is preferably 2, and z is a number from 1 to 10. Other 10 suitable disulfides bearing an inert group have in place of the polyalkoxy segment and W, a polyperfluoroalkyl, poly(vinyl alcohol) or polypropylene sulfoxide segment, each of which has been shown to resist protein adhesion. Folch, A.; Toner, M. Annu. Rev. Biomed. Eng. 2000, 2, 227-56.

5

15

20

The present invention also provides an article useful for immobilizing functional organic biomolecules. The article is comprised of a coinage metal surface and a mixed self-assembled monolayer surface covering at least a portion of the coinage metal surface. The mixed self-assembled monolayer surface comprises a first monolayer moiety and a second monolayer moiety. The first monolayer moiety comprises a thiolate bearing a covalent bond forming reactive group, and the second monolayer moiety comprises a thiolate bearing an inert group. As discussed above, the covalent bond forming reactive group may be any

group that can participate in a reaction with its reaction partner to form a covalent bond. The covalent bond forming reactive groups and the inert groups are as discussed above.

Critical to the ability to faithfully reproduce a range of different ligand 5 densities on surfaces is the ability to measure the absolute density of immobilized functional organic molecule. The present invention further provides a convenient method for electrochemically assaying an quantifying the density of covalent bond forming reactive groups, specifically Michael acceptors, in a mixed selfassembled thiolate monolayer on a coinage metal surface. In this embodiment of 10 the present invention, an electrically active compound is reacted with the covalent bond forming reactive group to form a surface having an electrochemical activity. See FIG. 13. The electrochemical activity of the surface is measured, and the density of the covalent bond forming reactive group on the surface is determined from the measurement. Once the density of the covalent bond forming reactive 15 group on the surface is known, this density can be correlated to the density of immobilized functional organic molecules, assuming that the covalent bond forming reaction has gone to completion and all of the covalent bond forming reactive groups have an immobilized functional organic molecule.

Non-limiting examples of electrically active compounds include any Ru<sup>2+</sup> compounds and hydroquinones. A particularly useful electrically active compound is a bis-cyclopentadienyl metallocene having a cyclopentadienyl ring with a substituent that contains a thiol group. A preferred electrically active

20

compound is ferrocene-thiol 13 (FIG. 6)(ferrocene-2-carboxylic acid (2-mercapto-ethyl)-amide). Ferrocene-thiol 13 is accessible in two steps from commercially available ferrocene carboxylic acid. Referring to the schematic depiction of the preparation of ferrocene 13 in FIG. 6, the carboxylic acid of ferrocene carboxylic acid is transformed to its NHS ester, which is then reacted with the free amine of (2-aminoethyl)-(2-pyridyl) disulfide 11. The disulfide bond of direct product 12 was then reduced with dithiothreitol.

5

10

15

20

Ferrocene-thiol 13 may be used to test the density of thiolates bearing a maleimide group in a mixed self-assembled monolayer containing a diluent thiolate by taking advantage of its electrical activity. Cyclic voltammetry of mixed thiolate monolayers functionalized with Ferrocene-thiol 13 yields voltammagrams wherein the area under the redox waves is proportional to the density of ferrocene on the surface. It has been found that this technique provides accurate and reliable quantitation of the density of maleimide groups on the surface. Reaction of an excess of ferrocene-thiol 13 with a SAM presenting maleimide is rapid and complete and therefore provides a 1:1 correspondence between the ferrocene density detected by cyclic voltammetry and the density of maleimide functional groups available for reaction with ferrocene-thiol 13. This method of measuring the density of maleimides on the surface is convenient because it requires only one chemical reaction to derivatize the maleimide bearing surface to one that is electrochemically active.

The overlay of cyclic voltammagrams in FIG. 7(B), was obtained from a

surface that had been treated with solutions of a disulfides bearing a maleimide and a diluent disulfide in different ratios (indicated on the figure as percent disulfide 1 in disulfide 2 (v/v)). The resulting SAMs were treated with a solution of ferrocene-thiol 13, and then analyzed using cyclic voltammetry in 0.5 M KNO<sub>3</sub> at a scan rate of 200 mV/s. The two waves centered at 480 mV correspond to the oxidation and reduction of the immobilized ferrocene molecules. The density of immobilized ferrocene group, and therefore maleimide, can be determined from the normalized areas under the redox waves. The plot of FIG. 7(B) was produced in this manner. FIG. 7(C) is a plot of  $\chi_{\text{solution}}$ , the mole fraction of the disulfide bearing a maleimide group relative to the disulfide bearing an inert group in the solution from which the monolayers were formed, versus  $\chi_{\text{surface}}$ , the mole fraction of maleimide incorporated into the surface. As can be seen, the mole fraction of thiolate bearing the maleimide on the surface varies linearly with the mole fraction of disulfide bearing the maleimide in the treatment solution. Therefore, by using the invention's maleimide quantitation technique employing a ferrocene-thiol such as compound 13 and cyclic voltammetry, those skilled in the art may quantitate the maleimide density of a mixed SAM under any desired conditions. This technique provides for a quality control protocol for the production of surfaces presenting Michael acceptors in a manufacturing setting. Further, this aspect of the invention provides a technique for normalizing results of research experiments performed on surfaces prepared according to the invention or other surfaces presenting Michael acceptors.

5

10

15

20

The present invention also provides measuring the relative density of immobilized ligand by other means known in the art, such as, but not limited to, matrix assisted laser desorption ionization (MALDI), mass spectrometry (MS), and surface plasmon resonance.

5

10

15

20

Michael acceptor-derivatized surfaces like that depicted in FIG. 1 are well adapted for selectively immobilizing and orienting functional organic molecules for such uses as biosensors, high throughput assays, binding assays, enzymatic assays and cell cultures. FIGS. 3-5 depict surface plasmon resonance ("SPR") sensorgrams that demonstrate the selectivity of covalent immobilization by the present invention and the robustness of the surface. Surface binding was detected using biotinylated, cysteine-containing peptides and streptavidin. SAMs presenting the maleimide group at a density of 2 % were applied to gold-coated coverslips according to the Examples. The SAM coated coverslips were each treated with solutions containing various reactive molecules dissolved in phosphate buffer (pH 6), and the reactivity of the surface was characterized by flowing the protein streptavidin, which selectively binds biotin, over the SAM. Streptavidin binding was quantitated by surface plasmon resonance.

Referring to FIG. 3, sensorgram (A), the SAM-coated coverslip was treated with a solution of the model biotinylated peptide biotin-NH-Arg-Asp-Cys-CONH<sub>2</sub> (1.2 mM) for 12 minutes. 2280 RU of streptavidin binding was observed. Sensorgram (B) was obtained using a solution containing a mixture of the model peptide (0.6 mM) and lysine (16 mM). The SAM-coated coverslip was

immersed in the solution for 12 minutes and then treated with streptavidin. Sensorgram (B) shows the same amount of streptavidin binding and therefore the same amount of bound model peptide as in (A) when a pure solution of the model peptide was used. These results indicate that an amine group, like the amine 5 group of the lysine side chain, is essentially non-competitive with thiol as a Michael donor. Sensorgram (C) was obtained from a SAM-coated coverslip that was treated first with a solution of mercaptoethanol (1 mM) for 12 minutes and then with the solution of model peptide for 12 minutes as in (A). As can be seen, the pretreatment blocked streptavidin binding by inactivating the Michael 10 acceptors with the hydrophilic Michael donor mercaptoethanol, thus preventing the immobilization of the biotinylated model peptide. Sensorgram (D) was obtained from a SAM-coated coverslip that was treated with a solution of a different model biotinylated peptide (biotin-NH-Arg-Asp-Lys-CONH<sub>2</sub>) that lacks a thiol group. Treatment of the SAM-coated coverslip with a solution containing 15 the model peptide biotin-NH-Arg-Asp-Lys-CONH<sub>2</sub> (4 mM) for 12 minutes resulted in no streptavidin binding, showing that the surface is not reactive toward amines.

FIG. 4 shows that surfaces prepared according to the invention are inert to non-specific protein adsorption. FIG. 4 is a surface plasmon resonance sensorgram of a SAM presenting maleimide at a density of 2 %. The SAM-coated coverslip was treated with the biotinylated, thiol-containing, model peptide biotin-NH-Arg-Asp-Cys-CONH<sub>2</sub> (1.2 mM) for 12 minutes. Afterwards, a

20

solution of the "sticky" protein fibrinogen (0.5 mg/mL) was flowed over the surface. Only 60 RU of adsorption was observed. Another coverslip was treated with the model peptide solution and then treated with streptavidin that had been pre-incubated with biotin. Surface plasmon resonance of that coverslip showed that the streptavidin also did not attach to the surface.

5

10

15

20

FIG. 5 demonstrates the stability of surfaces prepared according to the invention under normal assay conditions. Each of three coverslips presenting maleimide at 2% density was treated with a solution of the peptide biotin-NH-Arg-Asp-Cys-CONH<sub>2</sub> (1.2 mM) for 12 minutes to afford a surface presenting biotin and the peptide. One of the coverslips was set aside as a control and the other two were immersed in solutions selected to mimic conditions to which surfaces for immobilized proteins would be exposed during use. One of the test coverslips was immersed in PBS buffer (pH 7.4) for 4 hours. The other test coverslip was immersed in a solution of DTT (5 mM) and lysine (5 mM) in PBS buffer (pH 7.4) for 2 hours. Afterwards, the test surfaces and control surfaces were rinsed and then treated with streptavidin and then analyzed by SPR. Since the SPR response is proportional to the amount of streptavidin bound to the surface, the response reflects the proportion of biotinylated peptide remaining on the surface after exposure. Sensorgram (B) was taken of the control. Sensorgram (A) was taken of the coverslip that was exposed to PBS buffer. Sensorgram (C) was taken of the coverslip that had been exposed to DTT and lysine in PBS buffer. These sensorgrams show nearly equal SPR response between the exposed

surfaces and the control surface, thus demonstrating that the monolayers were stable under these conditions.

5

10

15

20

Accordingly one embodiment of the present invention provides a process for measuring density of covalent bond forming reaction groups on a mixed monolayer surface. The mixed monolayer surface comprises a first monolayer moiety and a second monolayer moiety. The first monolayer moiety has an electrically active compound to provide a detectable signal as well as a covalent bond forming reactive group. The second monolayer moiety has an inert group. The process comprises measuring the detectable signal, and correlating the measurement of the signal to the density of the first monolayer moiety. Then the density of the first monolayer moiety may be correlated to the density of the covalent bond forming reaction groups.

The electrically active compound is preferable a bis-cyclopentadienyl metallocene having a cyclopentadienyl ring with a substituent that contains a thiol group such as ferrocene-2-carboxylic acid (2-mercapto-ethyl)-amide. Preferably the detectable signal is measured by cyclic voltammetry.

In another embodiment, there is provided process for measuring density of immobilized functional organic molecules on a mixed monolayer surface. The mixed monolayer surface is as described above. The process comprises measuring the detectable signal, and correlating the measurement of the detectable signal to the density of the first monolayer moiety, and correlating the density of the first monolayer moiety to the density of the immobilized functional

organic molecules. Preferably the detectable signal is measured by cyclic voltammetry. The preferred electrochemical groups are described above.

5

10

15

20

Using the above embodiments relating to characterizing the density of covalent bond forming reactive groups on the thiolate monolayer surface, it was discovered that the ratio of the monolayer forming disulfide moieties in solution does not produce a monolayer thiolate surface having the exact same ratio. For example, if the ratio of the first monolayer forming disulfide moiety bearing a covalent bond forming reactive group to the second monolayer forming disulfide moiety bearing an inert group is 2:98 in solution, the surface formed after contacting the monolayer forming disulfides with the coinage metal surface will not be exactly at the same 2:98 ratio. In other words, just because the solution contained 2% monolayer forming disulfide moieties bearing a covalent reactive group, the monolayer thiolate surface will not have exactly 2% thiolates bearing a covalent bond forming reactive group. Thus, the inventors have discovered that there is a solution to surface variability. This appears to be due to the fact that impurities in the solution may alter the ratio of thiolates formed. In addition, since the two monolayer forming disulfide moieties have different structures, they will have slightly different reactivities with the surface. For example, one group might be more or less soluble in the solvent system and therefore may have a higher or lower kinetic constant relative to the other disulfide. Typically, if it the disulfide is less soluble, it tends to be more reactive with the coinage metal surface.

Nevertheless, the inventors have discovered that once the solution is used to form the thiolate monolayer, and the monolayer is characterized, preferably using the methods described above, there is virtually no surface to surface variability. Thus, for example, if a solution of first and second monolayer forming disulfide moieties were present at a 2:98 ratio in solution, and that solution was contacted with a coinage metal surface to produce a mixed self-assembled thiolate monolayer having a density ratio of 2.5:97:5, the next time that same solution was used to prepare another thiolate monolayer, the surface would again have the 2:5:97.5 ratio of the two thiolates.

5

10

15

20

Thus, to achieve the desired surface density, the ratio in solution can be tweaked and altered until it produces the desired ratio on the surface. Once that desired density is achieved on the surface, that same solution may be used over and over to produce a surface with a known density.

It is important to note that due to impurities, different batches of solutions having a certain ratio will not always produce the same density on the surface.

Thus, the methods of the present invention relating to characterizing the surface should be used to characterize the density of the surface every time a new batch of solution is made.

Accordingly, one embodiment of the present invention provide a process for making an article having a coinage metal surface region and a mixed self-assembled monolayer of thiolate, wherein the surface will have a predetermined density of covalent bond forming reactive groups, and thus have a predetermined

density of groups available for binding/immobilizing functional organic molecules. This process comprises contacting the coinage metal surface with a solution of a mixture of a first monolayer forming disulfide moiety bearing a covalent bond forming reactive group, and a second monolayer forming disulfide moiety bearing an inert group. The mixture of monolayer forming disulfide moieties is in a solution of an inert solvent as described above. The solution comprises the first and second monolayer forming disulfide moieties in a predetermined ratio of the first monolayer forming disulfide moiety to the second monolayer forming disulfide moieties contact the coinage metal surface region, a mixed self-assembled monolayer of thiolates is formed on the surface region to form a self-assembled thiolate monolayer. The predetermined ratio of the first and second monolayer forming disulfide moieties in the solution determines the ratio of the first and second monolayer thiolate moieties on the coinage metal surface region.

5

10

15

20

;

The present invention further provides an article having a coinage metal surface and a mixed self-assembled monolayer surface. The mixed self-assembled monolayer surface comprises a first and second monolayer moiety. The first monolayer moiety comprises a thiolate bearing a covalent bond forming reactive group. The second monolayer moiety comprises a thiolate bearing an inert group. The first and second monolayer moieties are present in a predetermined ratio of the first monolayer moiety to the second monolayer moiety. In preferred embodiments, the first monolayer moiety is 20 mole percent

of less of the total of the first and second monolayer moieties on the surface. In a more preferred embodiment, the first monolayer moiety is 5 mole percent of less of the total of the first and second monolayer moieties on the surface. In a most preferred embodiment, the first monolayer moiety is 0.01mole percent to about 2 mole percent of the total of the first and second monolayer moieties on the surface.

5

10

15

20

The present invention also provides a process for immobilizing a functional organic molecule in a predetermined density on a mixed monolayer surface. The mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group. The first monolayer moiety is present in a predetermined density in the mixed monolayer surface. This process comprises the step of contacting the mixed monolayer surface with the functional organic molecule, wherein the contacting step forms a covalent bond between the functional organic molecule and the covalent bond forming reactive group of the first monolayer moiety to immobilize the functional organic molecule. The density of the immobilized functional organic molecule is determined by the density of the first monolayer moiety in the mixed monolayer surface. In a preferred embodiment the covalent bond formation does not require an enzymatic reaction.

The present invention provides yet another method of controlling the density of immobilized functional organic molecules on a coinage metal surface.

In this embodiment, the density of immobilized functional organic molecules is

determined by adjusting the ratios of three different monolayer forming moieties (two monolayer forming disulfides moieties, each bearing a different covalent bond forming reactive group, and one monolayer forming disulfide moiety bearing an inert group) in solution and thus, on the surface. Preferably, each of the different covalent bond forming reactive groups bind to a functional organic molecule using a different chemistry. Non-limiting chemistries include immobilization of a nucleophile through a Michael addition reaction and immobilization of an alkene functioning as a dienophile in a Diels Alder reaction. Those skilled in the art would appreciate the use of other chemistries achieving covalent bond formation.

5

10

Another non-limiting example of two different covalent bond forming reaction chemistries is where one covalent bond forming group is a maleimide; the other covalent bond forming group is an acetophenone; and the inert group is as a tri(ethylene glycol). The acetophenone selectively reacts with a hydrazide tagged molecule in a nucleophilic addition, and the maleimide selectively reacts with a thiol in a Michael addition. The density of the maleimide and the acetophenone is determined by the ratio of the monolayer forming disulfide moieties bearing these groups in solution. Further, the density of various immobilized functional molecules also depends on selectively reacting the maleimide and/or the acetophenone with immobilized groups carrying thiol and/or hydrazide respectively.

The present invention provides yet another method of controlling the

density of immobilized functional organic molecules on a coinage metal surface. In this embodiment, the density of immobilized functional organic molecules is determined by switching on or off an electrochemically switchable group after a desired amount of a functionalized organic molecule has been immobilized. *See*, Yousaf & Mrksich, *J. Am. Chem. Soc.* (1999) Vol. 121, 14286-14287, hereby incorporated by reference.

5

10

15

20

This process involves the use of a switchable covalent bond forming reactive group and a second monolayer moiety having an inert group in the mixed monolayer surface. The switchable covalent bond forming reactive group has a reactive state and an unreactive state. An activating signal turns the unreactive state to the active state to turn on the switchable covalent bond forming reactive group. A quieting signal turns the reactive state to the unreactive state to turn off the switchable covalent bond forming reactive group. In this process an activating signal is used to turn on the switchable covalent bond forming reactive group to allow a covalent bond to form between the covalent bond forming reactive group of the first monolayer moiety and the functional organic molecule to immobilize the functional organic molecule. The covalent bond formation to is allowed to take place for a length of time. After the length of time, a quieting signal is provided to turn off the switchable covalent bond forming reactive group. The length of time the that the switchable covalent bond forming reactive group remains on determines the density of the immobilized functional organic molecule on the mixed monolayer surface. As discussed above, preferably the

covalent bond formation does not require an enzymatic reaction. The covalent bond forming reactive groups, the inert groups and the functional organic molecule are as discussed above.

5

In yet another embodiment involving a switchable covalent bond forming reactive group, a mixture of a first disulfide compound bearing a switchable group having a covalent bond forming reactive group and a disulfide compound bearing an inert group are contacted with a coinage metal surface to form a mixed monolayer of thiolates on the surface. The proportion of thiolates bearing the switchable group on the surface is determined by the predetermined ratio of the 10 first disulfide compound and the second disulfide compound in the mixture. A first reaction is then performed for a predetermined length of time to allow a first functional organic molecule to bond with the switchable group. Then the chemical reactivity of the switchable group is temporarily turned off to inhibit further reaction of a first functional organic molecule with the switchable group. Any unbound first functional organic molecules may be washed away. The 15 chemical reactivity of the switchable group is then turned back on, a second functional organic molecule is allowed to bond with the switchable group. The ratio of the first and the second functional organic molecules on the surface is determined by the length of time of the first reaction is allowed to proceed 20 resulting in a surface presenting two different ligand types, each at a controlled density.

For immobilizing functional organic molecules such as peptides,

oligopeptides, polypeptides, proteins, carbohydrates, cells and the like, it is preferred to use a mixed monolayer composed of a thiolate bearing an inert group and less than about 20 mole percent, more preferably less than about 5 mole percent and, most preferably from about 0.01 to about 2 mole percent of the thiolate bearing a covalent bond forming reactive group. At higher densities of the covalent bond forming reactive group, such as a maleimide, some non-specific protein adsorption can occur. A surface presenting thiolate bearing a maleimide at about 2% density in a self assembled monolayer derived from disulfides bearing an inert group of the formula: H-(O-(CH<sub>2</sub>)<sub>y</sub>)<sub>z</sub>-(CH<sub>2</sub>)<sub>x</sub>-S-S-(CH<sub>2</sub>)<sub>x</sub>-((CH<sub>2</sub>)<sub>y</sub>-O)<sub>z</sub>-H, wherein x, y and z are as previously defined, allows for selective covalent immobilization with very low non-specific binding.

It will be appreciated by one skilled in the art that in order to correlate the density of the covalent bond forming reactive groups on the surface to the amount of immobilized functional organic molecule, the reaction between the covalent bond forming reactive group and the functional organic molecule must be well behaved and go to completion. Hence, a reaction such as Michael addition between a maleimide group on the monolayer thiolate, with a thiol group on a functional organic molecule is especially preferred as this reaction is well characterized, well behaved and goes to completion. Further, the relative rarity of thiol groups on exposed surfaces of proteins and other complex biological materials like oligonucleotides, carbohydrates, co-factors and small molecule drugs favors selectively in immobilizing such materials on a surface by direct

Michael addition to the surface bound Michael acceptor.

5

Accordingly, to immobilize functional organic molecules according to an embodiment of the invention wherein the covalent bond forming reactive group is a Michael acceptor, the functional organic molecule may be functionalized with a thiol group. The functional organic molecule may be functionalized with conjugated carbon-carbon double bonds. In this embodiment, the reacting step involves a cycloaddition reaction between the Michael acceptor and the conjugated carbon-carbon double bonds.

Since Michael acceptors like maleimide behave as dienophiles, the 10 monolayer thiolate moieties can be used to covalently immobilize functional organic molecules that have conjugated carbon-carbon double bonds by Diels Alder or other cycloaddition reaction. Immobilization by cycloaddition is a highly chemoselective method of immobilizing peptides, polypeptides, carbohydrates, oligonucleotides and oligonucleosides since dienyl is not a naturally occurring functionality of these biomolecules. Consequently, such 15 naturally occurring biomolecules must be derivatized with diene. Peptides and polypeptides can be derivatized with a dienyl group by chain extension with an amino acid-bearing dienyl group on its side chain. There is a rich literature on derivatizing functional organic molecules so as to contain conjugated carbon-20 carbon double bonds. A couple of patents/publications with teachings on the subject of derivatizing oligonucleotides and polypeptides with dienes are U.S. Patent No. 5,843,650 and International Publication No. WO 98/30575, which are

incorporated by reference herein. See also, Bergstrom et al J. Am. Chem. Soc. 1977, 100, 8206.

Many techniques are known in the art for thiolating compounds. See

generally, Hermanson, G.T. Bioconjugate Techniques (Academic Press: New York 1996). For instance, free amine groups can be substituted with thiol-bearing 5 substituents using Traut's reagent, N-succinamidyl-S-acetylthioacetate (SADA), N-succinimidyl 3-(2-pyridyldithio)propionate (SPDP)/DTT, CMPT, Nacetylhomosysteinthiolacetone, and S-acetylmercaptosuccinic anhydride to name but a few. In some cases the direct product is a thioacetate that must be 10 hydrolyzed to reveal the free thiol. Aldehydes and ketones may be thiolated with 2-acetamido-4-mercaptobutyric acid anhydride ("AMBH"). Carboxylic acids may be derivatized to an N-(2-thioethyl) amide by diimide (e.g. EDC) coupling with cystamine and then reducing the disulfide bond. Common mild S-S bond reductants for use in biological system are mercaptoethanol, 2mercaptoethylamine ("2-MEA"), DTT, dithioerythritol ("DTE") and NaBH<sub>4</sub>. 15 Diimide catalyzed coupling of cystamine also can be used to attach thiol groups to the phosphate groups of an oligonucleotide. Cystamine bonds directly to phosphorous displacing oxygen. The cystamine is then reductively cleaved to

In another embodiment, an oligopeptide, peptide or polypeptide may be

reveal the thiol. These and other procedures for thiolating compounds are well

known and accessible in reference works known to those in the art, such as

Bioconjugate Techniques and others.

20

conveniently derivatized by coupling to a cysteine. Indirect immobilization of a protein *via* a ligand allows the thiolation reaction, if necessary, to be performed on a small molecule that is likely to have less interfering functionality than, for example, a large protein or oligonucleotide. Thus, such a ligand may be derivatized with thiol according to, for example, the methods previously described. A technique for thiolation that is also well adapted for thiolation of small molecules involves first derivatization of the molecule with a vinyl substituent. For instance, if the ligand is provided with a moderately nucleophilic substituent such as amine or hydroxyl, a vinyl group may be conventionally installed by reaction with an allyl halide or sulfonate. The vinyl group is then reacted with thioacetic acid under free radical conditions to add thioacetate to the vinyl group. Removal of the acetate group by hydrolysis leaves either a 3-thiopropyl substituent or a 2-thiolethyl substituent on the ligand, depending on where the R group is on the vinyl group.

5

10

15

20

Carbohydrates can also be designed with a thiol-containing aglycone.

Appropriate carbohydrate derivatives suitable for immobilization have been described or can be prepared easily by one skilled in the art. See, e.g. Liang et al. PNAS 2000, 97, 13092-96, Horan et al. PNAS 1999, 96, 11782-86.

In a preferred method, carbohydrates are derivatized to have a thiol chemical tag that will react with a covalent bond forming reactive group (such as a maleimide) on the monolayer surface. In this method, the ligation chemistry is selective, and thus helps to ensure that non-specific chemical reactions with

functional groups on or within the carbohydrate do not occur. Such selective ligation chemistry results in oriented immobilization of carbohydrates. This is advantageous, for example, when examining specific protein binding to an immobilized carbohydrate because all binding interactions with the carbohydrate are the result of specific protein binding to the carbohydrates and not due to nonspecific binding.

5

10

15

20

In a preferred embodiment of the present invention, the thiol chemical tag is produced in the following manner. The carbohydrates to be immobilized are derivatized by converting a peracetylated sugar, having an n-pentenyl group on the reducing end, to a thiolacetate derivative. See FIG. 10. The thioacetate sugars are preferably saponified under oxygen-free conditions to yield, after neutralization, the fully deprotected carbohydrate containing a thiol group at the reducing end. (Hereinafter, referred to as thiol-carbohydrate.)

This thiol-carbohydrate may covalently attach to monolayers of a SAM presenting a maleimide. See FIG. 11. Preferably, the SAM presenting maleimide contains maleimide at a density of from about 0.1 to about 50 % in a inert tri(ethylene glycol) matrix. More preferably, the maleimide density is from about 1 % to about 10 %.

To ensure a complete immobilization reaction, a solution containing the dissolved thiol-carbohydrate and SAM are incubated for about 1 hour. Preferably the thiol-carbohydrate in the solution is at a concentration of from about 0.01 mM to about 100 mM. More preferably, the concentration of thiol-carbonate is from

The derivatization reaction is performed in a solvent such as methanol or

about 0.1 mM to about 5 mM. Most preferably the thiol-carbohydrate is at a concentration of about 1 mM.

water and is preferably performed at a pH range from about pH 4 to about pH 10. The solvents used are preferably methanol or water. More preferably the pH is from about 5 to about 8. Most preferably the pH is about 6.0. The optimal temperature range is from about 0°C to about 60°C. More preferably, the optimal temperature range is from about 10°C to about 40°C. Following the derivatization reaction, the surface is preferably rinsed with water and ethanol and 10 dried under a stream of nitrogen.

5

15

20

By providing for the immobilization of carbohydrates, the present invention provides carbohydrate-based assay surfaces. For example, an immobilized thiol-carbohydrate can be used in various enzymatic assays such as a glycosyltransferase assay. See FIG 12 and Example 4.

Referring to FIG. 12, in the glycosyltransferase assay, various carbohydrates were immobilized using a thiol chemical tag to bond to a monolayer thiolate bearing a maleimide group. A glycosyltransferase enzyme was added to the immobilized carbohydrates. The ability of the enzyme to add radioactively labeled sugars to the immobilized carbohydrates was measured. In the glycosyltransferase assay the enzyme that was employed is bovine recombinant β1,4-galactosyltransferase. Preferably, the enzyme is at a concentration of about 10 pM to about 100 uM. More preferably, the enzyme is

at a concentration of about 100 pM to about 1 uM.

5

10

15

20

The concentration of radioactively labeled donor sugar is preferably from about 0.1 uM to about 1000 uM. Preferably, the concentration is from about 1uM to about 10 uM. A preferred temperature for the glycosyltransferase assay is from about 0°C to about 60°C. More preferably the temperature range is from about 20°C to about 40°C.

The density of the monolayer thiolate groups bearing a maleimide on the substrates in a glycosyltransferase assay is limited by steric factors with regard to the accessibility of substrate to enzyme. Therefore, it is preferable that the immobilized monolayer thiolate groups bearing a maleimide are from about 0.1 % to about 50 %. More preferably, the immobilized substrate is from about 1 % to about 10 %.

Detection of glycosylation of the immobilized carbohydrate substrates on the base plate was performed radiometrically. The incorporation of <sup>14</sup>C was quantified by exposing a storage phosphor screen to the plate and then imaging it on a Variable Mode Imager (Typhoon 8600; Molecular Dynamics, Sunnyvale, CA).

In yet another embodiment, the present invention provides for immobilizing a fusion protein on a mixed monolayer surface. The fusion protein comprises a reactive group and a display protein or peptide. The process comprises the step of contacting the mixed monolayer surface with a bifunctional affinity tag and the fusion protein. The mixed monolayer surface has a first

monolayer moiety having a covalent bond forming reactive group, and a second monolayer moiety bearing an inert group. The covalent bond forming reactive groups, the inert groups and the monolayer moieties are as described above. The density may also be controlled and determined as described above.

5

10

15

20

The bifunctional affinity tag comprises a first reactive group and a second reactive group, the first reactive group has a covalent bond forming reaction partner to react with the covalent bond forming reactive group of the first monolayer moiety. The second reactive group has a reaction partner to react with the reactive group of the fusion protein. The contacting step forms a covalent bond between the first reactive group of the bifunctional affinity tag and the covalent bond forming reactive group of the first monolayer moiety to immobilize the bifunctional affinity tag. Also, the contacting step forms an association between the second reactive group of the bifunctional affinity tag and the reactive group of the fusion protein to immobilize the fusion protein.

In one embodiment the reactive group of the fusion protein comprises a histidine, and the second reactive group of the bifunctional affinity tag is a metal ion, thus allowing an ionic association between the fusion protein and the affinity tag to provide the immobilization chemistry and thus immobilize the fusion protein.

Further, the reactive group of the fusion protein may be an antibody. In this embodiment, the second reactive group of the bifunctional affinity tag is an antigen target of the antibody. The antibody and target antigen will associate in

an antibody-antigen association to immobilize the fusion protein. Alternatively, the reactive group of the fusion protein may be a target antigen and the second reactive group of the bifunctional affinity tag may be an antibody that will recognize the target antigen. An antibody as used herein does not necessarily have to be the whole antibody. It may also be an antibody fragment such as a Fab, Fab2, Fv, or a ScFv and the like.

5

10

15

20

In another embodiment the reactive group of the fusion protein is a biotin molecule, and the second reactive group of the bifunctional affinity tag is an avidin or streptavidin. Alternatively, the reactive group of the fusion protein may be an avidin or streptavidin, and the second reactive group of the bifunctional affinity tag may be a biotin. Other known binding target/ligand associations may be used to allow the second reactive group of the bifunctional affinity tag to bind to the reactive group of the fusion protein.

The present invention provides yet another process for immobilizing a fusion protein in a predetermined density on a mixed monolayer surface. The fusion protein is as described above except that the reactive group of the fusion protein is a covalent bond forming reactive group (as described earlier). The process is similar as the process described above, but the second reactive groups of the bifunctional affinity tag has a covalent bond forming reaction partner to react with a covalent bond forming group of the fusion protein.

In the process described above, in a preferred embodiment, the covalent bond forming group of the fusion protein is cutenase, and the first reactive group

of the bifunctional affinity tag is a thiol. The second reactive group of the bifunctional affinity tag is paranitrophenolphosphate ("PNPP"). In this embodiment, the PNPP will bind covalently to the cutenase to immobilize the fusion protein.

5

10

15

20

As discussed above, the fusion protein comprises a covalent bond forming group and a display protein/peptide (hereinafter "display polypeptide"). The covalent bond forming reactive group is as described above. The display polypeptide is a peptide, polypeptide, or protein of interest to be immobilized. The two components of the fusion protein may be linked to each other in a variety of ways, such as by recombinant techniques and by native chemical ligation (Kent et al., U.S. Patent No. 6,184,344B1) or any other methods known in the art. Preferably, both the display polypeptide and the covalent bond forming reactive group retain their respective biochemical properties in the fusion polypeptide.

Alternatively, fusion genes may be synthesized by conventional techniques, including automated DNA synthesizers. PCR amplification using anchor primers that give rise to complementary overhangs between two consecutive gene fragments that can subsequently be annealed and reamplified to generate a chimeric gene sequence may also be useful. See Ausubel et al., (1987) Current Protocols in Molecular Biology. Many vectors are commercially available that facilitate sub-cloning display polypeptide in-frame to a fusion moiety.

Vectors are tools used to shuttle DNA between host cells or as a means to

express a nucleotide sequence. Some vectors function only in prokaryotes, while others function in both prokaryotes and eukaryotes, enabling large-scale DNA preparation from prokaryotes for expression in eukaryotes. Inserting the DNA of interest, such as a nucleotide sequence or a fragment into a vector is accomplished by ligation techniques and/or mating protocols well known to the skilled artisan. Such DNA is inserted such that its integration does not disrupt any necessary components of the vector. In the case of vectors that are used to express the inserted DNA protein, the introduced DNA is operably-linked to the vector elements that govern its transcription and translation.

Vectors can be divided into two general classes: cloning vectors and expression vectors. Cloning vectors are replicating plasmid or phage with regions that are non-essential for propagation in an appropriate host cell, and into which foreign DNA can be inserted; the foreign DNA is replicated and propagated as if it were a component of the vector. An expression vector (such as a plasmid, yeast, or animal virus genome) is used to introduce foreign genetic material into a host cell or tissue in order to transcribe and translate the foreign DNA. In expression vectors, the introduced DNA is operably-linked to elements, such as promoters, that signal to the host cell to transcribe the inserted DNA. Some promoters are exceptionally useful, such as inducible promoters that control gene transcription in response to specific factors. Operably-linking a particular nucleotide sequence or anti-sense construct to an inducible promoter can control the expression of the nucleotide sequence, or fragments, or anti-sense constructs.

Examples of classic inducible promoters include those that are responsive to  $\alpha$ interferon, heat-shock, heavy metal ions, steroids such as glucocorticoids, and
tetracycline. Other desirable inducible promoters include those that are not
endogenous to the cells in which the construct is being introduced, but, however,
are responsive in those cells when the induction agent is exogenously supplied.

5

10

15

20

Vectors have many different manifestations. A "plasmid" is a circular double stranded DNA molecule into which additional DNA segments can be introduced. Viral vectors can accept additional DNA segments into the viral genome. Certain vectors are capable of autonomous replication in a host cell (e.g., episomal mammalian vectors or bacterial vectors having a bacterial origin of replication). Other vectors (e.g., non-episomal mammalian vectors) are integrated into the genome of a host cell upon introduction into the host cell, and thereby are replicated along with the host genome. In general, useful expression vectors are often plasmids. However, other forms of expression vectors, such as viral vectors (e.g., replication defective retroviruses, adenoviruses and adenoassociated viruses) are contemplated by the present invention.

Recombinant expression vectors that comprise a particular nucleotide sequence (or fragments) regulate transcription of the polypeptide by exploiting one or more host cell-responsive (or that can be manipulated in vitro) regulatory sequences that are operably-linked to the nucleotide sequence. "Operably-linked" indicates that a nucleotide sequence of interest is linked to regulatory sequences such that expression of the nucleotide-sequence is achieved.

Vectors can be introduced in a variety of organisms and/or cells. Alternatively, the vectors can be transcribed and translated in vitro, for example using T7 promoter regulatory sequences and T7 polymerase.

5

Vector choice is dictated by the organism or cells being used and the desired fate of the vector. Vectors may replicate once in the target cells, or may be "suicide" vectors. In general, vectors comprise signal sequences, origins of replication, marker genes, enhancer elements, promoters, and transcription termination sequences. The choice of these elements depends on the organisms in which the vector will be used and are easily determined. Some of these 10 elements may be conditional, such as an inducible or conditional promoter that is turned "on" when conditions are appropriate. Examples of inducible promoters include those that are tissue-specific, which relegate expression to certain cell types, steroid-responsive, or heat-shock reactive. Some bacterial repression systems, such as the lac operon, have been exploited in mammalian cells and 15 transgenic animals. (Fieck et al., Nucleic Acids Res. 20: 1785-91 (1992); Wyborski et al., Environ. Mol. Mutagen, 28: 447-58 (1996); Wyborski et al., Nucleic Acids Res. 19: 4647-53 (1991)). Vectors often use a selectable marker to facilitate identifying those cells that have incorporated the vector. Many selectable markers are well known in the art for the use with prokaryotes. These 20 are usually antibiotic-resistance genes or the use of autotrophy and auxotrophy mutants. Exemplary selectable markers include adenosine deaminase, dihydrofolate reductase, aminoglycoside phosphotransferase, hydromycin-B-

phosphotransferase and thymidine kinase.

5

10

15

20

The terms "host cell" and "recombinant host cell" are used interchangeably. Such terms refer not only to a particular subject cell but also to the progeny or potential progeny of such a cell. Because certain modifications may occur in succeeding generations due to either mutation or environmental influences, such progeny may not, in fact, be identical to the parent cell, but are still included within the scope of the term.

Methods of eukaryotic cell transfection and prokaryotic cell transformation are well known in the art. The choice of host cell will dictate the preferred technique for introducing the nucleic acid of interest and are known in the art. Exemplary techniques include calcium chloride transfections, electroporation, calcium phosphate transfection, Diethyaminoethyl (DAEA)-Dextran transfection, microinjection, protoplast fusion, biolistics, polyethylene glycol treatment, and the like. Introduction of nucleic acids into an organism may also be done with *ex vivo* techniques that use an *in vitro* method of transfection, as well as established genetic techniques, if any, for that particular organism.

Exemplary polypeptides that are useful as covalent bond forming reaction groups include the class of highly homologous hydrolases capable of hydrolyzing a variety of natural and synthetic esters, including cutenases and lipases. These are small, globular monomeric enzymes ranging in molecular weight from 20kD-30kD. Longhi et al. (1992) *Biochim Biophys Acta*. 1441:185-96; Martinez *et al.*, (1992) *Nature* 356:615-8. These enzymes ran be expressed as a fusion

polypeptide with a broad range of display polypeptides. Para-nitrophenyl phosphonate ("PNPP") is an effective binding partner for cutinase and is a preferred second reactive groups of the bifunctional affinity tag as described above. (Deussen et al., 2000b; Martinez et al., 1994). considerations make the nitrophenyl phosphonate-cutinase pair a preferred system for use in the present method. The enzyme is small (20kD); its rate of inhibition by the binding partner is fast; it has been expressed in high levels in both E. coli and yeast; and it shows excellent stability, even in organic solvents. Cutinase forms a stable, covalent adduct with immobilized phosphonate ligands, which is 10 site-specific and resistant to hydrolysis. Recombinant techniques can be used to provide fusions of cutinase with a display polypeptide. (Bandmann et al., 2000; Berggren et al., 2000). The display polypeptide of a fusion having cutinase as the capture polypeptide will present in a well-defined orientation at the interface when the cutinase is reacted PNPP on the surface.

5

15

20

Accordingly, in one embodiment, the second reactive group of the bifunctional affinity tag is para-nitro phenol phosphate group and the covalent bond forming group of the fusion protein is a cutenase protein.

The present invention may also be used in conjunction with the novel devices and methods described in pending applications (Pealable and Resealable Devices for Biochemical Assays) 10/206,074; 10/206,080; 10/206,075; 10/206,590; 10/206,534; 10/206,081, all filed on July 29, 2002. These applications are herein incorporated by reference in their entirety and are

described below.

10

15

20

The devices and methods of the present invention may be used to perform a variety of assays and detect a variety of interactions and events. The present invention provides methods and devices for detecting the occurrence and extent of biochemical interaction in wells. Exemplary biochemical reactions include, but are not limited to, enzymatic modification such as cleavage of surface-bound substrate (e.g., cleavage by proteases, phosphotases, lipases, and/or transferases). addition and/or ligation (by, e.g., kinases, ligases, and/or transferases), restructuring (structural modification), and modifications by other enzymes, such as, but not limited to, oxidoreductases, transferases, hychrolases, lyases, and ligases. More specific, but still non-limiting examples include glycosyltransferases, glycosidases, kinases, phosphotases, phosphodiesterases, phosphoinositides, sulfotransferases, DNA modifying enzymes, restriction enzymes, ligases, polymerases, and non-peptidic kinases; other biochemical modifications, (for example, modifications not involving enzymes); binding events, such as, but not limited to, antibody-antigen, hormone-receptor, proteinprotein, small molecule-protein, protein-peptide binding events; chemical modification, such as isomerization, oxidation, and reduction; and combinations of any of the above events.

Examples of specific reactions which may be measured include, but are not limited to, binding of antibodies to antigens or fragments thereof, protein binding to protein regulatory domains, enzyme-substrate binding, and protein

embedded therein. Examples of specific uses for devices and methods of the present invention include, but are not limited to, determination of enzymatic inhibition by a collection of compounds in solution; determination of substrates for an enzyme (fishing/selectivity), identifying binding partners for immobilized biomolecules (such as peptides, proteins, nucleic acids, antibodies, enzymes, glycoproteins, proteoglycans, and other biological materials, as well as chemical substances), identifying inhibitors of protein-protein, protein-small molecule or protein-receptor binding, determination of the activity of a collection of enzymes (in one or more than one well), and generating selectivity indices for inhibitors of enzymes or other biologically active molecules, which may preferably be performed simultaneously with the generation of inhibition data.

5

10

15

20

Devices according to the present invention will now be described with reference to the drawing figures, which are exemplary in nature and not intended to limit the scope of the invention in any respect.

FIG. 17 depicts a device for arraying biological materials, according to an example embodiment of the present invention. FIG. 17(a) depicts an unassembled view, while FIG. 17(b) depicts an assembled view.

The elements of device 100 can additionally take on many variations and embodiments, several of which are described herein and others which will be apparent to those of skill in the art given the guidance provided herein. Like elements have been labeled with the same numbers throughout the Figures, even

where they are included in different embodiments.

5

10

15

20

Device 100 comprises a base plate 110 and a removable member 140.

Base plate 110 comprises upper surface 111. Surface 111 is preferably overlaid with a layer of metal 130. Layer 130 comprises surface 131. Examples of metals useful in forming layer 130 include gold, silver, platinum, palladium, and copper. Most preferably, layer 130 comprises gold. In certain embodiments, surface 111 may be overlaid with a layer of metal 120 before being overlaid with layer 130. In such embodiments, layer 120 is overlaid on surface 111 and layer 130 is overlaid on the surface of layer 120. Examples of metals useful in forming layer 120 include chromium and titanium. Other materials that are capable of adhering to the material chosen to form base plate 100 and that chosen to form layer 130 may also be used to form layer 120. Layers 120 and/or 130 may be overlaid on base plate 110 by using art-known methods, such as dunking in baths,

Examples of materials useful for forming base plate 110 include polymers, metals, ceramics, oxides, and the like. Base plate 110 may comprise glass, silicon wafer(s), fused silica, metal films (gold, silver, copper, platinum, etc.) alone or supported on flat surfaces, such as polystyrene, poly(methylacrylate), and polycarbonate.

Preferred substances for surface 111 and/or surface 131 are those that are biologically inert and/or capable of resisting the adsorption of biomolecules, such as proteins, by non-specific reactions. Non-specific adsorption is to be avoided as

it would hamper the ability to immobilize specific biomolecules of interest and/or to immobilize biomolecules in specific locations.

5

10

15

20

Referring still to FIG. 17, surface 111 or surface 131 may be modified to support, accommodate, catalyze, or promote generation of arrays, in which adsorption, chemical reaction, or physical interaction may occur between the modified surface and array elements. Surface 111 or surface 131 may also be modified to exhibit specific interfacial characteristics, such as, but not limited to, accommodating protein adsorption, preventing non-specific protein adsorption, or promoting or resisting cellular attachment. One example of such modifications is the formation of a self-assembled monolayer (SAM) on surface 111 or surface 131. Such monolayers need not be patterned. However, such monolayers can be patterned such that, for example, proteins bind to specific areas, but not to others. Such patterning may be achieved via methods such as micro contact printing. See Andre Bernard et al,: Langmuir, 14(9): 2225-2229 (1998), "Printing Patterns of Proteins," C.D. James et al,: Langmuir, 14: 741-744 (1998), "Patterned Protein Layers on Solid Substrates by Thin Stamp Microcontact Printing"; M. Mrksich and G.M. Whitesides, "Using Self-Assembled Monolayers to Understand the Interactions of Man-Made Surfaces with Proteins and Cells," Annu. Rev. Biophys. Biomol. Struct., 25: 55-78 (1996). See also, Unites States Patent Application Serial No. 60/225,363. See, also, FIG. 40, which illustrates peptides immobilized using mixed SAMs. Such modifications may be performed with removable member 140 sealed to surface 111 or 131, or without removable

member 140 so sealed.

15

20

Removable member 140 comprises upper surface 141 and lower surface 142. Removable member 140 defines a plurality of well orifices 143. Preferably, well orifices 143 are arranged in a spatially defined array, as can be seen in FIG.

17. For example, the spatial arrangement and dimensions of well orifices 143 may correspond to the well openings in industry standard 6-well, 12-well, 24-well, 96-well, 384-well, 1536-well plates, or even 9,600-microwell plates.

Industry standard plates are those that are readily available commonly used throughout the biological, chemical, and pharmaceutical industries and in research in such areas. Well orifices 143 may also be arranged in novel configurations. Thus, the present invention provides for any configuration necessary to take advantage of today's industry standards as well as provides the flexibility to design for novel configurations.

Removable member 140 is formed of a material capable of spontaneously forming a fluid-tight seal with surface 131 or surface 111 when placed into contact with surface 131 or surface 111. Removable member 140 is self-sealing, and a fluid-tight seal between removable member 140 and surface 111 or 131 is made without the use of adhesives, ultrasound, heat or other means of sealing external to removable member 140 and surface 131 or surface 111 when removable member 140 is placed into contact with surface 111 or 131.

Removable member 140 is capable of being sealed to surface 131 or surface 111, then removed therefrom (by means such as peeling and lifting, which may be

performed manually or by machine) without damaging or leaving residue on surface 131 or surface 131 or surface 131 or surface 131 is flat, or substantially planar, and substantially free of residue after removal of removable member 140.

In an alternative embodiment, surface 111 or surface 131 may be non-planar.

5 Examples of non-planar surface 111 or surface 131 include, for example, protrusions, ridges, concave wells, convex areas, grooves, microchannels, or the like. In certain embodiments, surface 111 has shallow concave wells.

Removable member 140 is also capable of being resealed to surface 111 or 131, and a fluid-tight seal between removable member 140 and surface 111 or 131 is made without the use of adhesives, ultrasound, heat or other means of sealing external to removable member 140 and surface 131 or surface 111 when removable member 140 is placed into contact with surface 111 or 131.

Removable member 140 is also capable of being resealed to surface 131 or surface 111. As can be seen from FIG. 17, base plate 110, layers 120 and 130, and removable member 140 preferably have the same footprint as one another. By "footprint," it is meant size and shape in the plane defined by surfaces 111 and 131. Preferably, removable member 140 and base plate 110, surface 111, layer 130 and/or surface 131 comprise registration, or positioning, features. Such features may comprise components that facilitate visual, optical, magnetic, and/or mechanical registration, for example. Other suitable means of registration may also be used. Such features may comprise visual marks or structural features for example. It will often be preferable that such registration means are preferably

15

20

present in embodiments of the present invention, although such means are not illustrated with every embodiment depicted and/ or described herein.

5

10

15

20

One example of a structural feature is registration pins, which preferably ensure alignment between the components of the device within about within 200 microns, preferably within 100 microns, more preferably within 50 or fewer microns in all directions in the plane defined by surfaces 111, 141, and 131. Registration pins may, for example, be formed integrally with base plate 110 and extend through layers 120 and/or 130, and registration orifices in removable member 140, where the pins and registration orifices are positioned to facilitate positioning and re-positioning of removable member 140 on surface 111 or surface 131. As another, preferable, example, registration pins may be separate from the aforementioned components and may be removably inserted through base plate 110, through layers 120 and/or 130, through removable member 140, where the pins and registration orifices are positioned to facilitate positioning removable member 140 on surface 111 or surface 131. Such removable pins may be removed after positioning removable member 140 on surface 111 or surface 131, or they may remain in the registration orifices until it is desired to remove removable member 140. An additional exemplary embodiment having registration pins is depicted in FIG. 31.

As can be seen in FIG. 17, particularly FIG. 17(b), when removable member 140 is sealed to surface 131 or surface 111, a plurality of wells 145 is formed. Well orifice walls 144 form the sides of wells 145, and the well bottoms

of wells 145 correspond to the spatial arrangement and shape of well orifices 143. The spatial arrangement and location of well bottoms 147 correspond to the spatial arrangement and dimensions of well orifices 143 in the plane defined by surface 142. It will be appreciated that well bottoms 147 are constant in size, shape, and spatial arrangement, regardless of whether removable member 140 is sealed to surface 111 or 131 or is not so sealed. Therefore, well bottoms 147 is used herein to describe the portions of surface 111 or 131 corresponding in spatial arrangement and shape well orifices 143 in the plane defined by surface 142, regardless of whether removable member 140 is sealed to surface 111 or 131 or is not so sealed.

5

10

15

20

It certain embodiments, it may be desirable to coat well walls 144 with a material that inhibits binding of materials, particularly biomolecules, such that materials which are deposited into wells 145 to be immobilized on well bottoms 147 will be immobilized to well bottoms 147, and not to well walls 144. Examples of suitable materials include inert SAMs, which are discussed further herein and are known in the art.

Removable member 140 is preferably sturdy enough that it is not damaged during removal from surface 131 or surface 111, that does not degrade and that is not easily damaged by virtue of being used in multiple tests, thus allowing a removable member of the present invention to be sealed to removed from, and resealed to a surface several times during a single assay, or to be used in multiple

assays; however, this is not required. Removable members 140 that are of varying degrees of sturdiness are encompassed by the present invention, and the composition of removable member 140 can be adjusted by one skilled in the art to achieve a desired balance between sturdiness and other characteristics such as adhesive characteristics, resiliency, and thickness. The desired characteristics will vary between applications.

5

20

Exemplary materials useful for fabricating removable member 140 include co-polymers or polymers, most preferably urethanes, rubber, thermoplastic rubber, molded plastic, polymethylmethacrylate (PMMA),

10 polycarbonate, polytetrafluoroethylene (TEFLON<sup>TM</sup>), polyvinylchloride (PVC), polydimethylsiloxane (PDMS), polysulfone, siloxanes, polyamides, and the like. Such materials are preferred for their ease of manufacture, low cost and disposability, as well as their general inertness to most extreme reaction conditions. Such materials may contain colored filler material to alter optical

15 properties, such as transparency, fluorescence, and reflectivity. Such alterations may be desirable to enhance detection by certain devices and will vary according to the device and assay, as will be understood by the skilled user.

Removable member 140 may be manufactured from fabricated masters, using well known molding techniques, such as injection molding, relief molding, embossing or stamping, or by polymerizing a polymeric precursor material within the mold. Masters may be fabricated by suitable techniques, such as conventional machining, micro-machining, photolithography, injection molding, relief

molding, embossing, and stamping. Numerous such techniques are known in the art. See Xia, Y. and Whitesides, G., Angew. Chem. Int. ed. 37: 550-575 (1998), which is herein incorporated by reference.

In many embodiments, removable member 140 is made of a material that is compliant and flexible. In such embodiments, it may be desirable to overlay removable member 140 with a more sturdy supportive member 150, as illustrated in FIG. 17(a). Supportive member 150 may define well orifices 151 corresponding in size and spatial arrangement to well orifices 143 in removable member 140. In such embodiments, when device 100 is assembled with supportive member 150, supportive member 150 will increase the depth of wells 145 when compared with device 100 assembled without supportive member 150, but will not alter the shape or orientation of wells 145 in the plane defined by the surface 111 of base plate 110.

5

10

15

20

Base plate 110 may be formed of any suitable material which is capable of adhering to biomolecules, gold, and/ or surface treatments promoting the adherence of gold or biomolecules. Examples include glass, silicon, and plastics such as polystyrene and polycarbonate. Base plate 110 may be flat or non-planar. Preferably, base plate 100 is flat and rigid. In certain embodiments, base plate 110 is non-planar, for example, base plate 100 may be concave or convex or may have protrusions, ridges, concave wells, convex areas, grooves, channels, or the like, on surface 111. Base plate 110 may be fabricated using any suitable method. Many such methods are known in the art. Exemplary methods include those

which may be used to manufacture removable member 140.

5

10

15

20

Supportive member 150 may be made from any material capable of offering support to removable member 140 and capable of being formed in the desired shape and size. In embodiments in which supportive member 150 defines well orifices 151, supportive member 150 is made from a material capable of having well orifices of the desired size and orientation formed therein, in addition to meeting the above-mentioned requirements. Supportive member 150 may be fabricated by methods described above for fabrication of removable member 140, as well as by other suitable methods.

Supportive member 150 may be permanently or removably attached to removable member 140. When supportive member 150 is permanently attached to removable member 140, it is preferable that supportive member 150 define well orifices 151. Where supportive member 150 is removable, it may be desirable to fabricate removable member 140 without well orifices.

Referring still to **FIG. 17**, supportive member 150 is preferably formed of a material capable of adhering to removable member 140. Adhering may be accomplished with or without the use of adhesives, ultrasound, heat or other means of sealing external to removable member 140 and supportive member 150. However, in such embodiments, supportive member 150 preferably is capable of adhering to removable member 140, then removed therefrom without damaging or leaving residue on removable member 140. In such embodiments, supportive member 150 preferably is capable of being resealed to removable member 140.

In such embodiments, supportive member 150 preferably comprises glass and may also be coated with a metal, such as gold. Removable members 140 that have varying degrees of adhesion are encompassed by the present invention, and the composition of removable member 140 can be adjusted by one skilled in the art to achieve a desired balance between adhesive characteristics and other characteristics such as resiliency, and thickness. The desired characteristics will vary between applications.

Preferably, supportive member 150 (if present), removable member 140, base plate 110, surface 111, layer 130 and/or surface 131 comprise registration, or positioning, features. Such features may comprise components that facilitate visual, optical, magnetic, and/or mechanical registration for example. Such features may comprise visual marks, structural features, and the like. One example of a structural feature is registration pins, which preferably ensure alignment between the components of the device within 200 microns, preferably within 100 microns, more preferably within 50 or fewer microns in all directions in the plane defined by surfaces 111, 141, and 131. Registration pins may, for example, be formed integrally with base plate 110 and extend through layers 120 and/or 130, and registration orifices in removable member 140 and support member 150, where the pins and registration orifices are positioned to facilitate positioning and re-positioning removable member 140 and/or support member 150 on surface 111 or surface 131. As another, preferable, example, which is illustrated in FIG. 18, registration pins may be separate from the aforementioned

components and may be removably inserted through base plate 110, through layers 120 and/or 130, and through removable member 140, where the pins and registration orifices are positioned to facilitate positioning removable member 140 on surface 111 or surface 131. Such removable pins may be removed after positioning removable member 140 and/or support member 150 on surface 111 or surface 131, or they may be left in place until it is desired to remove removable member 140. In embodiments comprising a supportive member, an example of which is provided in FIG. 17, 150, the supportive member may define registration orifices that correspond to those in removable member 140, and the 10 registration orifices in the supportive member may be used to facilitate positioning the supportive member on surface 141. FIG. 18 depicts a device according to the present invention comprising registration pins. FIG. 18(a) shows an unassembled view of an analytical device 200 according to an embodiment of the present invention, while FIG. 18(b) depicts an assembled view. Device 200 is very similar to device 100 of FIG. 17 and comprises base 15 plate 110, layer 120, layer 130, and removable member 140, which defines a plurality of well orifices 143. Removable member 140 also defines registration orifices 160, 170, and 180. Base plate 110 comprises registration orifices 152, 162, and 172. Layers 120 and 130 are overlaid on base plate 110 such that 20 registration orifices 152, 162, and 172 remain open.

5

Registration pins 151, 161, and 171, registration orifices 160, 170, and 180, and registration orifices 152, 162, 172 are sized with relation to one another

and spaced in the plane defined by surfaces 111, 131, and 141 with relation to one another such that they ensure alignment between the components of the device within 200 microns, preferably within 100 microns, more preferably within 50 or fewer microns in all directions in the plane defined by surfaces 141, 131, and 111.

5

10

15

20

In the embodiment depicted in FIG. 18, registration orifices 160 and 162 are of substantially identical shape and size in the plane defined by surfaces 141, 131, and 111. Registration orifices 152 and 172 are elongated in the plane defined by surfaces 141, 111, and 131, with one axis being longer than the other, with the long axis of registration orifice 162 being perpendicular to the long axis of orifice 172. Such elongation may serve to facilitate placement and assembly of the device. As the elongated axes of registration orifices 162 and 172 are parallel to one another, accurate registration is still provided and slippage is avoided. Registration orifices 152 and 172 may be rectangular, but are preferably oblong, with the short axis of registration orifice 152 being approximately equal in length to the diameter of registration orifice 180, and the short axis of registration orifice 172 being approximately equal in length to the diameter of registration orifice 170. Registration orifices 180, 160, 170, 152, 162, and 172 are sized and shaped the plane of surfaces 141, 131, and 111 in relation to the size and shape of registration pins 151, 161, and 171 such that alignment between the components of the device within 200 microns, preferably within 100 microns, more preferably within 50 or fewer microns in all directions in the plane defined by surfaces 111,

141, and 131 is ensured when the registration pins are placed into the registration orifices.

Referring still to FIG. 18, registration pins 151, 161, and 171 are of a length (L) perpendicular to the plane of surfaces 111, 131, and 141 such that the pins extend from the bottom surface of base plate 110 to above surface 141. Registration pins 151, 161, and 171 are of a size and shape in the plane defined by surfaces 111, 131, and 141 in relation to registration orifices 180, 160 170, 152, 162, and 172 such that alignment between the components of the device within 200 microns, preferably within 100 microns, more preferably within 50 or fewer microns in all directions in the plane defined by surfaces 111, 141, and 131 is ensured when the registration pins are placed into the registration orifices.

10

15

20

As can be seen in **FIG. 18**, registration pins 151, 161, and 171 are preferably placed into orifices 180, 160, and 170 before removable member 140 is placed into contact with surface 131— in such a method of assembly, registration pins 151, 161, and 171 are placed into orifices 152, 162, and 172 as removable member 140 is placed into contact with surface 131. Alternatively, registration pins 151, 161, and 171 may be placed into orifices 152, 162, and 172 before removable member is placed into contact with surface 131— in such a method of assembly, registration pins 151, 161, and 171 are placed into orifices 180, 160, and 170 as removable member 140 is placed into contact with surface 131. The above methods of assembly are particularly desirable for uses of the device or steps of a method using the device in which it is desired to align

removable member 140 with a pattern of material, such as biomolecules, already immobilized on surface 131. In other instances, such as uses of the device or steps of a method using the device in which no material has yet been immobilized on surface 131 or material has been immobilized in a uniform layer, it may be desirable to place removable member 140 into contact with surface 131 then place registration pins 151, 161, and 171 into orifices 180 and 152, 160 and 162, and 170 and 172.

5

10

15

20

As is taught herein, devices of the present invention preferably comprise registration means capable of ensuring alignment between the components of the device within 200 µm preferably within 100 µm, more preferably within 50 or fewer µ in all direction in the plane defined by surfaces 111, 141, and 131. As will be clear to the skilled user, the embodiment depicted in FIG. 18 is only one example of an arrangement of registration orifices and pins which are useful in devices according to the present invention.

As another example, registration orifices that are elongated on one axis in relation to registration pins may be located in a removable member and corresponding registration orifices that are not so elongated may be located in a base plate and any layers thereon.

Registration pins according to the present invention are preferably formed of a material and via a manufacturing method that provides sufficient rigidity to avoid bending of the pins as they are inserted into registration holes and to prevent shifting of the components of a device according to the present invention

in the plane defined by the interface between a removable member and a base plate, or coatings or surfaces thereon, of the present invention. Registration pins may be made by any suitable technique, many of which are known in the art. Examples of suitable methods include those described herein for the fabrication of removable members according to the present invention. Registration pins of the present invention are preferably fabricated of a length in the plane perpendicular to the interface between a removable member and a base plate, or coatings or surfaces thereon, sufficient to permit ease of handling.

5

10

15

20

The sealable, removable, and resealable properties of removable members according to the present invention facilitate both the performance of assays and the reading, observation, and measurement of the results of assays performed using devices according to the present invention.

Materials of interest may be immobilized on surface 111 or 131.

Materials of interest include biological materials such as biological molecules, organic molecules, and cells. More specific examples of biological materials which may be immobilized include proteins, nucleic acids, antibodies, biologically-active small molecules, enzymes, glycoproteins, peptides, proteoglycans, and other biological materials, as well as chemical or biochemical substances. Surface chemistries for the immobilization of various materials are also known in the art. One preferable example is immobilization via self-assembled monolayers (SAMs) comprising alkanethiols on gold.

As depicted in FIG. 17, the walls 144 of well orifices 143 may be

substantially normal (i.e., from about 88° to about 92°) with respect to surfaces 141 and 142. Alternatively, walls 144 of well orifices 143 may form obtuse or acute angles with surfaces 141 and 142.

FIG. 19 is very similar to device 100 of FIG. 17 and comprises base plate 5 110, layer 120, layer 130, and removable member 140, which defines a plurality of well orifices 143. FIG. 19 depicts a cross sectional view of an embodiment in which walls 144 of well orifices 143 may form obtuse or acute angles with surfaces 141 and 142. As is depicted in FIG. 19, where walls 144 form angles with surfaces 141 and 142, walls 144 form obtuse angles (A) with surface 141 10 and acute angles (B) with surface 142, such that walls 144 taper downwardly inward with respect to well orifices 143 and wells 145 are in the shape of an inverted truncated pyramid. Preferably walls 144 taper inward with respect to well orifices 143. The degree of tapering can be adjusted as desired for particular applications. It will be appreciated that when walls 144 are tapered the size of 15 well orifice 143 varies between the plane of surface 141, the plane of surface 142, and intermediate planes.

Methods of patterning biological materials are known in the art and are discussed further herein. For example, biomolecules can be patterned via micropipetting manually or using automated micropipetting machines, via soft lithography, by binding to selective SAMs which are patterned on a surface, and by other suitable art-known methods. It may often be preferable to combine two or more patterning methods to achieve the desired results. See Xia, Y. and

20

Whitesides, G., Angew. Chem. Int. ed. 37: 550-575 (1998), which is herein incorporated by reference. See also, Albert Folch and Mehmet Toner; Annu. Rev. Biomed. Eng., vol. 2 (2000), pp.227-56, "Microengineering of Cellular Interactions."

5

10

15

20

Devices and methods of the present invention facilitate the performance of assays requiring exposure of immobilized material to other material, particularly material in solution. Exposure of immobilized materials to biomolecules (such as enzymes of interest or antibodies), washes, reactions with chemicals, exposure to reagents, fixation of immobilized materials, the addition of signaling molecules (such as antibodies, fluorescent molecules, colored molecules, molecules that become colored when exposed to particular chemicals, molecules that become fluorescent when exposed to particular chemicals), and the exposure of signaling molecules to ("developing agents") agents which cause them to change, for example, from non-colored to colored or from non-fluorescent to fluorescent, and the like are collectively referred to as "process steps". Examples of fluorescent dyes include Cy 3, Cy 5 (Amersham U.K.), and DELFIA-based labels.

Biomolecules, signaling molecules, and the like are preferably in solution.

When it is desirable simultaneously to perform process or detection steps on all materials immobilized on surface 111 or 131, removable surface 140 may be removed and the desired process or detection steps may be performed on the entirety of surface 111 or 131 at one time. Process steps performed on all materials immobilized on surface 111 or 131 are referred to as "bulk process

steps."

15

20

Examples of other processing steps that may be performed as bulk processing steps include, but are not limited to immersion by bath techniques in washes, solutions of reagents, solutions of biomolecules; incubation of material with antibody solution; wash steps to remove unbound antibody or other materials; fixing steps to fix immobilized materials; developing steps, such as the addition of a signaling molecule, or the exposure of signaling molecules to agents (e.g., "developing agents") which cause them to change, for example, from non-colored to colored or from non-fluorescent to fluorescent; photo-assisted chemistry; and the like.

Such bulk processing steps may also be automated, thus increasing speed and efficiency even further. For example, baths may be automated and many devices may be processed in serial. As non-limiting examples, test substances, such as biomolecules may be applied to the entirety of surface 111 or 131 at one time. Reagents, washes, and/or biomolecules may be applied to the material immobilized on each of well bottoms 147 simultaneously; thus all material immobilized on well bottoms 147 may be rinsed or exposed to reagents or biomolecules simultaneously, without the need for pipetting into each individual well 145. Such an application is particularly useful when it is desired to expose all immobilized materials to one or more solutions, reagents, or washes or the like.

The condition or state of the immobilized materials may be detected,

observed, read, recorded, or the like at any time desired. For example, in an assay comprising steps of exposing immobilized materials to reagent(s) of interest, the results of the assay may be observed after the desired exposure. Such detection, observation, measurement, recordation, reading, and the like may collectively be referred to as "detection steps."

5

10

15

20

Devices of the present invention are particularly useful for observing results, conditions, or the like, when instruments which function only, or which function better, when the items to be measured are on a unencumbered flat surface (i.e., a substantially planar surface not comprising portions protruding perpendicularly from the flat surface and preferably substantially free from particles or residue from removable members of the invention). Examples of such instruments include, but are not limited to, microscopes (including, but not limited to, bright field, phase contrast, and epi-illuminated fluorescence microscopes), MALDI (Matrix Assisted LASER Desorbtion Ionization) mass spectrometers (which are available from commercial sources, such as Applied Biosystems), ELISA-coupled document scanners, surface plasmon resonance (SPR) sensors (which are available from commercial sources, such as Biacore, GWC, Texas Instruments, and Leica), flat-bed laser confocal scanners (which are available from commercial sources, such as Fuji, Biorad and Molecular Dynamics (examples of instruments available include the Typhoon)), flat bed scanners (including, but not limited to, confocal flat bed laser scanners), colorimetric scanners, fluorometric scanners, phosphorous scanners (which, among other uses,

are effective for flat phosphorous-based imaging of radioisotopes, as commonly used to read DNA arrays, which are available from commercial sources, such as Affymetrix and Agilent), and scanning probe microscopies (such as scanning electron microscopes (SEM) and atomic force microscopes (AFM)). As yet another example, where radioactive signaling molecules are used, a phosphorescent substrate may be placed on the surface (such as surface 111 or 131 of FIG. 17) of a device according to the present invention and allowed to develop.

5

10

15

20

It will be recognized that process and/or detection steps may also be performed when removable member 140 is sealed to surface 111 or 131, using, for example, conventional microtiter plate readers.

After process and/or detection steps, removable member 140 may be resealed onto surface 111 or surface 131 to re-form wells 145. When wells 145 are re-formed, additional process steps may be performed individually on materials immobilized on well bottoms 147 (and, it will be appreciated, detection steps may be performed). The cycle of sealing removable member 140 to surface 111 or 131 and immobilizing materials and/or performing steps on materials immobilized on individual well bottom(s) 147; removing removable member 140 and performing process or detection steps on materials immobilized in individual well bottom(s) 147; and resealing removable member 140 to surface 111 or 131 and performing steps on materials immobilized in individual well bottom(s) 147; may be repeated as many times as is desired.

FIG. 20 depicts an embodiment according to the present invention.

Device 400 of FIG. 20 is very similar to device 100 of FIG. 17 and comprises base plate 110, layer 120, layer 130, and removable member 140, which defines a plurality of well orifices 143. In FIG. 20, removable member 140 is sealed to surface 131 and materials of interest are immobilized on surface 131 in the spatial pattern defined by well orifices 143. Specifically, materials of interest 491 – 496 are immobilized on well bottoms 147 via the application of the materials of interest to well orifices 143. Device 400 with materials 491-496 immobilized on well bottoms 147 is depicted in FIG. 20(b), with removable member 140 sealed to surface 131, and in FIG. 20(c), with removable member 140 removed.

5

10

15

20

Methods for deposition of materials into orifices, or wells, are well-known in the art, and include both manual and automated techniques, such as pipetting, micropipetting, and the use of automated arrayers. Immobilized materials 491 – 496 may be the same or may differ from one another – thus, the material immobilized on each well bottom 147 may be the same or may vary between well bottoms 147. Although this is not illustrated in **FIG. 20**, more than one type of material of interest (such as a combination of two or more of materials 491 – 496) may be immobilized on a single well bottom 147. Device 400 may be used to perform assays and processes, such as those that are described in more detail herein.

Removable member 140 may be removed after immobilization of materials of interest (as is depicted in FIG. 20(c)), or may be left in place (as is

depicted in FIG. 20(b)) for the performance of process and/or detection steps. In embodiments wherein removable member 140 is left in place, process and/or detection steps may be carried out within wells and may, therefore, vary between wells, allowing many different process and/or detection steps to be carried out on immobilized materials. Removable member 140 may be removed whenever it is desired simultaneously to perform a process step on all materials immobilized on surface 111 or 131. After being removed, removable member 140 may be resealed on surface 111 or 131 whenever it is desired separately to perform a process steps on materials immobilized in each of wells 145.

5

10

15

20

In other embodiments, materials of interest may be immobilized on surface 111 or surface 131 without removable member 140 being sealed to surface 111 or 131, and removable member 140 may then be sealed to surface 111 or surface 131 after the material is immobilized. Such a process and a device formed thereby are illustrated in **FIG. 21**. Device 500 of **FIG. 21** is very similar to device 100 of **FIG. 17** and comprises base plate 110, layer 120, layer 130, and removable member 140, which defines a plurality of well orifices 143.

FIG. 21(a) depicts a device according to the present invention without removable member 140 sealed to surface 131. In the embodiment of FIG. 21(b), material of interest 590 is immobilized on surface 131 the use of without removable member 140. In the embodiment of the present invention illustrated in FIG. 21, removable member 140 having well orifices 143 is sealed to surface 131 on top of material of interest 590, as is depicted in FIG. 21(c). A plurality of

discrete areas 595 of material of interest 590 are isolated by well orifices 143.

Areas 595 are isolated from one another by the fluid-tight seal formed between removable member 140 and surface 131 and are accessible from above removable member 141 via well orifices 143.

The use of fluid-tight-seals allow the entire surface of the plate to be reproducibly modified into discrete areas 595, which could not be accomplished in a rigid plate without pipetting fluids into each well, thus potentially contaminating in the wells.

5

10

15

20

Device 500 may be used to perform assays and processes, such as those that are described in more detail herein. Additional materials may be added to wells created by the well orifices 143 and surface 111 or 131 upon the sealing of removable member 140 to surface 111 or 131. Device 500 may be used to perform assays and processes, such as those that are described in more detail herein. Removable member may 140 may be removed from and resealed to surface 131 as desired.

When materials of interest are immobilized to surface 111 or 131 without removable member sealed to surface 111 or 131, a single material may be immobilized on surface 111 or 131, as is illustrated in FIG. 21. Materials of interest may also be patterned on surface 111 or 131. For example, a self-assembled monolayer (SAM) that allows proteins or other biomolecules bind to specific areas, but not to others, may be patterned onto surface 111 or surface 131. Such monolayers can be patterned such that, for example, certain proteins

may bind to one area, other proteins may bind to other areas, and other areas may remain free of bound proteins. FIG. 22 depicts such a process and device according to the present invention. Removable member 140 may be removed after immobilization of materials of interest, or may be left in place for the performance of process and/or detection steps. In embodiments wherein removable member 140 is left in place, process and/or detection steps may be carried out within wells and may, therefore, vary between wells, allowing many different process and/or detection steps to be carried out on immobilized materials. Removable member 140 may be removed whenever it is desired simultaneously to perform a process step on all materials immobilized on surface 111 or 131. After being removed, removable member 140 may be resealed on surface 111 or 131 whenever it is desired separately to perform a process steps on materials immobilized in each of wells 145.

In embodiments such as that depicted in FIG. 22, discrete islands of materials of interest, such as biomolecules, are immobilized in predetermined, spatially defined arrays. Each island is preferably surrounded by an area that is substantially free of biomolecules. It will generally be preferable to immobilize only one species of biomolecule in each island. It will also generally be preferable to immobilize different species of biomolecule in different islands, each surrounded by an area that is substantially free of biomolecules, such that an array of biomolecules islands is formed. This array pattern may repeated two or more times on surface 111 or 131, thus creating an "array of arrays," as can be

seen in FIG. 22,

5

FIG. 22 depicts an "array of arrays" according to the present invention and illustrates yet another device and process according to the present invention. Device 600 of FIG. 22 is very similar to device 100 of FIG. 17 and comprises base plate 110, layer 120, layer 130, and removable member 140, which defines a plurality of well orifices 143. In this embodiment, array(s) of discrete patterns of materials of interest are be immobilized on surface 131 without removable member 140 being sealed to surface 131, as is illustrated in FIG. 22(a), and removable member 140 having well orifices 143 is sealed to surface 131 after the material is immobilized, thereby forming wells 145 with surface 131, as is 10 illustrated in FIG. 22(b). In the embodiment of the present invention depicted in FIG. 22, the letters N, P, R, T, U, V, W, Y, and Z each represent an island of a particular species of biomolecule, with each of N, P, R, T, U, V, W, Y, and Z representing a different species of biomolecule. Removable member 140 may be 15 removed whenever it is desired simultaneously to perform a process step on all materials immobilized on surface 111 or 131. After being removed, removable member 140 may be resealed on surface 111 or 131 whenever it is desired separately to perform a process steps on materials immobilized in each of wells 145. Device 600 may be used to perform assays and processes, such as those that are described in more detail herein. 20

In such embodiments such as that depicted in FIG. 22, it is preferable that, when removable member 140 is sealed to surface 111 or 131, each of well

orifices 143 encompasses at least one island of biomolecules surrounded by an area that is substantially free of biomolecules, as can be seen in **FIG. 22(b)**. In more preferred embodiments, each of well orifices 143 encompasses more than one island of biomolecules, each surrounded by an area that is substantially free of biomolecules.

5

10

15

20

Devices according to the present invention may also be used to create and enable the use of local control regions for use in assays. **FIG. 23** shows an exemplary device for creating and using such local control regions. Device 700 is very similar to device 100 of **FIG. 17** and comprises base plate 110, layer 120, layer 130, and removable member 140, which defines a plurality of well orifices 143. Device 700 also comprises additional removable member 740, which may preferably be used sequentially with removable member 140.

As can be seen in FIG. 23(a), when removable member 140 is sealed to surface 131, a plurality of wells 145 having well bottoms 147 is formed. In the process illustrated in FIG. 23, islands 790 of materials of interest 791, 792, 793, and 794 are immobilized via wells 145 to form a pattern of material immobilized on well bottoms 145. Removable member 140 is then removed from surface 131, and a pattern of material corresponding to well bottom 145 remains immobilized on surface 131, as is depicted in FIG. 23(b). Materials of interest 791, 792, 793, and 794 may be the same material or may differ.

Removable member 740, depicted in FIG. 23(c), has structural, compositional, and sealing characteristics substantially the same as removable

member 140 to removable member 140 and may be manufactured using similar processes and materials.

5

10

15

20

Removable member 740 is formed of a material capable of forming a fluid-tight seal with surface 111, 131, or 141 when placed in contact with any of these surfaces. The fluid-tight seal is made without the use of adhesives, ultrasound, heat or other means of sealing external to removable members 740 or surfaces 111, 131, or 141. Therefore, removable member 740 is capable of being sealed to surface 111, 131, or 141, then removed therefrom without damaging or leaving residue on surface 111, 131, or 141. Surface 111, 131, or 141 is flat after removal of removable member 740. Likewise, removable member 740 is flat after being removed from surface 111, 131, or 141. Removable member 740 is also capable of being resealed to surface 111 or 131, and a fluid-tight seal between removable member 140 and surface 111 or 131 is made without the use of adhesives, ultrasound, heat or other means of sealing external to removable member 140 and surface 131 or surface 111 when removable member 140 is placed into contact with surface 111, 131, or 141.

Removable member 740 defines well orifices 743 which are smaller in the plane defined by surface 741, 131, and 111 than are well orifices 143. When removable member 740 is sealed to surface 131, as is illustrated in FIG. 23(c), wells 745 having wells 747 are formed. As can be seen in FIG. 23(c), well orifices 743 are preferably shaped, oriented, and sized in relation to well bottoms 147 such that well bottoms 747 encompass an exposed portion 795 of each of

islands 790, and a protected border 796 of each of islands 790 surrounding well bottom 747 is covered by removable member 740.

When removable member 740 is sealed to surface 131, the seal is fluid-tight; thus, fluids can be added to wells 747, thereby exposing exposed portions 795 to the fluid, while protected borders 796 remain unexposed to the fluid. Process steps and/or detection steps may then be performed on exposed portions 795, while protected borders 796 are not exposed to the process or detection steps. In this way, a local control is formed for each well 447. Unlike assays in which a single unexposed well or well without protein must serve as a control for a whole plate of reactions, systems and devices of the present invention such as that shown in **FIG. 23** allow the user to account for well-to-well variability.

5

10

15

20

FIG. 24 depicts a device that makes use of two removable members.

Device 800 is very similar to device 100 of FIG. 17 and comprises base plate

110, layer 120, layer 130, and removable member 140, which defines a plurality
of well orifices 143. Device 800 may be used, for example, to create wells within

wells (for example, by using two membranes simultaneously). Device 800 also
comprises removable member 840 comprises upper surface 841 and lower surface

842. Removable member 840 defines a plurality of well orifices 843.

Removable member 840 has structural, compositional, and sealing characteristics
substantially the same as removable member 140 to removable member 140 and

Removable member 840 is formed of a material capable of forming a

may be manufactured using similar processes and materials.

fluid-tight seal with surface 111, 131, or 141 when placed in contact with any of these surfaces. The fluid-tight seal is made without the use of adhesives, ultrasound, heat or other means of sealing external to removable members 840 or surfaces 111, 131, or 141. Therefore, removable member 840 is capable of being sealed to surface 111, 131, or 141, then removed therefrom without damaging or leaving residue on surface 111, 131, or 141. Surface 111, 131, or 141 is flat after removal of removable member 840. Likewise, removable member 840 is flat after being removed from surface 111, 131, or 141. Removable member 840 is also capable of being resealed to surface 111, 131, or 141 and a fluid-tight seal between removable member 840 and surface 111, 131, or 141 is made without the use of adhesives, ultrasound, heat or other means of sealing external to removable member 840 and surface 111, 131, or 141 when removable member 840 is placed into contact with surface 111, 131, or 141.

As depicted in FIG. 24, a first well is defined as a well bounded on sides thereof by walls corresponding to one of the plurality of first well orifices (143) and at a bottom thereof by a corresponding first exposed region on the base plate. A second well is defined as a well bounded on sides thereof at least partially by walls corresponding to one of the plurality of second orifices and at a respective bottom thereof by a corresponding second exposed region on the first removable member and/or the base plate. An exposed region of an element is a region of the element exposed by an orifice of an orifice defining member by virtue of the orifice defining member having been disposed on the element.

As can be seen in **FIG. 24**, well orifices 843 are larger than well orifices in the plane defined by surfaces 111, 131, 141, and 841, and well orifices 843 encompass more than one of well orifices 143 when resealable members 141 and 841 are placed in contact with one another.

5

10

15

20

Regardless of whether second removable member 840 is sealed to surface 111 or 131 (when removable member 140 is not sealed to surface 111 or 131) or second removable member 840 is sealed to surface 141, the spatial arrangement and shape of wells 845 correspond to the spatial arrangement and shape of orifices 843. The spatial arrangement and location of well bottoms 847 correspond to the spatial arrangement and dimensions of orifices 843 in the plane defined by surface 141, 131, 111, and 841. It will be appreciated that well bottoms 847 are constant in size, shape, and spatial arrangement, regardless of whether removable member 840 is sealed to surface 111 or 131 or is not so sealed and regardless of whether second removable member 840 is sealed to surface 141 or is not so sealed. Therefore, well bottoms 847 is used herein to describe the portions of surface 111 or 131 corresponding in spatial arrangement and shape orifices 843 in the plane defined by surface 562, regardless of whether removable member 140 is sealed to surface 111 or 131 or is not so sealed and regardless of whether second removable member 840 is sealed to surface 111, surface 131, or surface 141, or is not so sealed. It will also be recognized that in embodiments in which each orifice 843 encompasses a plurality of orifices 143, each well bottom 847 encompasses a plurality of well bottoms 147.

The sealable, removable, and resealable properties of removable members 140 and 840 facilitate both the performance of assays and the reading, observation, and measurement of the results of assays performed using device 800.

For example, as depicted in **FIG. 24**, removable member 140 is sealed to surface 131, and removable member 840 is sealed to surface 141. A plurality of wells 145, having well bottoms 147, are formed by well orifices 143 and surface 141. A plurality of wells 845 is also formed. The walls of wells 845 are formed by well orifices 843, and the bottom of wells 845 are formed by surface 141 and surface 131. Wells 845 encompass more than one well 145.

5

10

15

20

Device 800 is useful for performing assays, such as biological assays. As an example, when device 800 is configured as in FIG. 24, materials of interest may be immobilized on well bottoms 147 through wells 145, then multiple wells 145 may be simultaneously exposed to liquids via wells 845.

In many preferable embodiments, removable member 140 and removable member 840 will be interchangeably sealed, removed, and resealed to surface 131 one or more times during the course of an assay or procedure.

For example, removable member 140 may be sealed to surface 131 and biological molecules, organic molecules, cells or other materials of interest may immobilized on surface 131 in the defined spatial pattern defined by well orifices 143. Specifically, materials of interest are immobilized on well bottoms 147 via the application of the materials of interest to well orifices 143. Further examples

of materials which may be immobilized include proteins, nucleic acids,
antibodies, biologically-active small molecules, enzymes, glycoproteins, peptides,
proteoglycans, and other biological materials, as well as chemical or biochemical
substances. Methods for deposition of materials into orifices, or wells, are wellknown in the art, and include both manual and automated techniques, such as
pipetting, micropipetting, and the use of automated arrayers. Surface chemistries
for the immobilization of various materials are also known in the art and
discussed herein. Immobilized materials may be the same on each well bottom
147 or vary between well bottoms 147. Likewise, more than one material of
interest may be immobilized on any single well bottom 147.

After immobilization of materials of interest, removable member 140 may be removed from surface 131 or may be left in place (as is depicted in FIG. 24), and second removable member 840 may be sealed to surface 131 or to surface 141. Process steps may then be performed in each of wells 845. In this way, different process steps may be carried out on each group of materials immobilized on each well bottom 847. It will be appreciated that the process steps performed on a given well 847 are performed on each well bottom 147 encompassed by the given well 845. Thus, one process step(s) may simultaneously be performed on a group of materials immobilized on well bottoms 147 within a given well bottom 847; and a second process step(s) be performed on a second group of materials immobilized on well bottoms 147 within a second given well bottom 847.

When it is desirable to perform detection steps and/or the same process

step(s) on material immobilized on each of all well bottoms 847, both removable member 140 and second removable member 840 may be removed.

Again, sealing, removing, and resealing removable member 140 and/or second removable member 840, immobilizing materials, and/or performing process and/or detection steps on materials immobilized on individual well bottom(s) 147 and/ or 847 may be repeated as many times as is desired. It will be appreciated that additional removable members having different arrangements of orifices there through may be assembled and used according to the present invention.

Device 800 may be used, for example, to create wells within wells (for example, by simultaneously using two members) or to pattern spots, groups of which are then confined within wells within wells (for example, by patterning with one member, removing the first member, then placing another member to form wells) or to pattern spots, groups of which are then confined within wells within wells (for example, by patterning with one member, removing the first member, then placing another member to form wells).

10

15

20

When more than one removable member is used in a device according to the present invention, the walls of the well orifices defined by one or more removable member may be substantially normal (i.e., from about 88° to about 92°) with respect to the upper and lower surfaces of the removable members, as is depicted in FIG. 24.

Alternatively, the walls of the well orifices defined by one or more

removable member may define obtuse or acute angles with the upper and lower surfaces of the removable members. Such non-perpendicular well orifices may be used in any embodiment of the present invention where desired. FIG. 25 depicts a cross section of a device that makes use of two removable members that define well orifices having walls that define obtuse or acute angles with the upper and lower surfaces of the removable members. Device 900 is very similar to device 800 of FIG. 17 and comprises base plate 110, layer 130, removable member 140, which defines a plurality of well orifices 143, removable layer 840, which comprises upper surface 841 and lower surface 842 and defines a plurality of well orifices 843. Device 900 may be used, for example, to create wells within wells (for example, by simultaneously using two members).

5

10

15

20

As is illustrated in **FIG. 25**, where walls 144 form angles with surfaces 142 and 141, preferably walls 144 form obtuse angles (A) with surface 142 and acute angles (B) with surface 141, such that walls 144 taper downwardly inward with respect to well orifices 143 and wells 145 are in the shape of an inverted truncated pyramid. The degree of the tapering can be adjusted as desired for particular applications.

Preferably, and as can be seen in **FIG. 25**, the dimensions and spatial arrangement of well orifices 843 are such that when second removable member 840 is sealed to surface 141, each well orifice 843 encompasses at least one well orifice, and preferably a plurality of well orifices 543.

A can be seen in FIG. 25, the dimensions and shape of well orifices 843

and well orifices 143 may be chosen so that, when second removable member 840 is sealed to surface 141, well walls 144 and well walls 844 form a substantially smooth and continuous surface, such that substantially no part of surface 141 is exposed.

5

10

15

20

Turning now to FIG. 26, device 1000 is another embodiment of the present invention. Device 1000 comprises lower assembly 1080 and upper assembly 1090. Lower assembly 1080 of device 1000 is very similar to device 100 of FIG. 17 and comprises base plate 110, layer 120, layer 130, and removable member 140, which defines a plurality of well orifices 143. Assembly 1080 may be similar to device 100, device 500, or to a device comprising or making use of more than two removable members defining well orifices. As can be seen from FIG. 26, removable member 140 defines well orifices 143.

Upper assembly 1090 of device 1000 comprises base plate 1010, which is similar in characteristics, materials, and manufacture as base plate 110; layer 1020, which is similar in characteristics, materials, and manufacture as layer 120; and layer 1030, which is similar in characteristics, materials, and manufacture as base plate 110. Removable member 141 is capable of self-sealing to surface 1031 in the same manner as removable member 140 is capable of binding to surface 131.

As can be seen from FIG. 26, assemblies 1080 and 1090 preferably have the same footprint as one another. When surface 141 of removable member 140 is sealed to surface 1031, well orifices 143 of removable member 140, surface

141 of removable member 140, and surface 1031 form a plurality of fluid-tight passageways 1070. Fluid-tight passageways 1070 and are fluidly connected with each other and fluidly sealed from the outside surroundings.

5

10

15

20

A device such as 1000 may be used, for example, to culture populations of cells such that molecules released by cells 1098, or other materials, immobilized on one assembly can interact with cells, or other materials, 1099 immobilized on the other assembly, but the cells themselves cannot physically interact. As a plurality of fluid-tight passageways 1070 are formed, a plurality of cellular interactions can be studied simultaneously. Interactions between cells and immobilized materials of interest, as discussed herein, may similarly be studied. The sealable, removable, and removable properties of removable member 140 facilitate the collection, addition, and changing of media and the like. Additionally, materials immobilized on one assembly and exposed to materials immobilized on a second assembly can easily and simultaneously be removed from exposure to materials immobilized on the second assembly and exposed to materials immobilized on a third assembly, thus creating an interchangeable system.

Turning now to FIG. 27, device 11 is another embodiment of the present invention. Device 11 comprises lower assembly 1180 and upper assembly 1190.

Lower assembly 1180 of device 1100 is very similar to device 100 of FIG. 17 and comprises base plate 110, layer 120, layer 130, and removable member 140, which defines a plurality of well orifices 143.

Upper assembly 1190 of device 1100 comprises base plate 1110, which is similar

in characteristics, materials, and manufacture as base plate 110; layer 1120, which is similar in characteristics, materials, and manufacture as layer 120; layer 1130, which is similar in characteristics, materials, and manufacture as base plate 110; and removable member 1140, which is similar in characteristics, materials, and manufacture as removable member 140.

5

10

15

20

Removable member 1140 is formed of a material capable of forming a fluid-tight seal with surface 111, 131, 1111, 1131, or 141 when placed in contact with any f these surfaces. The fluid-tight seal is made without the use of adhesives, ultrasound, heat or other means of sealing external to removable members 1140 or surfaces 111, 131, 1111, 1131, or 141. Therefore, removable member 1140 is capable of being sealed to surface 111, 131, 1111, 1131, or 141, then removed therefrom without damaging or leaving residue on surface 111, 131, 1111, 1131, or 141. Surface 111, 131, 1111, 1131, or 141 is flat after removal of removable member 1140. Likewise, removable member 1140 is flat after being removed from surface 111, 131, 1111, 1131, or 141. Removable member 1140 is also capable of being resealed to surface 111, 131, 1111, 1131, or 141, and a fluid-tight seal between removable member 1140 and surface 111, 131, 1111, 1131, or 141 is made without the use of adhesives, ultrasound, heat or other means of sealing external to removable member 1140 and surface 111, 131, 1111, 1131, or 141 when removable member 1140 is placed into contact with surface 111, 131, 1111, 1131, or 141.

Each of assembly 1180 and assembly 1190 may be similar to device 100,

device 500, or to a device comprising or making use of more than two removable members defining well orifices. As can be seen from **FIG. 27**, removable member 140 defines well orifices 143, and removable member 1140 defines well orifices 1143.

5

10

15

20

As can be seen from FIG. 27, assemblies 1180 and 1190 preferably have the same footprint as one another. When surface 1141 of removable member 1140 is sealed to surface 141 of removable member 140, wells 145 (formed by removable member 140 and surface 131) and wells 1145 (formed by removable member 1140 and surface 1131) form a plurality of fluid-tight passageways 1170 and are fluidly connected with each other and fluidly sealed from the outside surroundings.

A device such as 1100 may be used, for example, to culture populations of cells such that molecules released by cells, or other materials, (1198) immobilized on one assembly can interact with cells, or other materials, (1199) immobilized on the other assembly, but the cells themselves cannot physically interact. As a plurality of fluid-tight passageways 1170 are formed, a plurality of cellular interactions can be studied simultaneously. Interactions between cells and immobilized materials of interest, as discussed herein, may similarly be studied. The sealable, removable, and removable properties of removable members 140 and 1140 facilitate the collection, addition, and changing of media and the like. Additionally, materials immobilized on one assembly and exposed to materials immobilized on a second assembly can easily and simultaneously be

removed from exposure to materials immobilized on the second assembly and exposed to materials immobilized on a third assembly.

5

10

15

20

Devices of the present invention may be rotated by any suitable means, such as manually or by using a motor. Such rotation may be for any number of repetitions desired. For example, device 1100, as depicted in FIG. 27, may be continuously rotated so that liquid in well orifice 143 flows into well orifice 1143. Such rotation would be useful in operations such as culturing a first type of cells on well bottom 147 and a second type of cells on well bottom 1147 such that the first and second types of cells remain physically separated, but fluid in which they are grown is exposed to both types of cell.

It will be appreciated that any of the removable members described herein may define well orifices in non-uniform spatial arrangements. For example, a removable member may define well orifices corresponding in spatial arrangement and dimensions to the wells of a 96-well microtiter plate in one area, while defining well orifices corresponding in spatial arrangement and dimensions to the wells of a 384-well microtiter plate in another area. FIG. 28 and FIG. 29 depict exemplary removable members 140 defining well orifices in non-uniform spatial arrangements.

It will also be appreciated that well orifices 143, wells 145, and well bottoms 147 may be of any desired shape. The "shape" of well orifices 143, and of wells 145 and well bottoms 147, refers to the geometric shape of the well orifice in the plane defined by or parallel to surfaces 111, 131, 141 and/or 142.

Preferred shapes include circles, squares, and rectangles. Embodiments of the invention having well orifices 143 in various shapes are depicted in FIG. 28 and FIG. 29.

Similarly, a device according to the present invention may comprise a removable member which does not have the same footprint as the base plate, but rather has a smaller footprint than the base plate. An example of such a device is depicted in FIG. 30.

5

10

15

20

FIG. 35 depicts a process according to the present invention in which removable member 140 is sealed to surface 131, biomolecules are pipetted into wells 145 (which are formed when removable member 140 is sealed to surface 131) using an automated or manual pipetting device and immobilized on surface 131, a biological assay is performed on the immobilized biological material, removable member 140 is removed from surface 131, an assay and the results are read using a flat-bed fluorescence scanner. FIG. 35(a) depicts an example of the use of a pipetting device to add material of interest to wells 145. The pipetting device may conveniently be a standard pipetting device used with microtiter plates, such as 384-well microtiter plates, being used to deliver fluids into wells defined by well orifices in a removable member and a base plate of a device according to the present invention. Process steps may be performed within individual wells while removable member 140 is sealed to surface 131, and/ or may be performed in bulk while removable member 140 is not so sealed. FIG. 35(b) depicts the removal, or peeling, of the removable member from surface

131, thus providing a flat surface with material of interest 1990 immobilized thereon. FIG. 35(c) depicts the detection of changes in material of interest 1990 via scanning using a flat-bed scanner. Device 1900 is placed on the scanner with surface 131 contacting the scanner the lower surface 112 of base plate 110 facing upward.

5

10

15

20

As can be seen in FIG. 35, devices of the present invention enable the combination of the convenience of microwells for separation of materials during process step and detection using instruments that, like flat bed scanners, require or prefer a flat surface. Other examples of such instruments include surface plasmon resonance (SPR) instruments and mass spectrometers such as matrix assisted laser desorption ionization (MALDI) spectrometers.

chemistry, which may be used to immobilize peptides, amino acids, and proteins on a base plate according to the present invention. The self-assembled monolayer (SAM) depicted comprises alkanethiols. Molecules ending in a hydroxy (-OH) group do not bind biomolecules. Molecules having chemoselective termini are dispersed among the inert molecules. In the monolayer depicted, the chemoselective molecules act as tethers to bind a peptide. The peptides to be bound are engineered so that they bind at a particular location. In FIG. 40, the peptide EGPWLEEEEEAYGWMDF binds to the chemoselective SAMs at the terminal E. Since the chemoselective molecules are surrounded by inert molecules, peptides bound to the chemoselective molecules do not bind non-

selectively to other molecules.

5

10

15

20

FIG. 41 depicts an embodiment according to the present invention.

Device 2500 makes use of four removable members to pattern immobilized materials of interest to surface 131. Device 2500 of FIG. 41 is very similar to device 100 of FIG. 17 and comprises a base plate 110, layer 120, layer 130, and removable members 2540, 2550, 2560, and 2570, which defines a plurality of well orifices 2543, 2553, 2563, and 2573. In FIG. 41(a), removable member 2540 is sealed to surface 131, and material of interest is immobilized on surface 131 in the spatial pattern defined by well orifices 2543. Specifically, material of interest A is immobilized on well bottoms 2547 via the application of the material of interest to well orifices 2543. Device 2500 with material A immobilized on well bottoms 2547 is depicted in FIG. 41(b), with removable member 2540 sealed to surface 131, and in FIG. 41(c) with removable member 2540 removed.

As depicted in FIG. 41(d), after immobilization of material of interest A and removal of removable member 2540, a second removable member 2550 is sealed to surface 131 and material of interest B is immobilized on surface 131 in the spatial pattern defined by well orifices 2553. Specifically, material of interest B is immobilized on well bottoms 2557 via the application of the material of interest to well orifices 2553. Device 2500 with material of interest B immobilized on well bottoms 2557 is depicted in FIG. 41(d), with removable member 2550 sealed to surface 131, and in FIG. 41(e) with removable member 2550 removed.

After removable member 2550 is removed after immobilization of material of interest B, as depicted in FIG. 41 (f), a third removable member 2560 is sealed to surface 131 and material of interest C is immobilized on surface 131 in the spatial pattern defined by well orifices 2563. Specifically, material of interest C is immobilized on well bottoms 2567 via the application of the material of interest to well orifices 2563. Device 2500 with material of interest C immobilized on well bottoms 2567 is depicted in FIG. 41(f), with removable member 2560 sealed to surface 131, and in FIG. 41(g) with removable member 2560 removed.

5

10

15

20

As depicted in **FIG. 41(h)**, after immobilization of material of interest C and removal of removable member 2560, a fourth removable member 2570 is sealed to surface 131 and material of interest D is immobilized on surface 131 in the spatial pattern defined by well orifices 2573. Specifically, material of interest D is immobilized on well bottoms 2577 via the application of the material of interest to well orifices 2573. Device 2500 with material of interest D immobilized on well bottoms 2577 is depicted in **FIG. 41(h)**, with removable member 2550 sealed to surface 131, and in **FIG. 41(i)** with removable member 2550 removed.

Device 2500 may be used to perform assays and processes, such as those that are described in more detail herein.

FIG. 42 depicts a device with a non-planar base plate according to the present invention. Device 2600 is very similar to device 100 of FIG. 17 and

comprises base plate 110, layer 120, layer 130, and removable member 2640, which defines a plurality of well orifices 2643. Device 2600 may be used, for example, to pattern areas defined on non-planar base plates.

5

10

15

20

As can be seen in FIG. 42, the spatial arrangement and shape of orifices 2643 correspond to the arrangement and location of well bottoms 133 defined by surface 131 or surface 111 in the absence of layer 120 and layer 130. Dimensions and spatial arrangement of well bottoms 133, formed in the plane defined by surface 131 and 111, are such that the device of the present invention may be used for detection steps using instruments that include, but are not limited to, microscopes (including, but not limited to, bright field, phase contrast, and epiilluminated fluorescence microscopes), MALDI (Matrix Assisted LASER Desorbtion Ionization) mass spectrometers (which are available from commercial sources, such as Applied Biosystems), ELISA-coupled document scanners, surface plasmon resonance (SPR) sensors (which are available from commercial sources, such as Biacore, GWC, Texas Instruments, and Leica), flat-bed laser confocal scanners (which are available from commercial sources, such as Fuji, Biorad and Molecular Dynamics (examples of instruments available include the Typhoon)), flat bed scanners (including, but not limited to, confocal flat bed laser scanners), colorimetric scanners, fluorometric scanners, phosphorous scanners (which, among other uses, are effective for flat phosphorous-based imaging of radioisotopes, as commonly used to read DNA arrays, which are available from commercial sources, such as Affymetrix and Agilent), and scanning probe

microscopies (such as scanning electron microscopes (SEM) and atomic force microscopes (AFM)). As yet another example, where radioactive signaling molecules are used, a phosphorescent substrate may be placed on the surface (such as surface 111 or 131 of FIG. 42) of a device according to the present invention and allowed to develop.

5

10

15

20

Devices according to the present invention may also be used to create patterned regions on planar and non-planar surfaces. **FIG. 43** depicts a cross section of a device that has a non-planar surface 131 and surface 111. Device 2700 is very similar to device 100 of **FIG. 17** and comprises base plate 110, layer 130, and removable member 2740, which define a plurality of well orifices 2743. Device 2700 may be used, for example, to perform assays and processes, such as those that are described in more detail herein.

As can be seen in **FIG. 43**, the spatial arrangement and shape of orifices 2743 correspond to the arrangement and location of well bottoms 133 defined by surface 131 and 111, such that when member 2740 is sealed to surface 131, each well orifice 2743 encompasses one well bottom 133.

Turning now to FIG. 44, device 2800 is another embodiment of the present invention. Device 2800 is very similar to device 100 of FIG. 17 and comprises base plate 110, layer 120, layer 130, and removable member 2840, which defines a plurality of well orifices 2843. In FIG. 44, removable member 2840 is sealed to portions of surface 131 which are flat and materials of interest are immobilized on surface 131 which defines the spatial pattern of well bottoms

133 as well as the spatial patter of well orifices 2843. Specifically, materials of interest 2891-2896 are immobilized on well bottoms 133 via the application of the materials of interest to well orifices 2843. Device 2800 with materials 2891-2896 immobilized on well bottoms 133 is depicted in FIG. 44(b), with removable member 2840 sealed to surface 131, and in FIG. 44(c), with removable member 2940 removed.

5

10

15

20

Methods for deposition of materials into orifices, or wells, are well-known in the art, and include both manual and automated techniques, such as pipetting, micropipetting, and the use of automated arrayers. Immobilized materials 2891 and 2892 may be the same or may differ from one another — thus, the material immobilized on each well bottom 133 may be the same or may vary between well bottoms 133. Although this is not illustrated in FIG. 44, more than one type of material of interest (such as a combination of two or more of materials 2891 - 2896) may be immobilized on a single well bottom 133. Device 2800 may be used to perform assays and processes, such as those that are described in more detail herein.

As with the device shown in FIG. 20, removable member 2840 may be removed after immobilization of materials of interest (as is depicted in FIG. 44(c)), or may be left in place (as is depicted in FIG. 44(b)) for the performance of process and/or detection steps. In embodiments wherein removable member 2840 is left in place, process and/or detection steps may be carried out within wells and may, therefore, vary between wells, allowing many different process

and/or detection steps to be carried out on immobilized materials. Removable member 2840 may be removed whenever it is desired simultaneously to perform a process step on all materials immobilized on surface 111 or 131. After being removed, removable member 2840 may be resealed on surface 111 or 131 whenever it is desired separately to perform a process steps on materials immobilized in each of wells 133.

5

10

15

20

Devices according to the present invention may also have non-planar base plates. **FIG. 45** depicts a cross section of a device that has a non-planar base plate 110 and surface 111. Device 2900 is very similar to device 100 of **FIG. 17** and comprises base plate 110, layer 120, layer 130, and removable layer 2940. Device 2900 may be used, for example, to perform processes and immobilize various biomolecules, such as those that are described in more detail herein.

Non-planar base plate may have any desired geometry. For example, as depicted in FIG. 45(a), non-planar base plate 100 may be concave, or as depicted in FIG. 45(b), non-planar base plate 100 may be convex.

As is described and depicted herein, immobilization and/or patterning of materials of interest, particularly biomolecules, on surfaces is particularly important in devices and methods according to the present invention.

The use of self-assembled monolayers (SAMs) provides a preferred method for immobilization and/ or patterning of material, particularly biomolecules. SAMs are the most widely studied and best developed examples of nonbiological, self-assembling systems. They form spontaneously by

chemisorption and self-organization of functionalized, long-chain organic molecules onto the surfaces of appropriate substrates. SAMS are usually prepared by immersing a substrate in the solution containing a ligand that is reactive toward the surface, or by exposing the substrate to the vapor of the reactive species. There are many systems known in the art to produce SAMs.

5

10

15

20

The best characterized systems of SAMs are alkanethiolates CH<sub>3</sub>(CH<sub>2</sub>)<sub>n</sub>S-on gold. Alkanethiols chemisorb spontaneously on a gold surface from solution and form adsorbed alkanethiolates. Sulfur atoms bonded to the gold surface bring the alkyl chains in close contact - these contacts freeze out configurational entropy and lead to an ordered structure. For carbon chains of up to approximately 20 atoms, the degree of interaction in a SAM increases with the density of molecules on the surface and the length of the alkyl backbones. Only alkanethiolates with n >11 form the closely packed and essentially two-dimensional organic quasi-crystals supported on gold that characterize the SAMs most useful in soft lithography. The formation of ordered SAMs on gold from alkanethiols is a relatively fast process. Highly ordered SAMs of hexanedecanethiolate on gold can be prepared by immersing a gold substrate in a solution of hexadecanethiold in ethanol (ca. 2mM) for several minutes, and formation of SAMs during microcontact printing may occur in seconds.

In certain embodiments, it may be desirable to pattern the SAM to have an arrayed surface. Patterning SAMs in the plane defined by a surface has been achieved by a wide variety of techniques, including micro-contact printing, photo-

oxidation, photo-cross-linking, photo-activation, photolithography/plating, electron beam writing, focused ion beam writing, neutral metastable atom writing, SPM lithography, micro-machining, micro-pen writing. A preferred method is micro-contact printing. Micro-contact printing is described in U.S. Patent 5,776,748 and is herein incorporated in its entirety.

5

10

15

20

In another embodiment, a coating comprising SAMs is "patterned" by micro-contact printing. The SAM patterns are applied to the support using a stamp in a "printing" process in which the "ink" consists of a solution including a compound capable of chemisorbing to form a SAM. The ink is applied to the surface of a plate using the stamp and deposits a SAM on the support in a pattern determined by the pattern on the stamp. The support may be stamped repeatedly with the same or different stamps in various orientations and with the same or different SAM-forming solutions. In addition, after stamping, the portions of the support which remain bare or uncovered by a SAM may be derivatized. Such derivatization may conveniently include exposure to another solution including a SAM-forming compound. The SAM-forming or derivatizing solutions are chosen such that the regions of the finished support defined by the patterns differ from each other in their ability to bind biological materials. Thus, for example, a grid pattern may be created in which the square regions of the grid bind to specific biomolecules, or biomolecules generally, but the linear regions of the grid substantially bioinert, and few or no biomolecules bind in those areas.

A simple description of the general process of microcontact printing is as

pattern to form a stamp. The stamp with the stamping surface after curing is separated from the mold. The stamp is inked with a desired "ink," which includes a SAM-forming compound. The "inked" stamp is brought into contact with a plate comprising a substrate and optionally, coated with a thin coating of surface material. The SAM forming compound of the ink chemisorbs to the material surface to form a SAM with surface regions in a pattern corresponding to the stamping surface of the stamp. The plate can then be exposed to a second or filling solution including a SAM-forming compound. The second solution has filled the bare regions of the surface material with a second or filling SAM. The plate having the patterned SAM can then have a material selectively bound to the surface regions of the first SAM but not bound the surface regions of the second SAM and vice-versa.

5

10

The stamp is inked with a solution capable of forming a SAM by

15 chemisorption to a surface. The inking may, for example, be accomplished by (1) contacting the stamp with a piece of lint-free paper moistened with the ink, (2) pouring the ink directly onto the stamp or (3) applying the ink to the stamp with a cotton swab. The ink is then allowed to dry on the stamp or is blown dry so that no ink in liquid form, which may cause blurring, remains on the stamp. The

20 SAM-forming compound may be very rapidly transferred to the stamping surface. For example, contacting the stamping surface with the compound for a period of time of approximately 2 seconds is generally adequate to effect sufficient transfer,

or contact may be maintained for substantially longer periods of time. The SAM-forming compound may be dissolved in a solvent for such transfer, and this is often advantageous in the present invention. Any organic solvent within which the compound dissolves may be employed but, preferably, one is chosen which aids in the absorption of the SAM-forming compound by the stamping surface. Thus, for example, ethanol, THF, acetone, diethyl ether, toluene, isooctane and the like may be employed. For use with a PDMS stamp, ethanol is particularly preferred, and toluene and isooctane are not preferred as they are not well absorbed. The concentration of the SAM-forming compound in the ink solution may be as low as 1  $\mu$ M. A concentration of 1-10 mM is preferred and concentrations above 100 mM are not recommended.

5

10

15

20

The support is then contacted with the stamp such that the inked stamping surface bearing the pattern contacts the surface material of the plate. This may be accomplished by hand with the application of slight finger pressure or by a mechanical device. The stamp and plate need not be held in contact for an extended period; contact times between 1 second and 1 hour result in apparently identical patterns for hexadecanethiol (1-10 mM in ethanol) ink applied to a plate with a gold surface. During contact, the SAM-forming compound of the ink reacts with the surface of the plate such that, when the stamp is gently removed, a SAM is chemisorbed to the plate in a pattern corresponding to the stamp.

A variety of compounds may be used in solution as the ink and a variety of materials may provide the surface material onto which the ink is stamped and

the SAM is formed. In general, the choice of ink will depend on the surface material to be stamped. In general, the surface material and SAM-forming compound are selected such that the SAM-forming compound terminates at a first end in a functional group that binds or chemisorbs to the surface of the surface material. As used herein, the terminology "end" of a compound is meant to 5 include both the physical terminus of a molecule as well as any portion of a molecule available for forming a bond with the surface in a way that the compound can form a SAM. The compound may comprise a molecule having first and second terminal ends, separated by a spacer portion, the first terminal 10 end comprising a first functional group selected to bond to the surface material of the plate, and the second terminal end optionally including a second functional group selected to provide a SAM on the material surface having a desirable exposed functionality. The spacer portion of the molecule may be selected to provide a particular thickness of the resultant SAM, as well as to facilitate SAM formation. Although SAMs of the present invention may vary in thickness, as 15 described below, SAMs having a thickness of less than about 50 Angstroms are generally preferred, more preferably those having a thickness of less than about 30 Angstroms and more preferably those having a thickness of less than about 15 Angstroms. These dimensions are generally dictated by the selection of the 20 compound and in particular the spacer portion thereof.

A wide variety of surface materials and SAM-forming compounds are suitable for use in the present invention. A non-limiting exemplary list of

combinations of surface materials and functional groups which will bend to those surface materials follows. Although the following list categorizes certain preferred materials with certain preferred functional groups which firmly bind thereto, many of the following functional groups would be suitable for use with exemplary materials with which they are not categorized, and any and all such combinations are within the scope of the present invention. Preferred materials for use as the surface material include metals such as gold, silver, copper, cadmium, zinc, palladium, platinum, mercury, lead, iron, chromium, manganese, tungsten, and any alloys of the above when employed with sulfur-containing functional groups such as thiols, sulfides, disulfides, and the like; doped or undoped silicon employed with silanes and chlorosilanes; metal oxides such as silica, alumina, quartz, glass, and the like employed with carboxylic acids; platinum and palladium employed with nitrites and isonitriles; and copper employed with hydroxamic acids. Additional suitable functional groups include acid chlorides, anhydrides, sulfonyl groups, phosphoryl groups, hydroxyl groups and amino acid groups. Additional surface materials include germanium, gallium, arsenic, and gallium arsenide. Additionally, epoxy compounds, polysulfone compounds, plastics and other polymers may find use as the surface material in the present invention. Polymers used to form bioerodable articles, including but not limited to polyanhydrides, and polylactic and polyglycolic acids, are also suitable. Additional materials and functional groups suitable for use in the present invention can be found in U.S. Pat. No. 5,079,600, issued 7 Jan. 1992,

5

10

15

20

and incorporated herein by reference.

According to a particularly preferred embodiment, a combination of gold as the surface material and a SAM-forming compound having at least one sulfur-containing functional group such as a thiol, sulfide, or disulfide is selected.

5 The SAM-forming compound may terminate in a second end or "head group," opposite to the end bearing the functional group selected to bind to the surface material, with any of a variety of functionalities. That is, the compound may include a functionality that, when the compound forms a SAM on the surface material, is exposed. Such a functionality may be selected to create a SAM that is hydrophobic, hydrophilic, that selectively binds various biological or other 10 chemical species, or the like. For example, ionic, nonionic, polar, nonpolar, halogenated, alkyl, aryl or other functionalities may exist at the exposed portion of the compound. A non-limiting, exemplary list of such functional groups includes those described above with respect to the functional group for attachment to the surface material in addition to: --OH, --CONH--, --CONHCO--, 15 --NH<sub>2</sub>, --NH--, --COOH, --COOR, --CSNH--, --NO<sub>2</sub>, --SO<sub>2</sub>, --RCOR--, --RCSR--, --RSR, --ROR--, --PO<sub>4</sub>-3, --OSO<sub>3</sub>-2, --SO3-, --NH<sub>x</sub>R<sub>4</sub>-x<sup>+</sup>, --COO<sup>-</sup>, --SOO<sup>-</sup> , --RSOR--, --CONR<sub>2</sub>, --O(CH<sub>2</sub> CH<sub>2</sub>)OR--, --(OCH<sub>2</sub> CH<sub>2</sub>)<sub>n</sub> OH (where n=1-20, preferably 1-8), --CH<sub>3</sub>, --PO<sub>3</sub> H, --2-imidazole, --N(CH<sub>3</sub>)<sub>2</sub>, --NR<sub>2</sub>, --PO<sub>3</sub>H<sub>2</sub>, --20 CN,  $-(CF_2)_n CF_3$  (where n=1-20, preferably 1-8), olefins, and the like. In the above list, R is hydrogen or an organic group such as a hydrocarbon or fluorinated hydrocarbon.

As additional examples, SAMS to which biomolecules are to be attached may terminate in moieties such as biotin, avidin, glutathione, and nitriloacetic acid. Biomolecules ending in moieties such as avidin, biotin, His-tag, glutathione-S-transferase (GST) tag, glutathione, and nitriloacetic acid, may then be bound specifically to their respective binding partner (e.g., biotin-avidin, glutathione-glutathione-S-transferase-tag, nitriloacetic acid-His-tag).

5

10

As used herein, the term "hydrocarbon" includes alkyl, alkenyl, alkynyl, cycloalkyl, aryl, alkaryl, aralkyl, and the like. The hydrocarbon group may, for example, comprise methyl, propenyl, ethynyl, cyclohexyl, phenyl, tolyl, and benzyl groups. The term "fluorinated hydrocarbon" is meant to refer to fluorinated derivatives of the above-described hydrocarbon groups.

In addition, the functional group may be chosen from a wide variety of compounds or fragments thereof which will render the SAM generally or specifically "biophilic" as those terms are defined below. Generally biophilic functional groups are those that would generally promote the binding, adherence, or adsorption of biological materials such as, for example, intact cells, fractionated cells, cellular organelles, proteins, polypeptides, small molecules, lipids, polysaccharides, simple carbohydrates, complex carbohydrates, and/or nucleic acids. Generally biophilic functional groups include hydrophobic groups or alkyl groups with charged moieties such as --COO\*, --PO\*H\* or 2-imidazolo groups, and compounds or fragments of compounds such as extracellular matrix proteins, fibronectin, collagen, laminin, serum albumin, polygalactose, sialic acid.

and various lectin binding sugars. Specifically biophilic functional groups are those that selectively or preferentially bind, adhere or adsorb a specific type or types of biological material so as, for example, to identify or isolate the specific material from a mixture of materials. Specific biophilic materials include antibodies or fragments of antibodies and their antigens, cell surface receptors and their ligands, nucleic acid sequences and many others that are known to those of ordinary skill in the art. The choice of an appropriate biophilic functional group depends on considerations of the biological material sought to be bound, the affinity of the binding required, availability, facility of ease, effect on the ability of the SAM-forming compound to effectively form a SAM, and cost. Such a choice is within the knowledge, ability and discretion of one of ordinary skill in the art.

5

10

15

20

Alternatively, the functional group may be chosen from a wide variety of compounds or fragments thereof which will render the SAM "biophobic" as that term is defined below. Biophobic SAMs are those with a generally low affinity for binding, adhering, or adsorbing biological materials such as, for example, intact cells, fractionated cells, cellular organelles, proteins, lipids, polysaccharides, simple carbohydrates, complex carbohydrates, and/or nucleic acids. Biophobic functional groups include polar but uncharged groups including unsaturated hydrocarbons. A particularly preferred biophobic functional group is polyethylene glycol (PEG).

The central portion of the molecules comprising the SAM-forming

compound generally includes a spacer functionality connecting the functional group selected to bind the to surface material and the exposed functionality.

Alternately, the spacer may essentially comprise the exposed functionality, if no particular functional group is selected other than the spacer. Any spacer that does not disrupt SAM packing and that allows the SAM layer to be somewhat impermeable to various reagents such as etching reagents, as described below, in addition to organic or aqueous environments, is suitable. The spacer may be polar; non-polar; halogenated or, in particular, fluorinated; positively charged; negatively charged; or uncharged. For example, a saturated or unsaturated, linear or branched alkyl, aryl, or other hydrocarbon spacer may be used.

5

10

15

20

A variety of lengths of the SAM-forming compound may be employed in the present invention. For example, if mixed SAM of two or more compounds are used in the ink, it is often advantageous that the active molecule be longer than the inert molecule (non-binding), which enables the former compound that has the conformational order to interact with biological molecules, to "stick out" into the solution.

As another example, when a two or more step process is used in which a first SAM is provided on a surface and at least a second SAM is provided on the surface, the various SAMs being continuous or noncontinuous, it may be advantageous in some circumstances to select molecular species for formation of the various SAMs that have different lengths. For example, if the SAM formed by stamping has a first molecular length and the SAM subsequently derivatized to

the surface has a second molecular length greater than that of the stamped SAM, a continuous SAM having a plurality of "wells" results. These wells are the result of the stamped SAM being surrounded by the second SAM having a longer chain length. Such wells may be advantageously fabricated according to certain embodiments, for example, when it is desirable to add greater lateral stability to particular biological materials, such as cells, which have been captured in the wells. Such wells may also form the basis for reaction vessels.

Additionally, SAMs formed on the surface material may be modified after such formation for a variety of purposes. For example, a SAM-forming compound may be deposited on the surface material in a SAM, the compound having an exposed functionality including a protecting group which may be removed to effect further modification of the SAM. For example, a photoremovable protecting group may be used, the group being advantageously selected such that it may be removed without disturbance of the SAM of which it is a part. For example, a protective group may be selected from a wide variety of positive light-reactive groups preferably including nitroaromatic compounds such as o-nitrobenzyl derivatives or benzylsulfonyl. Photo-removable protective groups are described in, for example, U.S. Pat. No. 5,143,854, and incorporated herein by reference, as well as an article by Patchornik, JACS, 92, 6333 (1970) and Amit et al., JOC, 39, 192, (1974), both of which are incorporated herein by reference. Alternately, a reactive group may be provided on an exposed portion of a SAM that may be activated or deactivated by electron beam lithography, x-

ray lithography, or any other radiation. Such protections and deprotections may aid in chemical or physical modification of an existing surface-bound SAM, for example in lengthening existing molecular species forming the SAM. Such modification is described in U.S. Pat. No. 5,143,857, referenced above.

5

10

15

20

Another preferred method of patterning the SAM to have an array matching the cell patterning layer, for example, is through soft lithography methods known in the art. Soft lithography has been exploited by George M. Whitesides and is described in U.S. patent 5,976,826 and PCT W0 01/70389, herein incorporated by reference in their entirety. For example, the cell patterning layer (150) having micro-orifices (300) is placed over the SAM. The cell patterning membrane forms a conformal seal on the SAM. A modifying solution is then placed on the cell patterning membrane and allowed to contact the SAM surface exposed by the micro orifices (300). A "modifying" solution is one that modifies the head group of the SAM to achieve a desired characteristic or that adds or removes a desired biomolecule to the head group. For example, a tether may be added to the exposed SAMs head groups, which in turn captures a protein, which in turns provides an affinity for the cell to be patterned subsequently through a well-defining layer.

Preferred surface portions of the patterned SAM are cytophilic, that is, adapted to promote cell attachment. Molecular entities creating cytophilic surfaces are well known to these of ordinary skill in the art and include antigens, antibodies, cell adhesion molecules, extracellular matrix molecules such as

laminin, fibronectin, synthetic peptides, carbohydrates and the like.

5

10

15

20

In methods and devices according to the present invention, a flat surface (such as surface 131, referring to FIG. 17 as an example, and/ or surface 1031, referring to FIG. 17 as an example) is preferably coated with a SAM, and materials of interest, such as biomolecules, are immobilized on the SAM. It will generally be preferable to apply a mixed SAM comprising from about 0.1% to about 10%, more preferably from about 0.5% to about 5%, most preferably from about 1% to about 2% SAM-forming molecules that terminate in chemical groups that are capable of binding to a specific chemical group. The remainder of the mixed SAM will preferably be a SAM-forming molecule that is terminated in a chemical group that is substantially inert towards biological molecules. An example of such a mixture is a mixed SAM containing 2% maleimide-terminal groups, which couple specifically to thiol groups, in a background of tri(ethylene glycol) terminal groups, which are substantially inert. In this way, molecules containing thiol can be immobilized on the SAM-coated surface at via a specific site on the molecule itself and at a known density (corresponding to the density of maleimide-terminated SAM-forming molecules). The inert background reduced or eliminates non-specific binding.

Devices and methods according to the present invention find particular application in the biological and pharmaceutical sciences, such as in the fields of biochemistry, molecular biology, cell biology, clinical diagnostics, environmental screening, immunology, genomics, microscopy, and proteomics. These devices

and methods are particularly useful in the area of drug discovery, finding application in, for example, identification and validation of target compounds, toxicity screening, and the like.

Devices and methods of the present invention are suitable for high throughput assays, and also allow assays to be performed using new and 5 improved techniques. Significantly, devices and methods according to the present invention combine the advantages of a flat surface with the advantages of a well structure. Using the devices and methods of the present invention, the user can pattern materials of interest in a predetermined, spatially defined manner, utilize a well structure in which to perform assays, and successfully and 10 accurately read, detect, or monitor the results of the assays using devices and techniques that require the surfaces to be read be flat, or that function optimally when the surfaces to be read are flat. As an alternative to patterning materials of interest on a surface, the user can immobilize materials of interest over an entire surface, then use devices and methods according to the present invention to 15 isolate certain areas from others.

As another example, using a device such as that illustrated in FIG. 20, a user can perform an assay with a local control for each test well. Unlike assays in which a single unexposed well or well without protein must serve as a control for a whole plate of reactions, the methods and devices of the present invention allow the user to account for well-to-well variability.

20

In a preferred embodiment of the present invention, the self-assembled

monolayers are modified to have "switchable surfaces." For example, self-assembled monolayers can be designed with a "head group" that will capture a desired molecule. The head group is then subsequently modified at a desired point in time to release the captured molecule. In a preferred embodiment of the present invention, the head group is modified such that after release of the captured cell, the head group no longer will attract and attach subsequent cells. This release is important to allow the patterned cells to migrate. If a self-assembled monolayer did not have a "switchable" head group, the migration of the cell may be hindered. An example of a "switchable" control is depicted in FIG. 30. This figure depicts a particular peptide-presenting compound that allows cells to attach to itself. Upon application of an electrical potential, the peptide presenting compound is cleaved causing the release of cells from the support. Importantly, the portion of the peptide presenting compound that remains after application of the electrical potential is unable to bind cells, and thus eliminates the potential for non-specific cell binding.

It is also often desirable to utilize a bioinert support material to resist nonspecific adsorption of cells, proteins, or any other biological material. The most
successful method to confer this resistance to the adsorption of protein has been
to coat the surface with poly(ethylene glycol) PEG. A variety of methods,
including adsorption, covalent immobilization, and radiation cross-linking, have
been used to modify surfaces with PEG. Polymers that comprise carbohydrate
units also passivate surfaces, but these material are less stable and less effective

than PEG. A widely used strategy is to pre-adsorb a protein - usually bovine serum albumin- that resists adsorption of other proteins. In addition, self-assembled monolayers that are prepared from alkanethiols terminated in short oligomers of the ethylene glycol group [HS(CH<sub>2</sub>)<sub>11</sub>(OCH<sub>2</sub>CH<sub>2</sub>)<sub>n</sub> OH:n = 2-7] resist the adsorption of several model proteins. Even self-assembled monolayers that contain as much as 50% methyl-terminated alkanethiolates, if mixed with oligo(ethylene glycol)-terminated alkanethiolates, resist the adsorption of protein. Further, self-assembled monolayers that are terminated in oligo(ethylene glycol) groups may have broad usefulness as inert supports, because a variety of reactive groups can be incorporated in self-assembled monolayers in controlled environments.

In contrast to using a bioinert treatment or support material, by choosing an appropriate support or treatment, the surface can be modified to have any desired functionality. For example, the support can be treated to have immobilized biomolecules such as other cells, DNA/RNA, chemicals, or other biological or chemical entity.

The invention will now be further illustrated by the following nonlimiting examples.

EXAMPLES

**Example 1: General Laboratory Methods** 

**NMR** 

5

10

15

20

<sup>1</sup>H NMR spectra were recorded on a Varian 400 MHz spectrometer in CDCl<sub>3</sub>, CD<sub>3</sub>OD or D<sub>2</sub>O, with chemical shifts reported relative to the residual peak of the respective solvent. Reactions were performed under an argon atmosphere. Reagents were used as received unless otherwise stated.

5

10

15

20

### Chromatography

Flash chromatography was carried out using Merck Silica gel 60 (230-400) mesh. Thin-layer chromatography (TLC) was performed on EM Science silica gel 60 plates (0.25 mm thickness). All compounds were visualized with either short-wave ultraviolet light, ninhydrin staining solution, or a cerium sulfate/ammonium heptamolybdate tetrahydrate staining solution. All reagents were purchased from Aldrich or VWR.

#### Substrate preparation

Gold substrates were prepared by evaporation of an adhesive layer of titanium (1.5 nm) followed by a layer of gold (45 nm) onto microscope cover glass (VWR 24x50 mm #2) or coverslips (VWR 25x75 mm). Prior to evaporation, the substrates were cleaned by sonication in hexanes (20 min) and 95% EtOH (20 min), followed by drying in a stream of nitrogen. Evaporations were performed using a thermal evaporator (Edwards Auto 306) at a pressure of  $6x10^{-6}$  Torr and at a rate of 0.3 nm/s. The gold-coated substrates were cut into ca. 1x1 cm pieces, washed with absolute ethanol and dried under a stream of

nitrogen. The monolayers were formed by immersion of the clean gold substrates in ethanolic solutions of mixtures of disulfides 1 and 2 in various ratios (0.2 mM total disulfide concentration). After 16 h the monolayers were rinsed with absolute ethanol and dried under a stream of nitrogen gas.

5

10

15

## Quality Control of Maleimide/EG3 mixed SAM surface

A gold chip that presents 1.5% maleimide groups in a background of EG3 was loaded into an SPR machine (Biacore 3000). Two flow channels over this chip were simultaneously exposed to cysteine-biotin that reacts with the maleimide in order to present 1.5% biotin at the surface. Subsequently, one channel was exposed to 70 ug/mL of streptavidin and then one channel was exposed to 500 ug/mL of fibrinogen. The large signal change caused by the adsorption of streptavidin (2100 RU) indicated a large specific binding capacity of biotin; the small signal change caused by the adsorption of fibrinogen (90 RU) indicated that the surface had retained a low non-specific binding (NSB). This experiment indicated that this batch of maleimide/EG3 surfaces were suitable for protein immobilization (see below).

# Surface Plasmon Resonance Spectroscopy

SPR was performed with a Biacore 3000 instrument. Gold-coated glass microscope cover glass presenting SAMs to be analyzed were mounted in SPR cartridges. All experiments used a flow rate of 10 μL/min.

### **Electrochemistry**

Electrochemical studies were performed using a BAS Epsilon

potentiostat. Electrochemistry on SAMs was performed in water containing 0.5

M KNO3 as the electrolyte using the gold substrate as the working electrode, a

platinum wire as the counter electrode, and a Ag/AgCl/KCl reference electrode.

All experiments were performed in the cyclic voltammetry mode, at a scan rate of 200 mV/s.

10 
$$Q = nF$$
;  $F = 96,500 \text{ C/mol}$  (1)

Density = 
$$\frac{\text{n/cm}^2}{}$$
 (2)

 $0.78 \text{ nmol/cm}^2$ 

15

20

The determination of the density of the maleimide group incorporated into the SAM was determined electrochemically. SAMs of variable densities of maleimide were immersed in a solution of electroactive ferrocene 13 (1 mM in absolute EtOH) for 2 hours, followed by extensive rinsing with EtOH. After drying the SAMs under nitrogen, cyclic voltammetry was performed.

From the area under the redox waves, total charge (Q) can be determined (Equation 1), which is proportional to the number of moles (n) of redox-active molecule on the surface. The density is determined by dividing the moles of redox active molecule by the total moles of molecule on the surface (after the two numbers are normalized to SAM surface area) (Equation 2). We assume that all

of the maleimide groups on the surface react with the ferrocene-thiol molecule, so from the density of ferrocene on the surface, we infer that the density of maleimide is the same.

### 5 Example 2: Preparation of Compounds

Synthesis of Disulfides for Preparation of SAMS

2-{2-[2-(2-{2-[2-(11-Tritylsulfanyl-undecyloxy)-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy

To a solution of 2-{2-[2-(2-{2-[2-(11-mercapto-undecyloxy)-ethoxy]-ethoxy}-ethoxy]-ethoxy}-et

Toluene-4-sulfonic acid 2-{2-[2-(2-{2-[2-(11-trity|sulfanyl-undecy|oxy)-

### ethoxy]-ethoxy}-ethoxy]-ethoxy]-ethyl ester (5)

p-Toluenesulfonyl chloride (900 mg, 4.7 mmol) was added to a solution of alcohol 4 (910 mg, 1.28 mmol) dissolved in 12 mL of CH<sub>2</sub>Cl<sub>2</sub> and 2 mL of pyridine at 0°C. The solution was warmed to room temperature and stirred for 16
h. The reaction mixture was rinsed with brine (2x30 mL) and H<sub>2</sub>O (2x30 mL), and then the organics were dried over MgSO<sub>4</sub>. After the solvent was evaporated, the crude residue was purified by flash chromatography (gradient, 1:1 hexanes/EtOAc to EtOAc) to afford 1.01 g (91 %) of pure 5. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 7.79 (d, J = 8.0 Hz, 2 H), 7.40 (d, J = 7.8 Hz, 6 H), 7.33 (d, J = 8.0 Hz, 2 H), 7.26 (t, J = 7.8 Hz, 6 H), 7.19 (t, J = 7.8 Hz, 3 H), 4.15 (t, J = 4.8 Hz, 2 H), 3.67 (t, J = 5.2 Hz, 2 H), 3.64-3.54 (br m, 20 H), 3.43 (t, J = 6.8 Hz, 2 H), 2.45 (s, 3 H), 2.12 (t, J = 7.2 Hz, 2 H), 1.56 (m, 2 H), 1.42-0.90 (br, 16 H).

#### DiBOC protected amine (6).

To a solution of Di-tert-butyl iminodicarboxylate (136 mg, 0.63 mmol) in 10 mL of DMF at 0° C was added sodium hydride (60%, 25 mg, 0.63 mmol).

After stirring at room temperature for 40 min, a solution of 5 (452 mg, 0.52 mmol) in 3 mL of DMF was added dropwise. The solution was stirred for 45 h, and then the solvent was evaporated in vacuo. The crude residue was dissolved in 30 mL of CH<sub>2</sub>Cl<sub>2</sub> and rinsed with H<sub>2</sub>O (2x10 mL). After drying the organic layer over MgSO<sub>4</sub> and evaporating the solvent, the residue was purified by column chromatography (hexanes/EtOAc, 1:1, v/v) to give 343 mg (72%) of pure

6. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz)  $\delta$  7.39 (d, J = 7.6 Hz, 6 H), 7.26 (t, J = 7.6 Hz, 6 H), 7.18 (t, J = 7.6 Hz, 3 H), 3.77 (t, J = 6.4 Hz, 2 H), 3.65-3.54 (br m, 22 H), 3.43 (t, J = 6.4 Hz, 2 H), 2.11 (t, J = 7.6 Hz, 2 H), 1.54 (m, 2 H), 1.49 (s, 18 H), 1.4-1.0 (br, 16 H).

5

10

20

2-{2-[2-(2-{2-[2-(11-Mercapto-undecyloxy)-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy

A solution of ethanedithiol (0.5 mL), H<sub>2</sub>O (0.5 mL), phenol (1 g), and thioanisole (0.5 mL) dissolved in 10 mL of trifluoroacetic acid was added to 6 (247 mg, 0.27 mmol). After stirring for 6 h at room temperature, the reaction mixture was concentrated and purified by column chromatography (gradient elution, CH<sub>2</sub>Cl<sub>2</sub>/MeOH 20:1, v/v to 10:1 to 5:1) to give 147 mg of a mixture of 7 (ca. 75 %) and trityl protected thiol (ca. 25 %). Continued to the next step without further purification. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz)  $\delta$  3.87 (t, J = 4.8 Hz, 2 H), 3.74 (t, J = 4.2 Hz, 2 H), 3.71-3.62 (m, 16 H), 3.57 (t, J = 4.0 Hz, 2 H), 3.42 (t, J = 7.0 Hz, 2 H), 3.14 (br, 2 H), 2.50 (q, J = 7.2 Hz, 2 H), 1.62-1.47 (br m, 4 H), 1.40-1.18 (m, 14 H).

2-(2-{2-[11-(Pyridin-2-yldisulfanyl)-undecyloxy]-ethoxy}-ethoxy)-ethanol (9)

Aldrithiol-2 (145 mg, 0.66 mmol) was added to a solution of 2-{2-[2-(11-mercapto-undecyloxy)-ethoxy]-ethoxy}-ethanol<sup>ref</sup> (201 mg, 0.66 mmol) in 5 mL of MeOH. After stirring the solution for 18 h at room temperature, the solvent

was evaporated, and the residue was purified by flash chromatography (gradient elution, hexanes/EtOAc 1:1, v/v to EtOAc) to afford 9 148 mg (56%).  $^{1}$ H NMR 400 MHz (CDCl<sub>3</sub>)  $\delta$  8.44 (s, 1H), 7.74 (br s, 1H), 7.60 (br s, 1H), 7.07 (br s, 1H), 3.75-3.55 (br, 12H), 3.43 (t, J = 7 Hz, 2H), 2.77 (t, J = 7.0 Hz, 2H), 1.65 (m, 2H), 1.55 (m, 2H), 1.41-1.17 (br, 14H).

2-(2-{2-[2-(2-{2-[11-(11-{2-[2-(2-Hydroxy-ethoxy)-ethoxy}-ethoxy}-undecyldisulfanyl)-undecyloxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy}-ethoxy

5

20

To a solution of 7 (120 mg, 0.2 mmol) in 5 mL of MeOH was added 9 (101 mg, 0.22 mmol). The solution was stirred at room temperature for 33 h. After concentration, the reaction mixture was purified by flash chromatography (gradient: CH<sub>2</sub>Cl<sub>2</sub>/MeOH, 20:1 to 10:1 to 5:1, v/v) to afford 137 mg of impure 8. <sup>1</sup>H NMR 400 MHz (CD<sub>3</sub>OD) δ 3.85 (t, *J* = 4.8 Hz, 2 H), 3.76-3.52 (m, 32 H), 3.42 (m, 4 H), 3.14 (t, *J* = 4.8 Hz, 2 H), 2.65 (t, *J* = 7.4 Hz, 4 H), 1.67 (m, 2 H), 1.55 (m, 2 H), 1.40-1.18 (br m, 32 H).

4-(2,5-Dioxo-2,5-dihydro-pyrrol-1-yl)-N-[2-(2-{2-[2-(2-{2-[11-(11-{2-[2-(2-hydroxy-ethoxy)-ethoxy}-undecyldisulfanyl)-undecyloxy}-ethoxy

To a solution of crude 8 (137 mg, 0.2 mmol) in 4 mL of anhydrous DMF was added  $\gamma$ -maleimidebutyric acid N-hydroxysuccinimide ester (10) (58 mg,

0.21 mmol) and Et<sub>3</sub>N (48  $\mu$ L, 0.34 mmol). The solution was stirred at room temperature for 24 h. After concentration, the reaction mixture was purified by flash chromatography (EtOAc/MeOH, 10:1, v/v) to afford 22 mg of 1. <sup>1</sup>H NMR 400 MHz (CDCl<sub>3</sub>)  $\delta$  6.69 (s, 2 H), 6.48 (br, 1 H), 3.72 (t, J = X Hz, 2 H), 3.68-3.52 (br m, 32 H), 3.42 (m, 4 H), 2.65 (t, J = X Hz, 4 H), 2.15 (t, J = X Hz, 2 H), 1.91 (m, 2 H), 1.7-1.5 (m, 8 H), 1.4-1.2 (br, 28 H). ES-MS: m/z 967.8 (MH<sup>+</sup>).

# 2-(2-{2-[11-(11-{2-[2-(2-Hydroxy-ethoxy)-ethoxy}-undecyl disulfanyl)-undecyloxy]-ethoxy}-ethoxy}-ethoxy)-ethoxy)-ethoxy

To a solution of 2-{2-[2-(11-mercapto-undecyloxy)-ethoxy]-ethoxy}-ethanol (145 mg, 0.43 mmol) dissolved in 5 mL of THF was added 1 mL of a NaOH solution (0.1 M), followed by iodine (5 crystals). The solution was stirred at room temperature for 24 h and added to 20 mL of CH<sub>2</sub>Cl<sub>2</sub>. After the solution was rinsed with H<sub>2</sub>O (2x5 mL), the organics were dried over MgSO<sub>4</sub> and concentrated. The crude residue was purified by flash chromatography (CH<sub>2</sub>Cl<sub>2</sub>/MeOH, 20:1, v/v) to afford 78 mg (54 %) of 2. <sup>1</sup>H NMR 400 MHz (CDCl<sub>3</sub>)  $\delta$  3.72-3.52 (br, 24H), 3.44 (t, J= 7.2 Hz, 4H), 2.66 (t, J= 7.0 Hz, 2H), 2.41 (br s, 2H), 1.65 (m, 4H), 1.57 (m, 4H), 1.41-1.20 (br, 28H).

#### 20 Synthesis of ferrocene thiols

5

10

15

Ferrocene-2-carboxylic acid [2-(pyridin-2-yldisulfanyl)-ethyl]-amide (12)

EDC (213 mg, 1.1 mmol) was added to a solution of ferrocenecarboxylic

acid (232 mg, 1.0 mmol) and *N*-hydroxysuccinimide (128 mg, 1.1 mmol) dissolved in 20 mL of CH<sub>2</sub>Cl<sub>2</sub>. The solution was stirred at room temperature for 5 h and concentrated. The crude residue was dissolved in 8 mL of DMF and 2-(pyridin-2-yldisulfanyl)-ethyl-ammonium chloride (222 mg, 1.0 mmol) was added followed by Et<sub>3</sub>N (0.14 mL, 1.0 mmol). After stirring for 48 h, the solvent was evaporated *in vacuo*. The crude residue was purified by flash chromatography (gradient: hexanes/EtOAc, 1:1, v/v to EtOAc) to afford 113 mg (28 % over 2 steps) of 12.  $^{1}$ H NMR 400 MHz (CDCl<sub>3</sub>)  $\delta$  8.56 (m, 1H), 7.61 (m, 1H), 7.52 (m, 1H), 7.43 (t, J = 6.8 Hz, 1H), 7.15 (m, 1H), 4.75 (m, 2H), 4.34 (m, 2H), 4.20 (s, 5H), 3.63 (q, J = 6.0 Hz, 2H), 2.98 (t, J = 6.0 Hz, 2H).

# Ferrocene-2-carboxylic acid (2-mercapto-ethyl)-amide (13)

5

10

15

20

To a solution of 12 (113 mg, 0.28 mmol) dissolved in 6 mL of MeOH was added DTT (438 mg, 2.8 mmol) and Et<sub>3</sub>N (79  $\mu$ L, 0.57 mmol). The solution was stirred at room temperature for 18 h, followed by evaporation of the solvent. The residue was dissolved in 15 mL of EtOAc and rinsed with H<sub>2</sub>O (8x15 mL) to remove excess DTT. After drying over MgSO<sub>4</sub> and concentrating, the residue was purified by flash chromatography (hexanes/EtOAc, 1:1, v/v) to afford 46 mg (57 %) of 13. <sup>1</sup>H NMR 400 MHz (CDCl<sub>3</sub>) d 6.16 (br s, 1H), 4.66 (t, J = 1.8 Hz, 2H), 4.33 (t, J = 1.8 Hz, 2H), 4.19 (s, 5H), 3.53 (q, J = 6.4 Hz, 2H), 2.75 (m, 2H).

Synthesis of Ethyl-4-nitrophenyl(8-mercapto-octyl)phosponate Diethyl(7-

#### octene)phosponate (15)

A mixture of 8-bromo-1-octene (14) (5 g, 26.16 mmol) and triethyl phosphite (8.69 g, 52.32 mmol) was slowly heated to 156 °C. The reaction mixture was stirred overnight at this temperature under Argon. The ethyl bromide that evolved was trapped with a condenser and a receiving flask in an ice bath. Excess triethyl phospite was removed in vacuo and the residual oil was distilled under high vacuum (~160 °C) to give (15) as a colorless oil (6.22 g, 96%). ¹H NMR (400MHz, CDCl<sub>3</sub>) δ 1.2-1.4 (m, 12H), 1.5 (m, 2H), 2.0 (δ, 2H), 4.1(m, 4H), 4.9 (q, 2H), 5.8 (m, 1H). ³¹P( 400MHz, CDCl<sub>3</sub>) δ 33.3.

10

15

20

5

#### Ethyl-4-nitrophenyl(7-octene)phosponate (16)

Compound (15) (1.00 g, 4.03 mmol) was dissolved in dry CH<sub>2</sub>Cl<sub>2</sub> (30 mL). After the mixture was cooled to 0 °C under Argon, oxalyl chloride (1.28 g, 10.07 mmol) was added drop wise. The mixture was slowly allowed to reach room temperature and stirred for 16 hours. Excess oxalyl chloride and the solvent were removed in vacuo. The intermediate mono-chlorophosphate and 4-nitrophenol (561 mg, 4.03 mmol) were dissolved in dry CH<sub>2</sub>Cl<sub>2</sub> (30 mL). Triethylamine (816 mg, 8.06 mmol) was added drop wise and the mixture was stirred at room temperature for 5 hours. This was concentrated in vacuo to obtain a yellow oil, which was purified by flash chromatography (silica gel, Hex:EtOAc (1:1)) to give pure (3) as an oil (1.06 g, 77%). <sup>1</sup>H NMR (400MHz, CDCl<sub>3</sub>) δ 1.2-1.5 (m, 9H), 1.7 (m, 2H), 2.0 (m, 2H), 4.2 (m, 2H), 5.0 (m, 2H), 5.8 (m, 1H), 7.4

 $(\delta, 2H), 8.2 (\delta, 2H).$ 

# Ethyl-4-nitrophenyl(8-thioacetate-octyl)phosponate (17)

A solution of (16) (161 mg, 0.47 mmol) in dry 1,4-dioxane (5 mL)

containing thiolacetic acid (359mg, 4,72 mmol) and AIBN (15.4 mg, 0.094 mmol) was stirred at reflux under Argon for 2.5 hours. The mixture was concentrated, and was purified by flash chromatography (silica gel, CH<sub>2</sub>Cl<sub>2</sub>:EtOAc (4:1)) to give compound (4) as a yellow oil (149 mg, 76%). <sup>1</sup>H NMR (400MHz, CDCl<sub>3</sub>) δ 1.2-1.5 (m, 9H), 1.5-1.6 (m, 2H), 1.9-2.0 (m, 2H),

2.35 (s, 3H), 2.85 (t, 2H), 4.1-4.3 (m, 2H), 7.4 (δ, 2H), 8.25 (δ, 2H).

#### Ethyl-4-nitrophenyl(8-mercapto-octyl)phosponate (18)

A solution of compound (17) (1.14 g, 2.72 mmol) in MeOH (30 mL) containing concentrated HCl (545 mL, 13.6 mmol) was stirred at 40 °C for 16hours. The mixture was concentrated in vacuo, re-dissolved in CH<sub>2</sub>Cl<sub>2</sub> and washed with saturated sodium bicarbonate (2 x 20 mL) and brine (1 x 20 mL). The organic layer was dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated to give a pure (18) as a yellow oil. <sup>1</sup>H NMR (400MHz, CDCl<sub>3</sub>) δ 1.2-1.5 (m, 11H), 1.7 (m, 2H), 1.9 (m, 2H), 2.5 (q, 2H), 4.1-4.3 (m, 2H), 5.0 (m, 2H), 5.8 (m, 1H), 7.4 (δ, 2H), 8.25 (δ, 2H).

# **Example 2: Kinase Assay**

#### Peptide Immobilization

An src kinase substrate with a C-terminal cysteine (IYGEFKKKC) was covalently immobilized to a SAM presenting maleimide at a density of 2 % by incubation with a 1mM peptide solution (pH 6) for 1hr, followed by rinsing with water and drying with a stream of nitrogen.

#### Kinase Inhibitor Assays

5

10

15

20

Staurosporine (Calbiochem) was prepared at various concentrations in DMSO. Active p60°-src was purchased from Upstate Biotechnology, and diluted kinase assay buffer (KAB) (50mM HEPES pH 7.4, 0.1mM EDTA, 0.015% Brij 35) and kinase dilution buffer (KDB) (KAB with 0.1mg/ml BSA and 0.2% β-mercaptoethanol) for a final assay concentration of 20 pM p60°-src. Kinase reactions were set up by premixing 0.6 μl of a staurosporine dilution with 4 μl of p60°-src dilution, and started by adding ATP/Mg²+ cocktail (made in KAB) to final concentrations of 50 μM ATP and 10 mM Mg²+. 6 μl of reaction mixture were immediately delivered to each well, and the sample was incubated for 30 min at 37°C. After incubation, the sample was washed for 1 min in 8mM SDS, 3x3 min in TBST (TBS (10mM Tris pH 7.4, 150mM NaCl) with 0.05% Tween-20), and 2x3 min in TBS.

Phosphorylation of the substrate peptide was detected by incubation for 1hr with polyclonal anti-phosphotyrosine antibody (Calbiochem) diluted to 1 µg/ml in TBS with 3% (w/v) BSA. After washing again for 2x3 min in TBST

and 2x3 min in TBS, the sample was incubated for 1hr with alkaline phosphatase conjugated anti-rabbit (Rockland Immunochemicals) diluted to 2 µg/ml in TBST with 1% (w/v) BSA, and developed with the BCIP/NBT alkaline phosphatase substrate (reference). The resulting sample was scanned in 16-bit greyscale using a conventional flatbed document scanner, and the average greyscale pixel value in each spot was obtained using standard image analysis software.

The intensity of color (blue) is proportional to the kinase activity. FIG. 8(A) is gray scale image of the substrates after development. FIG 8(B) is a plot of spot intensity converted to percent inhibition. By fitting a sigmoidal doseresponse curve to the plot, the log IC<sub>50</sub> (M) of staurosporine for p60<sup>c-src</sup> was determined to be  $-6.9\pm0.09$ .

# Example 4: Immobilization of carbohydrates

#### Carbohydrate Tagging

All carbohydrates were derivatized by converting the peracetylated sugar, having an n-pentenyl group on the reducing end, to a thiolacetate derivative. The sugars were then saponified under oxygen-free conditions to afford, after neutralization, the fulling deprotected carbohydrate containing a thiol group at the reducing end. See FIG. 10.

20

15

5

10

#### Carbohydrate Immobilization

Carbohydrates were covalently immobilized to a monolayers (on a

microscope slide) presenting maleimide at a density of 2 % by incubation with a solution of fully deprotected carbohydrate comprising a reducing end pentane thiol group (1 mM carbohydrate in pH 6 phosphate buffer) for 1hr. After rinsing with water and ethanol, the monolayers were dried under a stream of nitrogen. See FIG. 11.

## Example 5: Gycosyltransferase Assay

A surface presenting 3 different substrates for a glycosyltransferase assay was formed by contacting a maleimide surface derivatized with 3 different carbohydrate-thiol solution. The carbohydrates were immobilized in discrete locations on the slide by confining the solutions using a peelable and resealable device. See FIG. 12.

# Example 6: Covalent Immobilization of a Fusion Protein

# 15 Preparation of Cutenase

5

10

20

The Fusarium solani pisi cutenase gene includes two exons separated by a 50 bp intron. To remove the intron each exon was amplified using primer sets containing restriction endonuclease sites. After PCR amplification and restriction digestion of the PCR products, the two exons were ligated, resulting in the intron free cutinase gene. The gene was then inserted into a plasmid using recombinant methods.

Plasmids were maintained and propagated in DH5 E. coli. containing two

exons and an intron was amplified from F. solani genomic DNA using primers

Exon IF and Exon2B. Two cutinase exons were then separately amplified from
the purified cutinase gene using primers. During the PCR, a Kpn I restriction
enzyme-recognition site was incorporated to each exon. Following agarose-gel

purification and Kpn I restriction digestion, these exons were ligated using T4

DNA ligase, and the correctly ligated DNA was purified using 1.5% agarose-gel
electrophoresis. The ligated DNA was digested with Nco I and BamH I and
ligated to corresponding sites of pET-22b(+) (NOVAGEN, INC., Madison, WI).

The resulting plasmid, pCut22b, codes a gene for the recombinant cutinase whose

N-terminal leader sequence is replaced by a pelB leader sequence for periplasmic
localization of the expressed protein. Plasmid constructions were confirmed by
restriction analysis and deoxynucleotide sequencing.

TPrimer oligonucleotide sequences. Restriction sites are underlined

ExonlF GCC ACG GCC ATG GGC CTG CCT ACT TCT AAC CCT

GCC CAG GAG (SEQ ID NO. 1)

15

20

Nco I

Exon1B CC GGT ACC CAA GTT GCC CGT CTC TGT TGA ACC TCG
GGC (SEQ ID NO. 2)

Kpn I

Exon2F CC GGT ACC CTC GGT CCT AGC ATT GCC TCC AAC CTT GAG (SEQ ID NO. 3)

Kpn I

5 Exon2B CCG GGA TCC TCA AGC AGA ACC ACG GAC AGC CCG

AAC (SEQ ID NO. 4)

BamH I

20

The cutinase gene was expressed in E. coli. Cutinase contains two

disulfide bridges that are critical to its function. Since the cytoplasm of E. coli is reducing, the protein was exported to the oxidative environment of the periplasm to allow the disulfide bonds to form properly. Incorporation of a pelB leader sequence in place of the original leader sequence allowed cutinase to be transported to the periplasm of E coli, which is an environment that facilitates proper folding of enzymes containing disulfide bonds, using the natural machinery of the bacteria.

Recombinant cutinase was expressed in E. coli strain BL21 (DE3) harboring pCut22b using a T7 expression system. Cells harboring pCut22b were grown in 10 mL Luria-Bertani (LB) broth supplemented with 50 g/m I ampicillin at 37 °C. The overnight culture was diluted 100-fold in a 2 L-baffled flask and grown further at 37 C at 240 rpm. Cutinase expression was induced when OD600 = 0.3 by the addition of IPTG to 0.5 mM, and the expression of cutinase was

allowed for 4 more hours at 37 °C with continuous shaking. Cells were then collected by centrifugation at 5,000 x g for 30 min (SORVALL SLA-3000 rotor, KENDRO, Newtown, CT), and penplasmic proteins were collected using a sucrose osmotic shock method as described in the literature. Periplasmic

5 fractions were further purified using a size-exclusion chromatographic method.

Briefly, periplasmic fractions were loaded on a SEPHADEX G-75 column (1.8 cm X 75 cm, AMERSHAM PHARMACIA BIOTECH, Piscataway, NJ)

equilibrated in buffer A (50 mM bicine, pH 8.3) at 4 C and purified isocratically (flow rate = I mL/min). Fractions having esterase activity were analyzed by 15%

10 SIDS-PAGE and concentrated using CENTRIPREP YM-10 (MILLIPORE, MA).

Protein concentrations were determined using calculated extinction coefficient (
280 = 13,370 M-1 cm-1) in denaturing conditions (10 mM sodium phosphate, pH 6.5, 6.0 M guanidine-HCl).

analyzed by SIDS PAGE. All fractions of E. coli lysate showed a band corresponding to a molecular weight of 22 kDa, which is the expected migration of cutinase. The enzyme was efficiently expressed in E. coli, and the expressed protein was exported to the periplasm as shown in Figure 12 (Fl-F3). Even before purification, the periplasmic fractions showed more than 80% purity. The cutinase was further characterized by MALDI-TOF mass spectrometry, which was consistent with the calculated value (m/Zexp = 22,515.89 m/zcalc = 22,421).

A large fraction of the expressed proteins partitioned in the cytosolic fraction.

To determine whether the protein was functional, a kinetic study of the enzymatic hydrolysis of 4-nitrophenyl butyrate, a highly active substrate of cutinase, was performed. The cutinase concentration was 1 M. The release of PNP was followed using absorbance spectroscopy. A plot of the initial rate of the hydrolysis reaction versus substrate concentration confirmed that the reaction followed standard Michaelis-Menten kinetics with a Michaelis constant (Km) of 1 mM, which is comparable to the reported value.

Spectrophotometric measurement was performed at room temperature using BECKMAN DU-640 spectrophotometer (BECKMAN COULTER, INC., Fullerton, CA). Esterase activity of purified recombinant cutinase was measured by monitoring p-nitrophenol butyrate (PNB) hydrolysis rates at 410 nm ( = 8,800 M-1cm-1) in buffer A.

#### Immobilization of cutinase to SAM

5

10

A self-assembled monolayer (SAM) terminated in a phosphonate binding partner moiety was prepared. The ligand was present at a low density mixed with tri(ethylene glycol) groups, which resist non-specific protein adsorption. The immobilization of cutinase to the monolayer was characterized by SPR spectroscopy. Phosphate buffered saline (pH 7.4) was flowed over the monolayer for 2 min to establish a baseline, followed by a solution of protein in the same buffer for 10 min to observe binding. Finally, the protein solution was replaced with buffer for 6 min to quantitate the amount of protein that was irreversibly

immobilized. Cutinase (25 M) bound irreversibly to the surface. Treatment of the monolayer with sodium dodecyl sulfate (SIDS) (0.5 mg/mL) did not result in removal of cutinase from the surface, confirming that the immobilization was covalent SDS is a detergent that serves to remove non-covalently immobilized molecules from a surface. Cutinase which was first blocked with 20 showed no binding to the surface, demonstrating that the immobilization was specific.

Crude E. coli periplasmic extracts obtained after transformation with the cutinase plasmid were tested for specific immobilization. Crude extract was flowed over the monolayer and the same amount of binding was observed as in the case of purified cutinase, and remained the same after rinsing with SDS.

Periplasmic lysate of E. coli that was not transformed with the cutinase plasmid did not bind to the monolayer, demonstrating that the monolayer presenting the phosphonate ligand is resistant to non-specific protein absorption and can be used to purify and immobilize cutinase.

15

20

10

# Formation of covalent bond between mixed SAM and biofunctional molecule

A gold chip that presents 1.5% maleimide groups in a background of EG3 was exposed to a 5 mM methanolic solution of (8-mercapto-octyl)-phosphonic acid ethyl ester 4-nitro-phenyl ester -- the bifunctional molecule (compound 5; HS-PNPP) -- for 1 hour. During this process the thiol group of HS-PNPP reacts with the maleimide groups at the surface and results in 1.5% of a monolayer of the PNPP ligand being presented at the surface.

Biospecific-covalent attachment of a cutinase-fusion protein to the PNPPterminated surfaces detected using SPR

The chip created above was then loaded into the SPR machine that

contacts a microfluidic manifold to the gold chip to allow different solutions to be delivered to the surface in up to 4 flow channels. The following fluidic protocol was then applied to the surface and the SPR signal — which measures the mass of protein that adsorbs to the surface — was measured as a function of time:

t= 0 to 300 s. Flow channels 1-3 (Fc=1 to 3) were all exposed to 20 mM tris (pH

10 7.4) buffer

20

t= 300 to 1500 s. Fc=1 to 3 were then exposed to 3 different solutions:

Fc=1: 500 ug/mL fibrinogen in 20 mM tris (pH 7.4) buffer

Fc=2: 40 microM of cutinase fused to hexahistidine (cutinase-his6) in 20 mM tris buffer

15 Fc=3: 40 microM of cutinase-his6 pre-mixed with 100 microM of PNPP
t=1500 to 2250 s. Fc=1 to 3 were all exposed to 20 mM tris (pH 7.4) buffer
t=2250 to 2850 s. Fc=1 to 3 were all exposed to 8 mM SDS in 20 mM tris (pH 7.4) buffer

t>2850 s. Fc=1 to 3 were all exposed to 20 mM tris (pH 7.4) buffer

Analysis of these data show that 1150 RU of cutinase-his6 was immobilized in Fc=2, which indicated close to a full monolayer of protein. An SDS wash did not reduce this signal significantly (1080 RU), which proves that

the protein is covalently attached. Cutinase-his6 that was preincubated with the immobilization ligand in solution (PNPP) gave very little adsorption (30 RU in Fc=3) as would be expected when the binding sites of the cutinase were fully occupied before contact with the surface. Fibrinogen did not stick to this surface, which shows that there is low non-specific binding and the immobilization strategy is biospecific.

5

10

15

We note that in this case the cutinase-his6 was immobilized in the SPR. To generate chips for assays etc., this process would normally be carried out on gold-coated microscope slides or plates outside the SPR. The methodology for forming the covalent bond between the protein (cutenase) and the biofunctional molecule involves depositing the protein from a suitable, non-denaturing buffer, for example, tris-buffered saline with 0.05% of a non-denaturing surfactact such as Tween-20. Where the proteins are being arrayed onto the surface, we would also minimize evaporation of the solution, for example, by adding 5-20% of glycerol.

#### We claim:

5

1. An article having a coinage metal surface and a mixed self-assembled monolayer surface covering at least a portion of the coinage metal surface,

the mixed self-assembled monolayer surface comprising a first monolayer moiety and a second monolayer moiety,

the first monolayer moiety comprising a thiolate bearing a covalent bond forming reactive group, and

a second monolayer moiety comprising a thiolate bearing an inert group.

- 10 2. The article of claim 1 wherein the covalent bond forming reactive group of the first monolayer moiety is a Michael acceptor.
- The article of claim 2 wherein the Michael acceptor is selected from the group consisting of quinone, maleimide, α-β unsaturated ketone, α-β unsaturated
   amide and α-β unsaturated ester.
  - 4. The article of claim 3 wherein the Michael acceptor is a maleimide.
- The article of claim 4 wherein the maleimide is a maleimide radicalhaving a formula:

wherein R<sub>1</sub> is hydrogen or an electron withdrawing group.

- 5 6. The article of claim 5 wherein  $R_1$  is an electron withdrawing group.
  - 7. The article of claim 6 wherein the electron withdrawing group is a carboxylic acid derivative selected from the group consisting of carboxylic acid, ester, amide, carbamate, nitrile, acyl halide and imidazolide.

10

- 8. The article of claim 1 wherein the inert group of the second monolayer moiety resists non-specific adsorption of a biomolecule.
- 9. The article of claim 8 wherein the inert group is polyethylene glycol.

15

10. An article having a coinage metal surface and a mixed self-assembled monolayer surface,

the mixed self-assembled monolayer surface comprising a first monolayer moiety and a second monolayer moiety,

- the first monolayer moiety comprising a thiolate bearing a covalent bond forming reactive group, and
  - a second monolayer moiety comprising a thiolate bearing an inert group,

wherein the first and second monolayer moieties are present in a predetermined ratio of the first monolayer moiety to the second monolayer moiety.

- 5 11. The article of claim 10 wherein the first monolayer moiety is 10 mole percent or less of a total of the first and second monolayer moieties on the surface.
- 12. The article of claim 11 wherein the first monolayer moiety is 5 mole
  10 percent or less of the total monolayer moieties on the surface.
  - 13. The article of claim 12 wherein the first monolayer moiety is from about 0.01 mole percent to about 2 mole percent of the total monolayer moieties on the surface.

15

20

14. A process for making an article having a coinage metal surface region and a mixed self-assembled monolayer of thiolate on the surface region,

the process comprising a contacting step of contacting the coinage metal surface with a solution comprising a mixture of a first monolayer forming disulfide moiety bearing a covalent bond forming reactive group, and a second monolayer forming disulfide moiety bearing an inert group, the mixture in an inert solvent,

wherein the contacting step forms a mixed self-assembled monolayer of thiolates on the surface region, wherein the first monolayer forming disulfide moiety reacts with the coinage metal surface region to form a first monolayer thiolate moiety bearing the covalent bond forming reactive group, and the second monolayer forming disulfide moiety reacts with the coinage metal surface region to form a second monolayer thiolate moiety bearing the inert group.

15. The process of claim 14 wherein the disulfide compound of the first monolayer forming moiety is symmetric, having two Michael acceptors.

10

5

- 16. The process of claim 14 wherein the disulfide compound of the first monolayer forming moiety is asymmetric and has one Michael acceptor.
- 17. The process of claim 16 wherein the asymmetric disulfide compound has a formula:

wherein

R<sub>1</sub> is hydrogen or an electron withdrawing group,

R<sub>2</sub> is a saturated or unsaturated, substituted or unsubstituted hydrocarbyl,

20 R<sub>3</sub> is a saturated or unsaturated, substituted or unsubstituted hydrocarbyl, and

W is a hydrophilic or hydrophobic substituent.

- 18. The process of claim 17 wherein R<sub>2</sub> and R<sub>3</sub> each are linear and formed of a first alkyl segment bonded to a sulfur atom and a second segment selected from the group consisting of polyalkoxy, polyperfluoroalkyl, poly(vinyl alcohol) and polypropylene sulfoxide bonded to the alkyl segment.
- 19. The process of claim 18 wherein the second segment is polyalkoxy.
- 20. The process of claim 17 wherein R<sub>2</sub> is of the formula: --(CH<sub>2</sub>)<sub>m</sub>(O(CH<sub>2</sub>)<sub>n</sub>)<sub>o</sub>-NHC(O)-(CH<sub>2</sub>)<sub>p</sub> and wherein m is a number from 10 to 24, n is 2, o is
  a number from 1 to 10 and p is a number from 1 to 16.
  - 21. The process of claim 17 wherein R<sub>3</sub> is of the formula: -(CH<sub>2</sub>)<sub>i</sub>-((CH<sub>2</sub>)<sub>j</sub>-
- 15 O)<sub>k</sub>-, wherein i is a number from 10 to 24, j is 2, and k is a number from 1 to 10.
  - 22. The process of claim 17 wherein W is selected from the group consisting of hydroxyl, sulfonate, hydroxy substituted C<sub>1</sub>-C<sub>4</sub> alkyl and methyl.
- 20 23. The process of claim 14 wherein the inert group is a hydrophilic group that resists non-specific adsorption of a biomolecule.

24. A process for making an article having a coinage metal surface region and a mixed self assembled monolayer of thiolate,

the process comprising a contacting step of contacting the coinage metal surface with a solution comprising a mixture of a first monolayer forming disulfide moiety bearing a covalent bond forming reactive group, and a second monolayer forming disulfide moiety bearing an inert group, the mixture in an inert solvent,

wherein the solution comprises the first and second monolayer forming disulfide moieties in a predetermined ratio of the first monolayer forming disulfide moiety to the second monolayer forming disulfide moiety,

wherein the contacting step forms a mixed self-assembled monolayer of thiolates on the surface region, wherein the first monolayer forming disulfide moiety reacts with the coinage metal surface region to form a first monolayer thiolate moiety bearing the covalent bond forming reactive group, and the second monolayer forming disulfide moiety reacts with the coinage metal surface region to form a second monolayer thiolate moiety bearing the inert group,

wherein the predetermined ratio of the first and second monolayer forming disulfide moieties in the solution determines the ratio of the first and second monolayer thiolate moieties on the coinage metal surface region.

20

15

5

10.

25. A process for immobilizing a functional organic molecule in a predetermined density on a mixed monolayer surface, the mixed monolayer

surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group,

wherein the first monolayer moiety is present in a predetermined density in the mixed monolayer surface,

5

10

20

the process comprising the step of contacting the mixed monolayer surface with the functional organic molecule, wherein the contacting step forms a covalent bond between the functional organic molecule and the covalent bond forming reactive group of the first monolayer moiety to immobilize the functional organic molecule, and

wherein the density of the immobilized functional organic molecule is determined by the density of the first monolayer moiety in the mixed monolayer surface.

A process for immobilizing a functional organic molecule in a
 predetermined density on a mixed monolayer surface, the mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group,

wherein the first monolayer moiety is present in a predetermined density in the mixed monolayer surface,

the process comprising the step of contacting the mixed monolayer surface with the functional organic molecule,

wherein the contacting step forms a covalent bond between the functional

organic molecule and the covalent bond forming reactive group of the first monolayer moiety to immobilize the functional organic molecule, and

wherein the density of the immobilized functional organic molecule is determined by the density of the first monolayer moiety in the mixed monolayer surface, and

wherein the covalent bond formation does not require an enzymatic reaction.

5

- 27. The process of claim 25 wherein the mixed monolayer surface is a self-10 assembled monolayer.
  - 28. The process of claim 25 wherein the covalent bond forming reactive group of the first monolayer moiety is a Michael acceptor.
- 15 29. The process of claim 28 wherein the Michael acceptor is selected from the group consisting of quinone, maleimide, α-β unsaturated ketone, α-β unsaturated amide and α-β unsaturated ester.
  - 30. The process of claim 29 wherein the Micheal acceptor is a maleimide.
  - 31. The process of claim 30 wherein the maleimide is a maleimide radical having a formula:

wherein R<sub>1</sub> is hydrogen or an electron withdrawing group.

32. The process of claim 31 wherein  $R_1$  is an electron withdrawing group.

- 33. The process of claim 32 wherein the electron withdrawing group is a carboxylic acid derivative selected from the group consisting of carboxylic acid, ester, amide, carbamate, nitrile, acyl halide and imidazolide.
- 10 34. The process of claim 25 wherein the inert group of the second monolayer moiety resists non-specific absorption of a biomolecule.
  - 35. The process of claim 34 wherein the inert group is polyethylene glycol.
- 15 36. The process of claim 28 wherein the functional organic molecule is functionalized with a thiol group and the contacting step comprises a Michael addition reaction of the thiol group of the functional organic molecule to the Michael acceptor.
- 20 37. The process of claim 28 wherein the functional organic molecule is

functionalized with conjugated carbon-carbon double bonds and wherein the contacting step comprises a cycloaddition reaction between the Michael acceptor and the conjugated carbon-carbon double bonds.

5 38. The process of claim 25 wherein the functional organic molecule is selected from the group consisting of oligopeptides, peptides, polypeptides, oligonucleotides, oligonucleosides, carbohydrates, proteins, nucleosides, nucleotides, enzymes, enzyme substrates, ligands, receptors, antibodies, antigens, lipids, and small molecules.

10

- 39. The process of claim 38 wherein the functional organic molecule is a carbohydrate.
- 40. The process of claim 39 wherein the carbohydrate comprises a reducing end, the reducing end comprising a peracteylated sugar having an n-pentenyl group.
  - 41. The process of claim 40 further comprising derivatizing the carbohydrate to convert the peracteylated sugar having an n-pentenyl group on the reducing end, to a thiolacetate derivative sugar.
  - 42. The process of claim 41 wherein the thiolacteylated derivative sugar is

saponified under oxygen free conditions to yield after a neutralization step, a deprotected carbohydrate containing a thiol group at the reducing end.

43. A process for immobilizing a protein in a predetermined density on a
 5 mixed monolayer surface, wherein the protein is a fusion protein comprising a reactive group and a protein,

the process comprising the step of contacting the mixed monolayer surface with a bifunctional affinity tag and the fusion protein,

the mixed monolayer surface comprising a first monolayer moiety having

10 a covalent bond forming reactive group and a second monolayer moiety having an
inert group,

wherein the first monolayer moiety is present in a predetermined density in the mixed monolayer surface,

wherein the bifunctional affinity tag comprises a first reactive group and a second reactive group, the first reactive group comprising a covalent bond forming reaction partner to react with the covalent bond forming reactive group of the first monolayer moiety, and the second reactive group comprises a reaction partner to react with the reactive group of the fusion protein,

15

20

wherein the contacting step forms a covalent bond between the first reactive group of the bifunctional affinity tag and the covalent bond forming reactive group of the first monolayer moiety to immobilize the bifunctional affinity tag, and

wherein the contacting step forms an association between the second reactive group of the bifunctional affinity tag and the reactive group of the fusion protein to immobilize the fusion protein, and

wherein the density of the immobilized fusion protein is determined by

the density of the first monolayer moiety in the mixed monolayer surface.

- 44. The process of claim 43 wherein the mixed monolayer surface is a self-assembled monolayer.
- 10 45. The process of claim 43 wherein the reactive group of the fusion protein comprises a histidine, and wherein the second reactive group of the bifunctional affinity tag is a metal ion, and wherein the association is an ionic association.
- 46. The process of claim 43 wherein the reactive group of the fusion protein is an antibody, and wherein the second reactive group of the bifunctional affinity tag is an antigen target of the antibody, and wherein the association is an antibody-antigen association.
- 47. The process of claim 43 wherein the reactive group of the fusion protein is
  20 a biotin molecule, and wherein the second reactive group of the bifunctional
  affinity tag is an avidin or streptavidin.

48. A process for immobilizing a protein in a predetermined density on a mixed monolayer surface, wherein the protein is a fusion protein comprising a covalent bond forming reactive group and a protein,

the process comprising the step of contacting the mixed monolayer surface with a bifunctional affinity tag and the fusion protein, .

5

15

20

the mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group,

wherein the first monolayer moiety is present in a predetermined density

in the mixed monolayer surface,

wherein the bifunctional affinity tag comprises a first reactive group and a second reactive group, the first reactive group comprising a covalent bond forming reaction partner to react with the covalent bond forming reactive group of the first monolayer moiety, and the second reactive group comprises a reaction partner to react with the reactive group of the fusion protein,

wherein the contacting step forms a covalent bond between the first reactive group of the bifunctional affinity tag and the covalent bond forming reactive group of the first monolayer moiety to immobilize the bifunctional affinity tag, and

wherein the contacting step forms a covalent bond between the second reactive group of the bifunctional affinity tag and the reactive group of the fusion protein to covalently immobilize the fusion protein, and

wherein the density of the immobilized fusion protein is determined by the density of the first monolayer moiety in the mixed monolayer surface.

- 49. The process of claim 48 wherein the wherein the covalent bond forming group of the fusion protein is cutenase, and wherein the first reactive group of the bifunctional affinity tag is a thiol, and wherein the second reactive group of the bifunctional affinity tag is paranitrophenolphosphate.
- 50. A process for immobilizing a functional organic molecule in apredetermined density on a mixed monolayer surface,

5

20

the mixed monolayer surface comprising a first monolayer moiety having a switchable covalent bond forming reactive group and a second monolayer moiety having an inert group,

wherein the switchable covalent bond forming reactive group has a

15 reactive state and an unreactive state, wherein an activating signal turns the

unreactive state to the active state to turn on the switchable covalent bond

forming reactive group, and wherein a quieting signal turns the reactive state to

the unreactive state to turn off the switchable covalent bond forming reactive

group,

the process comprising the step of contacting the mixed monolayer
surface with the functional organic molecule, wherein the contacting step
comprises providing the activating signal to turn on the switchable covalent bond

forming reactive group to allow a covalent bond to form between the covalent bond forming reactive group of the first monolayer moiety and the functional organic molecule to immobilize the functional organic molecule,

allowing the covalent bond formation to take place for a length of time, after the length of time, providing the quieting signal to turn off the switchable covalent bond forming reactive group,

the length of time determining the density of the immobilized functional organic molecule on the mixed monolayer surface.

- 10 51. The process of claim 50 wherein the covalent bond formation does not require an enzymatic reaction.
  - 52. The process of claim 50 wherein the mixed monolayer surface is a self-assembled monolayer.

15

- 53. The process of claim 50 wherein the covalent bond forming reactive group of the first monolayer moiety is a Michael acceptor.
- 54. The process of claim 53 wherein the Michael acceptor is selected from the
   20 group consisting of quinone, maleimide, α-β unsaturated ketone, α-β unsaturated
   amide and α-β unsaturated ester.

55. The process of claim 54 wherein the Micheal acceptor is a maleimide.

56. The process of claim 55 wherein the maleimide is a maleimide radical having a formula:

5

wherein R<sub>1</sub> is hydrogen or an electron withdrawing group.

- 57. The process of claim 56 wherein  $R_1$  is an electron withdrawing group.
- 10 58. The process of claim 57 wherein the electron withdrawing group is a carboxylic acid derivative selected from the group consisting of carboxylic acid, ester, amide, carbamate, nitrile, acyl halide and imidazolide.
- 59. The process of claim 50 wherein the inert group of the second monolayer
   moiety resists non-specific protein absorption of a biomolecule.
  - 60. The process of claim 59 wherein the inert group is polyethylene glycol.
- 61. The process of claim 53 wherein the functional organic molecule is20 functionalized with a thiol group and the contacting step comprises a Michael

addition reaction of the thiol group of the functional organic molecule to the Michael acceptor.

- The process of claim 53 wherein the functional organic molecule is
   functionalized with conjugated carbon-carbon double bonds and wherein the
   contacting step comprises a cycloaddition reaction between the Michael acceptor
   and the conjugated carbon-carbon double bonds.
- 63. The process of claim 50 wherein the functional organic molecule is

  10 selected from the group consisting of oligopeptides, peptides, polypeptides,
  oligonucleotides, oligonucleosides, carbohydrates, proteins, nucleosides,
  nucleotides, enzymes, enzyme substrates, ligands, receptors, antibodies, antigens,
  lipids, and small molecules.
- 15 64. The process of claim 63 wherein the functional organic molecule is a carbohydrate.
  - 65. The process of claim 64 wherein the carbohydrate comprises a reducing end, the reducing end comprising a peracteylated sugar having an n-pentenyl group.

20

66. The process of claim 65 further comprising derivatizing the carbohydrate

to convert the peracteylated sugar having an n-pentenyl group on the reducing end, to a thiolacetate derivative sugar.

67. The process of claim 66 wherein the thiolacteylated derivative sugar is saponified under oxygen free conditions to yield after a neutralization step, a deprotected carbohydrate containing a thiol group at the reducing end.

5

20

- 68. The process of claim 50 wherein the activating and the quieting signals are selected from the group consisting of electrical impulses, changes in pH, and exposure to light.
  - 69. A process for measuring density of covalent bond forming reaction groups on a mixed monolayer surface,

the mixed monolayer surface comprising a first monolayer moiety

comprising an electrically active compound to provide a detectable signal and a covalent bond forming reactive group, and a second monolayer moiety having an inert group,

the process comprising measuring the detectable signal, and
correlating the measurement of the signal to the density of the first
monolayer moiety, and correlating the density of the first monolayer moiety to the
density of the covalent bond forming reaction groups.

70. The method of claim 69 wherein the electrically active compound is a biscyclopentadienyl metallocene having a cyclopentadienyl ring with a substituent that contains a thiol group.

- 5 71. The method of claim 70 wherein the electrically active compound is ferrocene-2-carboxylic acid (2-mercapto-ethyl)-amide.
  - 72. A process for measuring density of immobilized functional organic molecules on a mixed monolayer surface,
- the mixed monolayer surface comprising a first monolayer moiety

  comprising an electrically active compound to provide a detectable signal and a

  covalent bond forming reactive, and a second monolayer moiety having an inert

  group,

wherein the covalent bond forming reactive group reacts with the

functional organic molecule to form a covalent bond to immobilize the functional
organic molecule on the mixed monolayer surface,

the process comprising measuring the detectable signal, and correlating the measurement of the detectable signal to the density of the first monolayer moiety, and

correlating the density of the first monolayer moiety to the density of the immobilized functional organic molecules.

73. The method of claim 72 wherein the electrically active compound is a biscyclopentadienyl metallocene having a cyclopentadienyl ring with a substituent that contains a thiol group.

- 5 74. The method of claim 73 wherein the electrically active compound is ferrocene-2-carboxylic acid (2-mercapto-ethyl)-amide.
  - 75. The method of claim 69 wherein the measuring the detectable signal is by cyclic voltametry.

10

- 76. The method of claim 70 wherein the measuring the detachable signal is by cyclic voltametry.
- 77. A process for immobilizing a functional organic molecule on a mixed monolayer surface, comprising the step of contacting the mixed monolayer surface with the functional organic molecule,

the mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group,

wherein the contacting step forms a covalent bond between the functional organic molecule and the covalent bond forming reactive group of the first monolayer moiety to immobilize the functional organic molecule.

78. A process for immobilizing a functional organic molecule on a mixed monolayer surface comprising the step of contacting the mixed monolayer surface with the functional organic molecule,

the mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group,

5

10

20

wherein the contacting step forms a covalent bond between the functional organic molecule and the covalent bond forming reactive group of the first monolayer moiety to immobilize the functional organic molecule, and

wherein the covalent bond formation does not require an enzymatic reaction.

- 79. The process of claim 77 wherein the mixed monolayer surface comprises
   15 a predetermined ratio of the first monolayer moiety to the second monolayer moiety.
  - 80. The process of claim 77 wherein the mixed monolayer surface is a self-assembled monolayer.

81. The process of claim 77 wherein the covalent bond forming reactive group of the first monolayer moiety is a Michael acceptor.

WO 03/018854

82. The process of claim 81 wherein the Michael acceptor is selected from the group consisting of quinone, maleimide,  $\alpha$ - $\beta$  unsaturated ketone,  $\alpha$ - $\beta$  unsaturated amide and  $\alpha$ - $\beta$  unsaturated ester.

5

- 83. The process of claim 82 wherein the Micheal acceptor is a maleimide.
- 84. The process of claim 83 wherein the maleimide is a maleimide radical having a formula:

PCT/US02/27195

10

wherein R<sub>1</sub> is hydrogen or an electron withdrawing group.

- 85. The process of claim 84 wherein R<sub>1</sub> is an electron withdrawing group.
- 15 86. The process of claim 85 wherein the electron withdrawing group is a carboxylic acid derivative selected from the group consisting of carboxylic acid, ester, amide, carbamate, nitrile, acyl halide and imidazolide.
- 87. The process of claim 81 wherein the functional organic molecule is
  20 functionalized with a thiol group and the contacting step comprises a Michael

addition reaction of the thiol group of the functional organic molecule to the Michael acceptor.

- 88. The process of claim 81 wherein the functional organic molecule is
   5 functionalized with conjugated carbon-carbon double bonds and wherein the
   contacting step comprises a cycloaddition reaction between the Michael acceptor
   and the conjugated carbon-carbon double bonds.
- 89. The process of claim 77 wherein the functional organic molecule is

  10 selected from the group consisting of oligopeptides, peptides, polypeptides,
  oligonucleotides, oligonucleosides, carbohydrates, proteins, nucleosides,
  nucleotides, enzymes, enzyme substrates, ligands, receptors, antibodies, antigens,
  lipids, and small molecules.
- 15 90. The process of claim 89 wherein the functional organic molecule is a carbohydrate.
  - 91. The process of claim 90 wherein the carbohydrate comprises a reducing end, the reducing end comprising a peracteylated sugar having an n-pentenyl group.

20

92. The process of claim 91 further comprising derivatizing the carbohydrate

to convert the peracteylated sugar having an n-pentenyl group on the reducing end, to a thiolacetate derivative sugar.

- 93. The process of claim 92 wherein the thiolacteylated derivative sugar is saponified under oxygen free conditions to yield after a neutralization step, a deprotected carbohydrate containing a thiol group at the reducing end.
  - 94. The process of claim 77 wherein the inert group of the second monolayer moiety resists non-specific adsorption of a biomolecule.

10

5

- 95. The process of claim 94 wherein the inert group is polyethylene glycol.
- 96. A process for immobilizing a protein on a mixed monolayer surface wherein the protein is a fusion protein comprising a reactive group and a protein,
- the process comprising the step of contacting the mixed monolayer surface with a bifunctional affinity tag and the fusion protein,

the mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group,

wherein the bifunctional affinity tag comprises a first reactive group and a second reactive group, the first reactive group comprising a covalent bond forming reaction partner to react with the covalent bond forming reactive group

of the first monolayer moiety, and the second reactive group comprises a reaction partner to react with the reactive group of the fusion protein,

wherein the contacting step forms a covalent bond between the first reactive group of the bifunctional affinity tag and the covalent bond forming reactive group of the first monolayer moiety to immobilize the bifunctional affinity tag, and

wherein the contacting step forms an association between the second reactive group of the bifunctional affinity tag and the reactive group of the fusion protein to immobilize the fusion protein.

10

20

5

- 97. The process of claim 96 wherein the mixed monolayer surface comprises a predetermined ratio of the first monolayer moiety to the second monolayer moiety.
- 15 98. The process of claim 96 wherein the mixed monolayer surface is a self-assembled monolayer.
  - 99. The process of claim 96 wherein the reactive group of the fusion protein comprises a histidine, and wherein the second reactive group of the bifunctional affinity tag is a metal ion and the association is an ionic association.
  - 100. The process of claim 96 wherein the reactive group of the fusion protein is

an antibody, and wherein the second reactive group of the bifunctional affinity tag is an antigen target of the antibody, and wherein the association is an antibodyantigen association.

- 5 101. The process of claim 100 wherein the reactive group of the fusion protein is a biotin molecule, and wherein the second reactive group of the bifunctional affinity tag is an avidin or streptavidin.
- 102. A process for immobilizing a protein on a mixed monolayer surfacewherein the protein is a fusion protein comprising a covalent bond forming group and a protein,

the process comprising the step of contacting the mixed monolayer surface with a bifunctional affinity tag and the fusion protein,

15

20

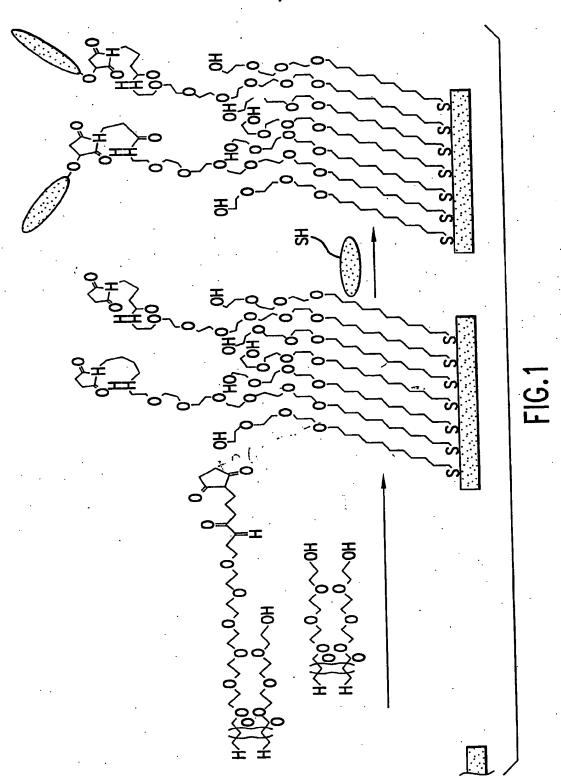
the mixed monolayer surface comprising a first monolayer moiety having a covalent bond forming reactive group and a second monolayer moiety having an inert group,

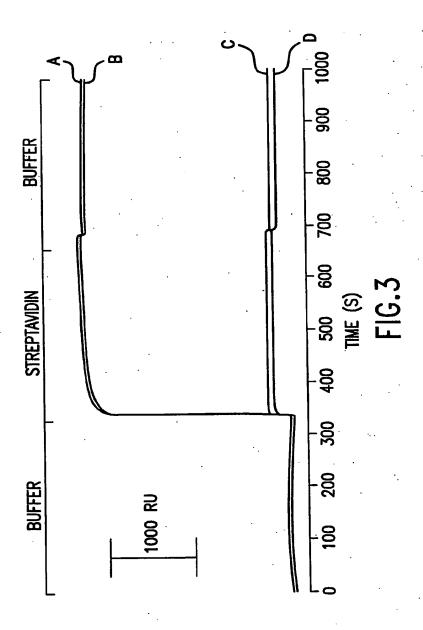
wherein the bifunctional affinity tag comprises a first reactive group and a second reactive group, the first reactive group comprising a covalent bond forming reaction partner to react with the covalent bond forming reactive group of the first monolayer moiety, and the second reactive group comprises a covalent bond forming reaction partner to react with the covalent bond forming group of the fusion protein,

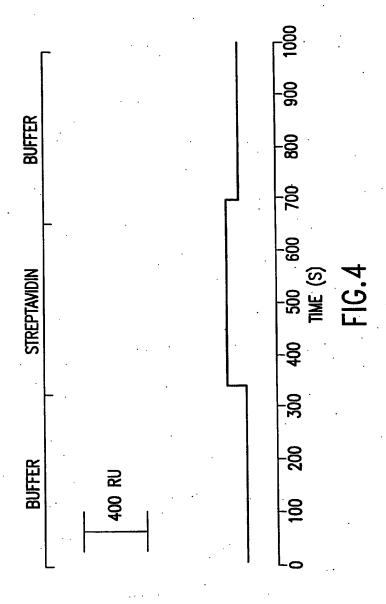
wherein the contacting step forms a covalent bond between the first reactive group of the bifunctional affinity tag and the covalent bond forming reactive group of the first monolayer moiety to immobilize the bifunctional affinity tag, and

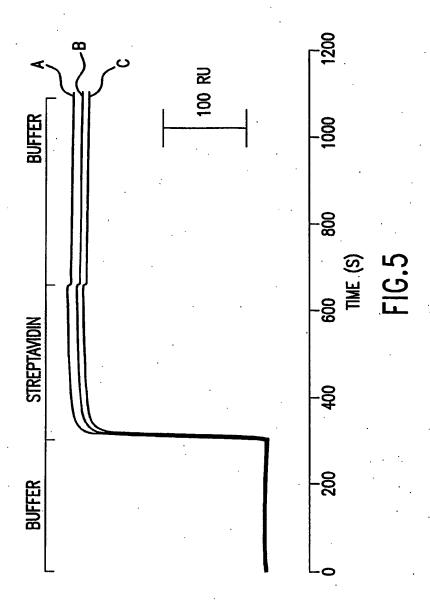
- wherein the contacting step forms a covalent bond between the second reactive group of the bifunctional affinity tag and the covalent bond forming group of the fusion protein to immobilize the fusion protein.
- 103. The process of claim 102 wherein the wherein the covalent bond forming
  10 group of the fusion protein is cutenase, and wherein the first reactive group of the
  bifunctional affinity tag is a thiol, and wherein the second reactive group of the
  bifunctional affinity tag is paranitrophenolphosphate.



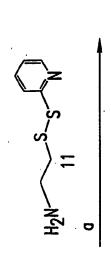


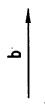






6/46





9.9

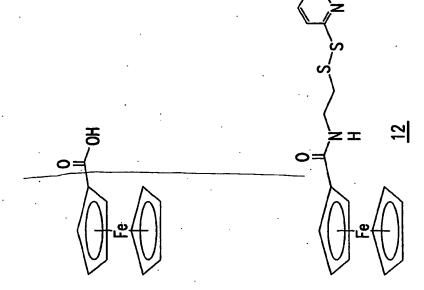
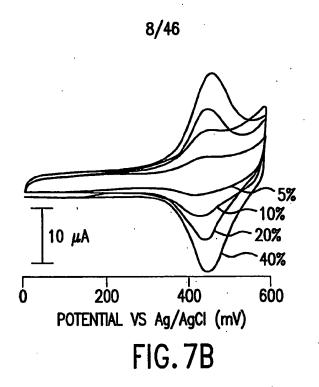
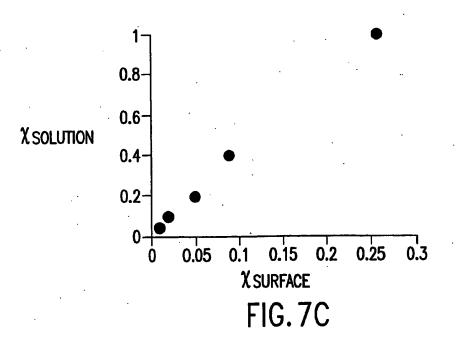
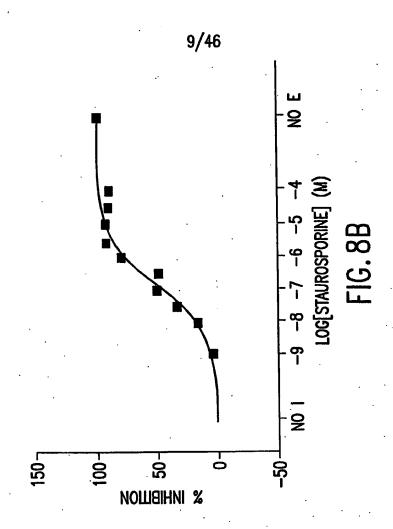
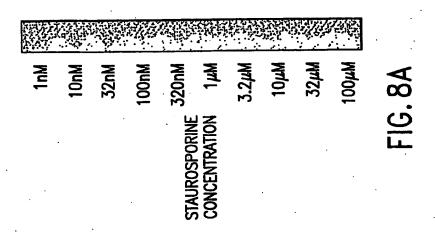


FIG. 7A











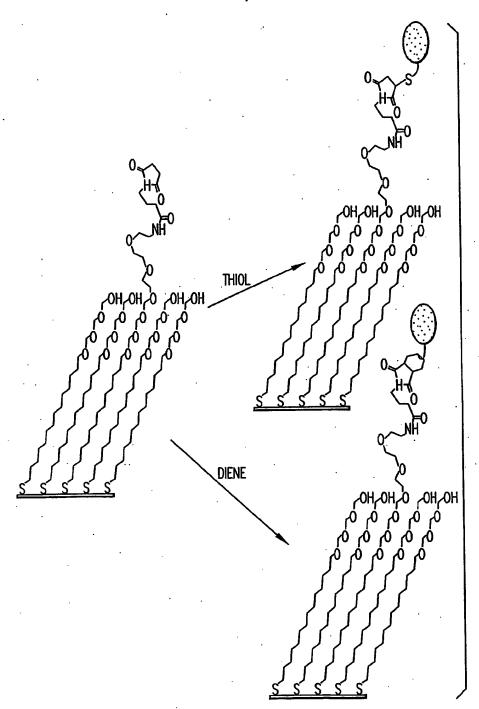
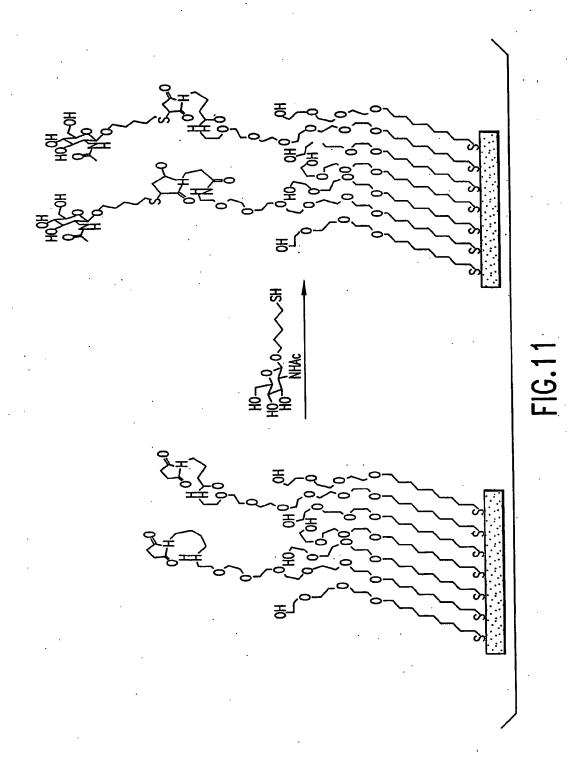


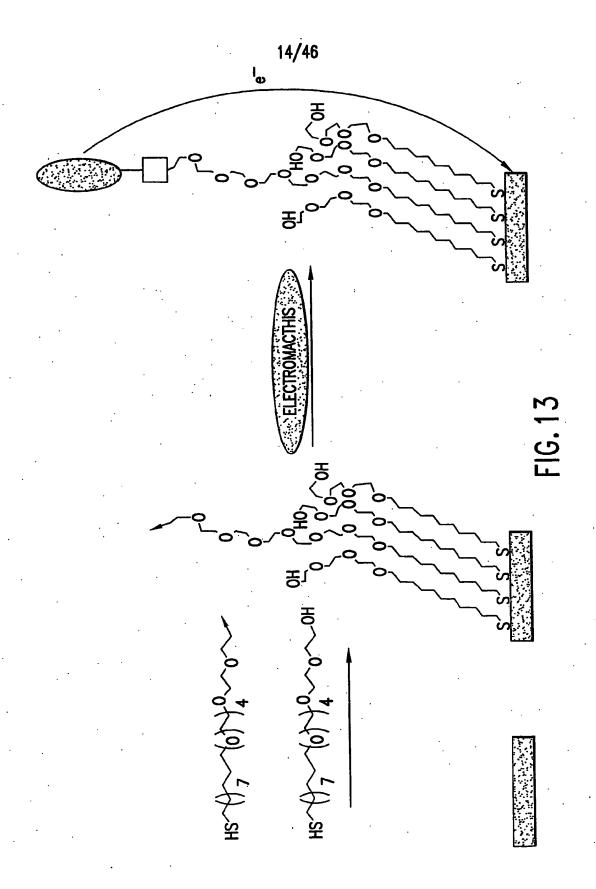
FIG.9

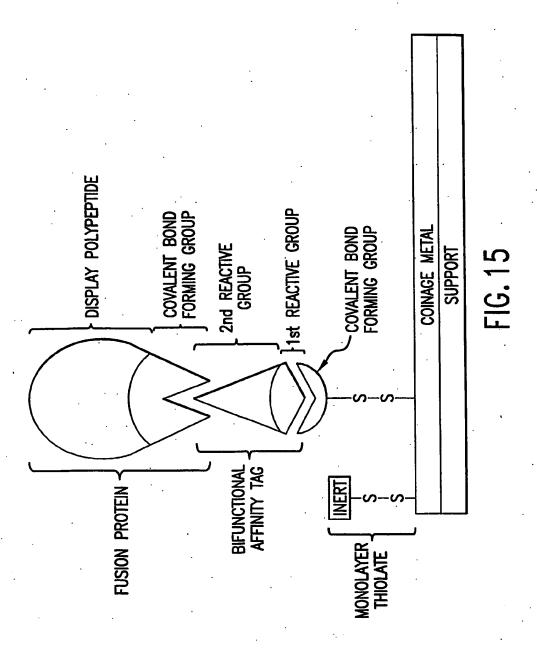
HO TO O

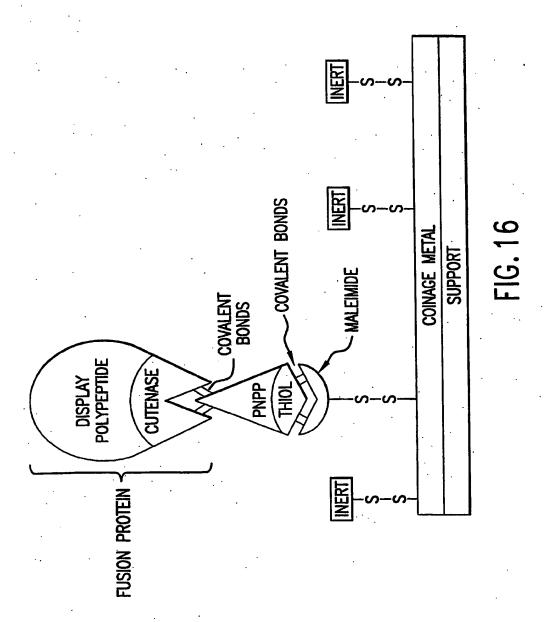
FIG. 10

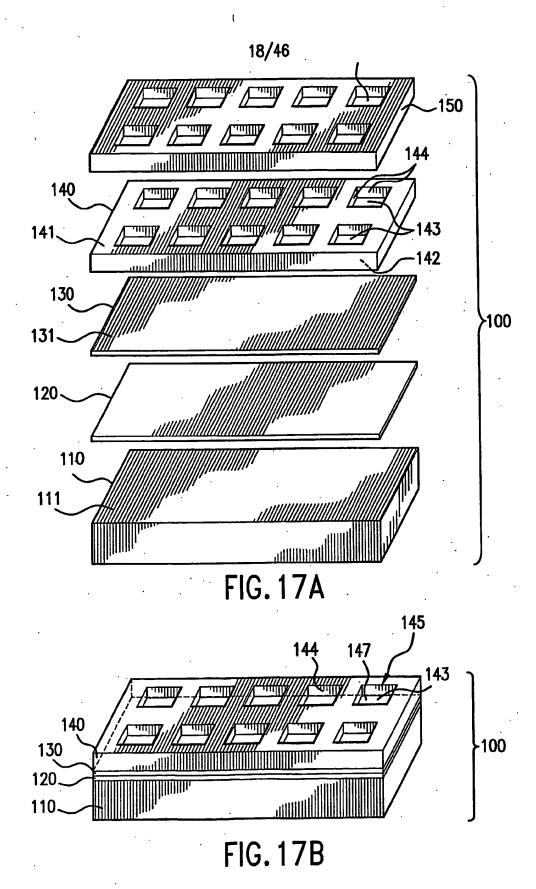


13/46 Fuc- $\alpha$ -(1->3)-GlcNAc- $\beta$ -OR NO SUBSTRATE (CONTROL) Fuc-a-OR Mn 096 ENZYME CONCENTRATION 9.6 nM 96 nM Mq 096 Mq 96









**SUBSTITUTE SHEET (RULE 26)** 

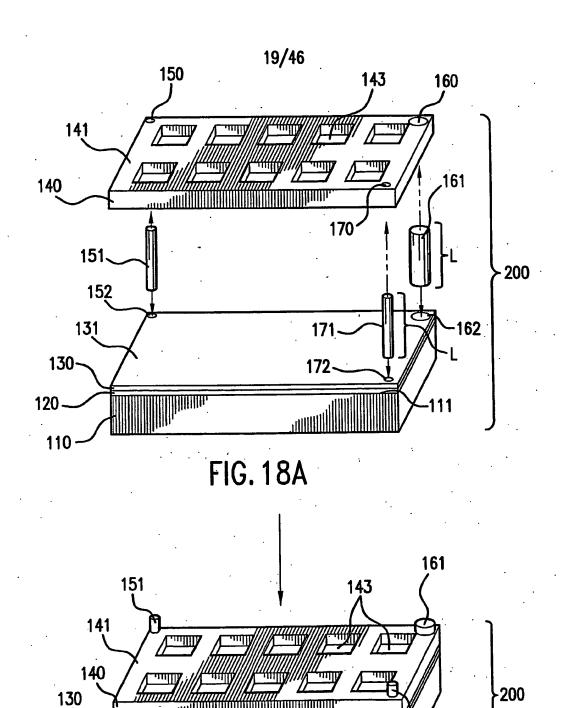
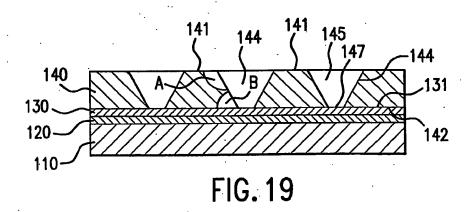
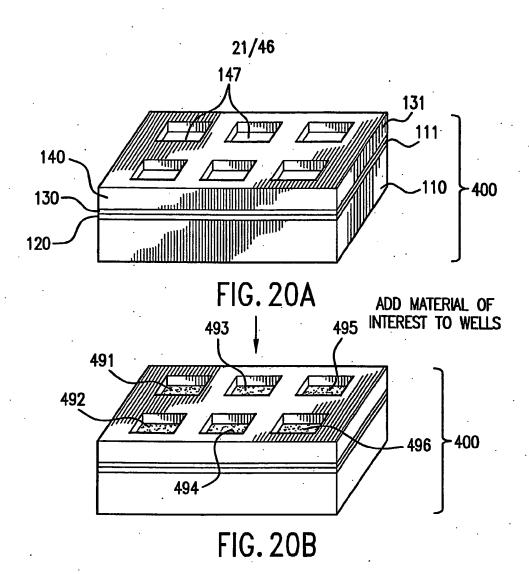


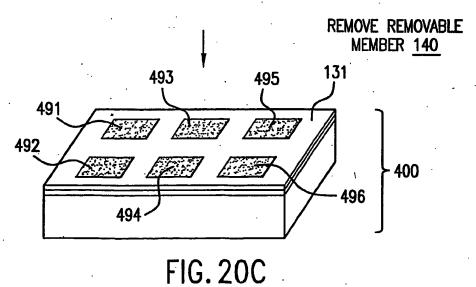
FIG. 18B

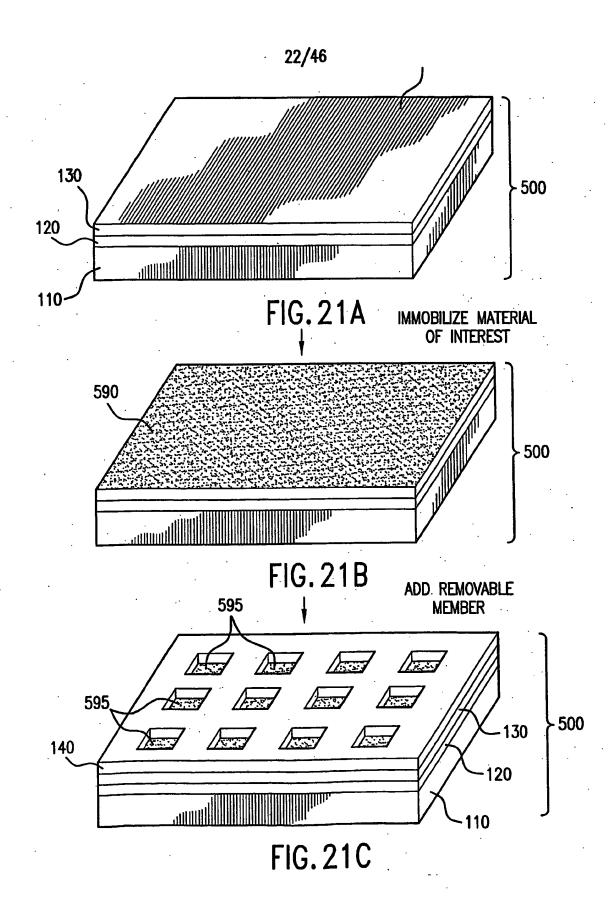
120.

110.

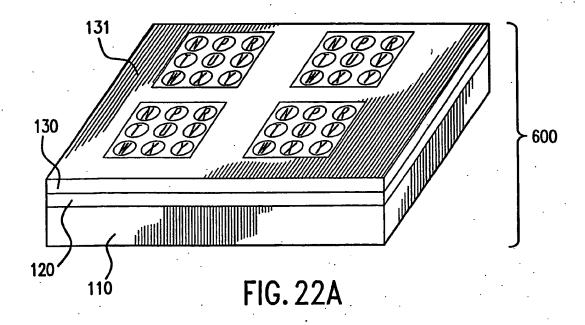


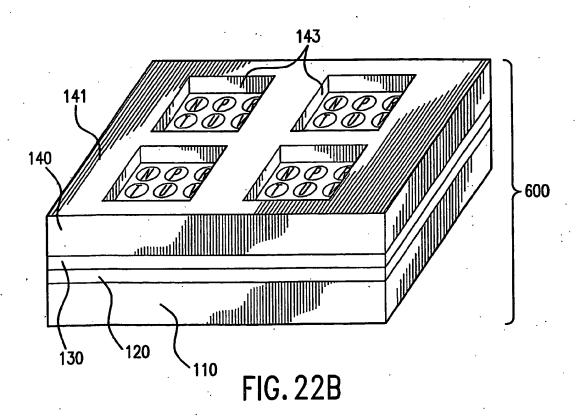


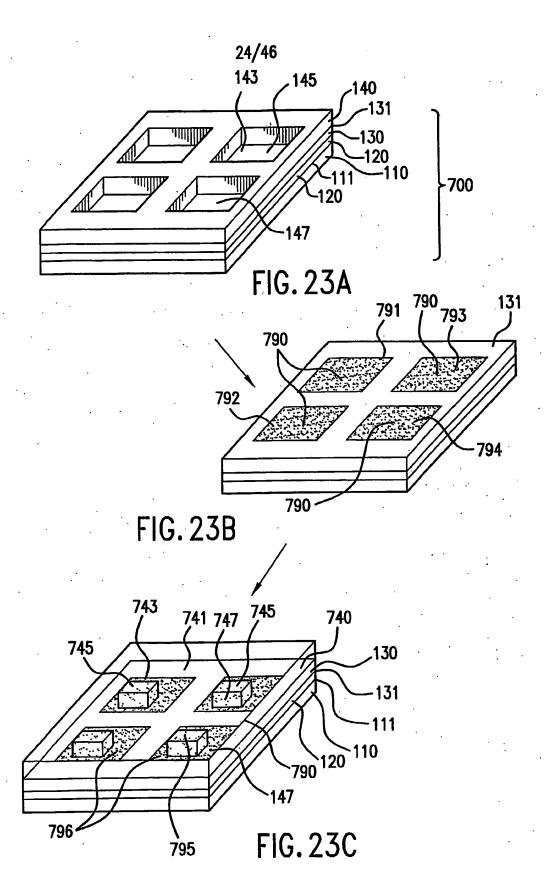




23/46







**SUBSTITUTE SHEET (RULE 26)** 

25/46 ...

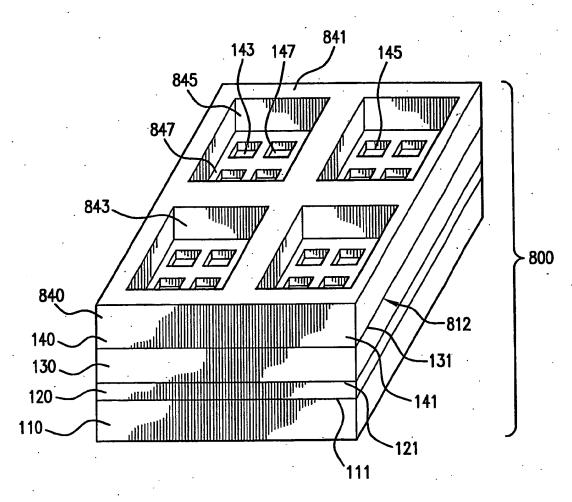


FIG. 24

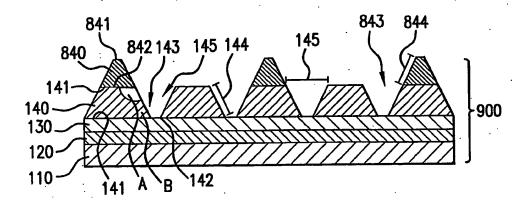


FIG. 25

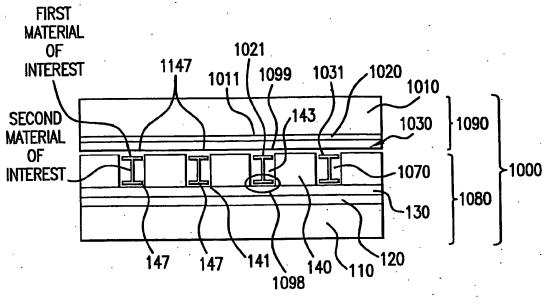
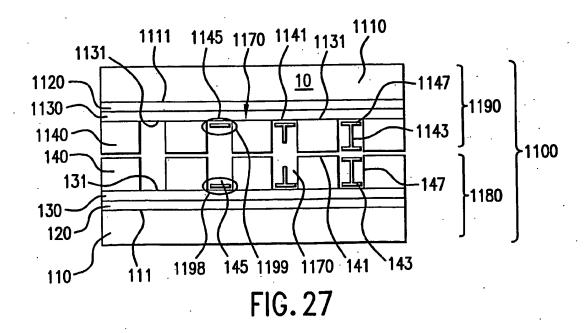
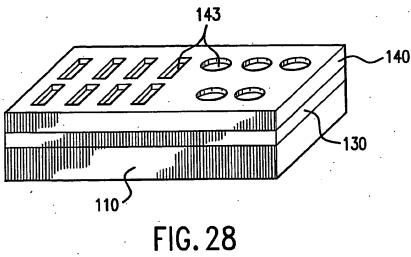
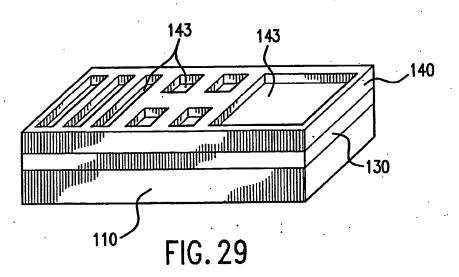


FIG. 26

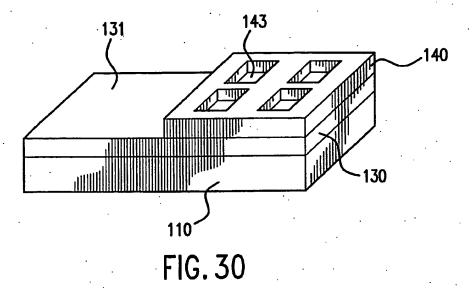


SUBSTITUTE SHEET (RULE 26)

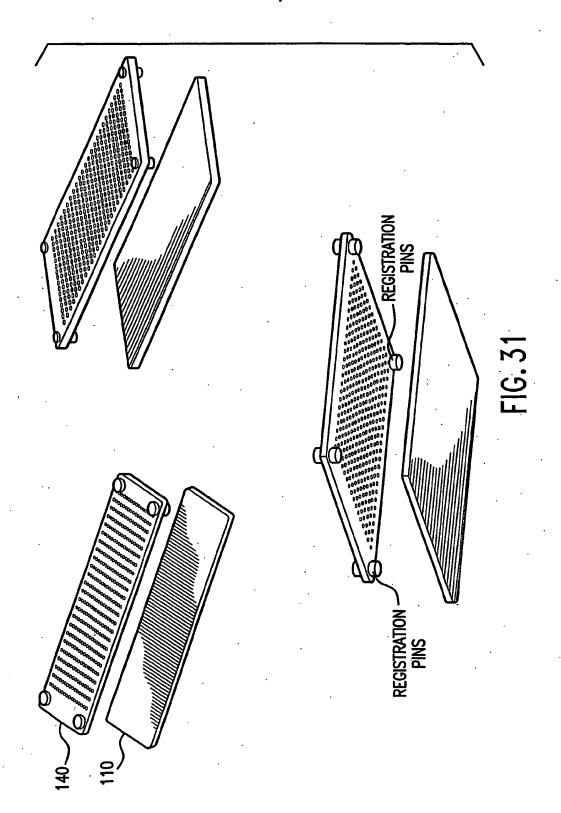


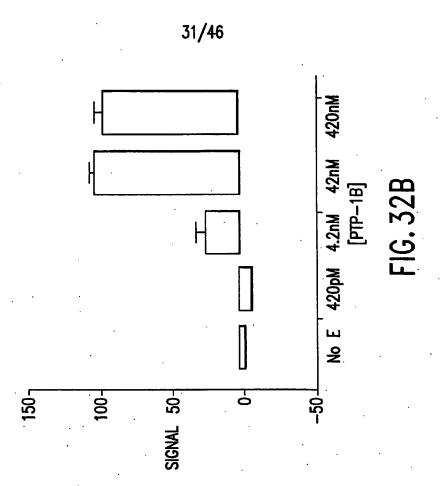


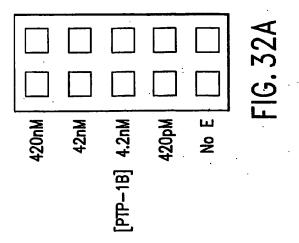
29/46



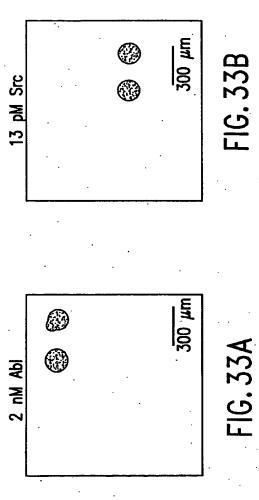
30/46

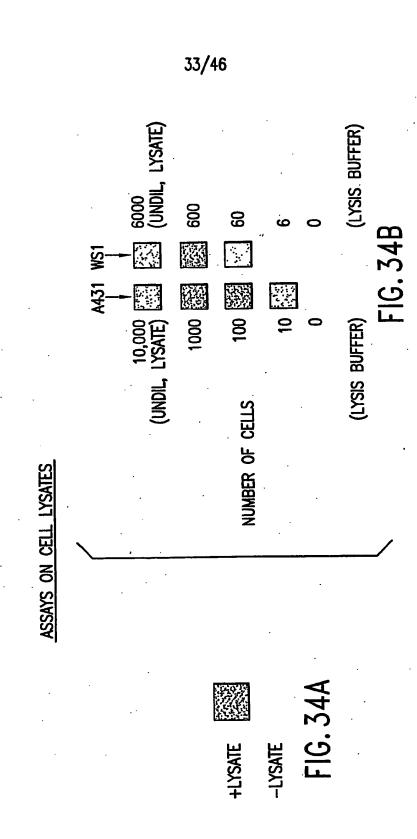


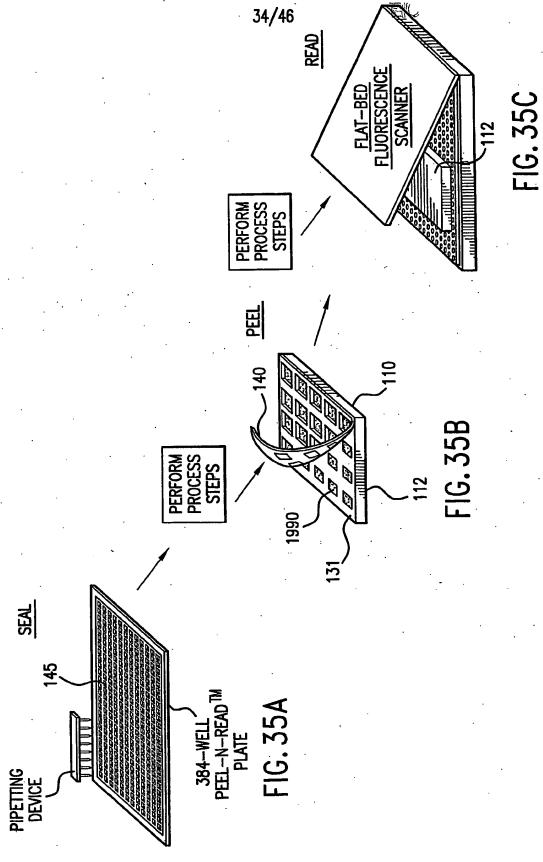




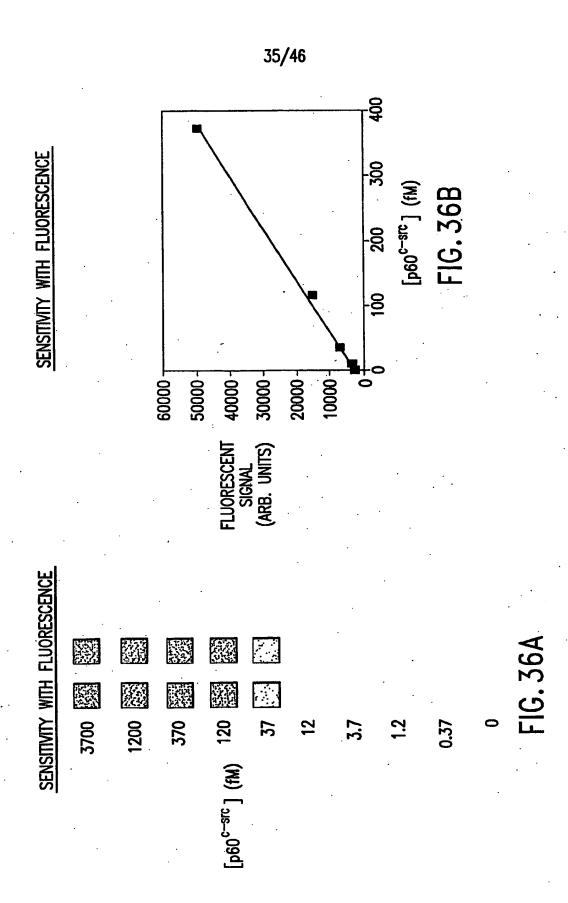
32/46



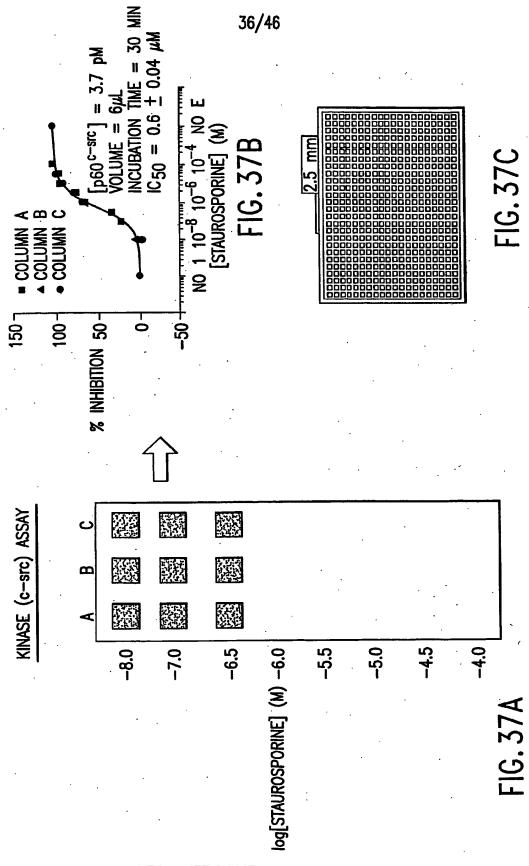




**SUBSTITUTE SHEET (RULE 26)** 



**SUBSTITUTE SHEET (RULE 26)** 



**SUBSTITUTE SHEET (RULE 26)** 

37/46 KINASE PANEL

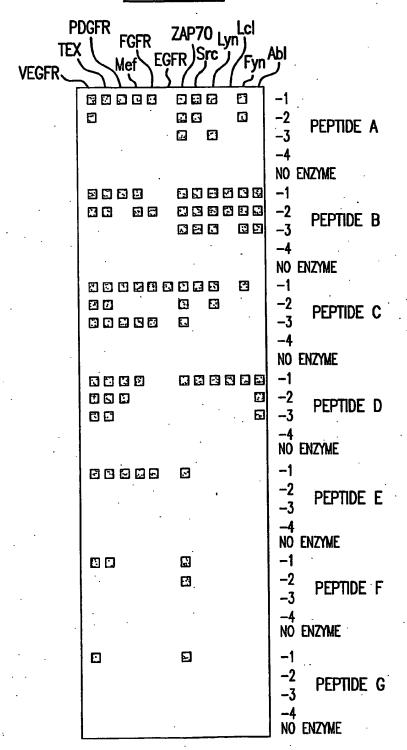
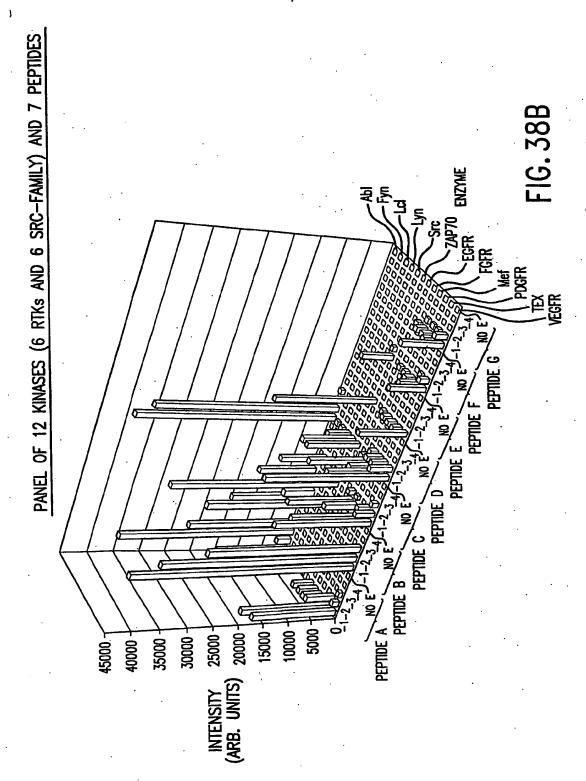


FIG. 38A





39/46

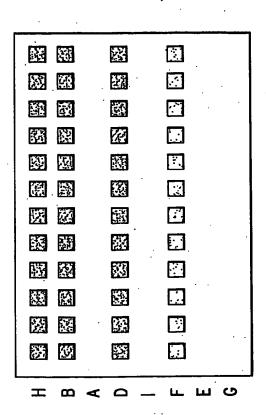
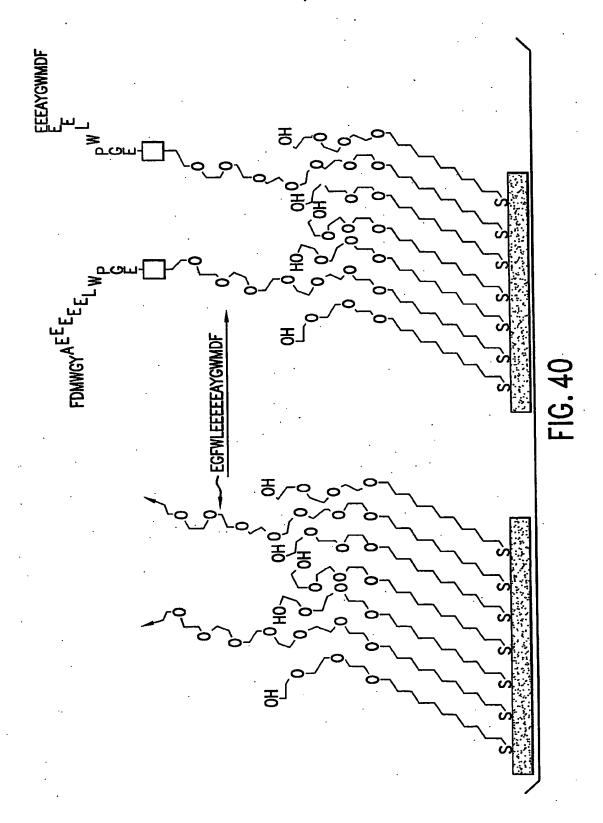
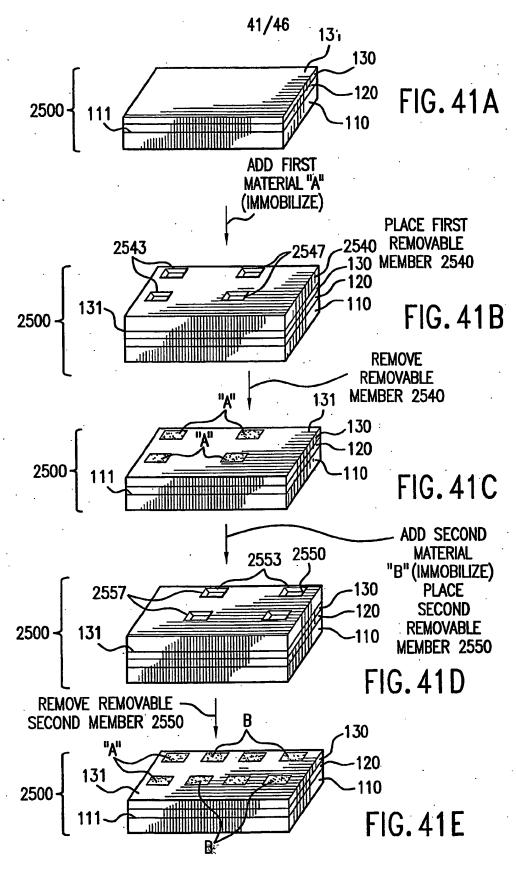


FIG. 39

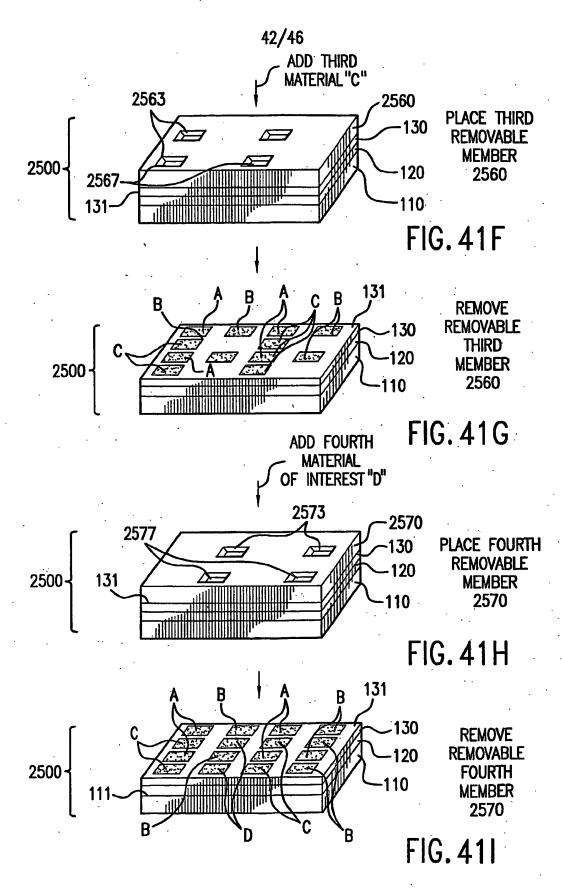




SUBSTITUTE SHEET (RULE 26)



**SUBSTITUTE SHEET (RULE 26)** 



**SUBSTITUTE SHEET (RULE 26)** 

43/46

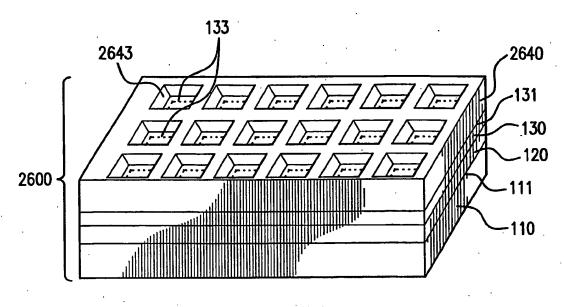
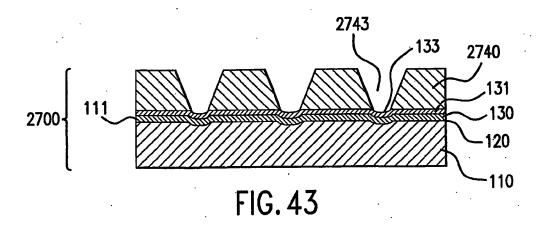
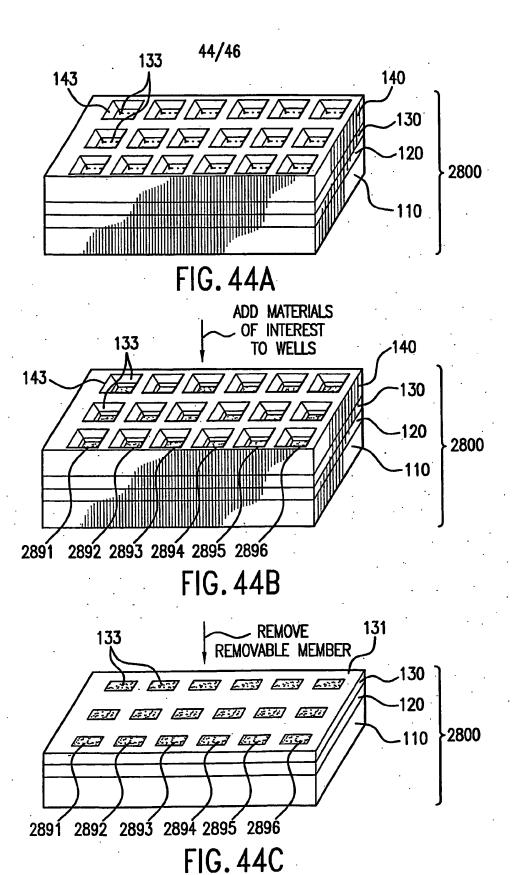


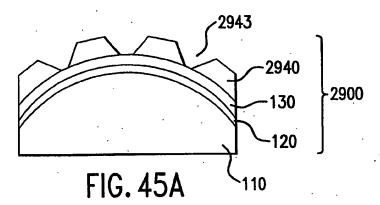
FIG. 42

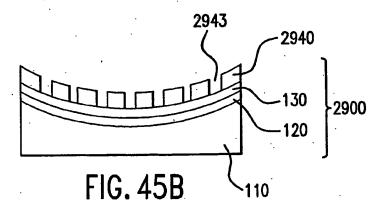




**SUBSTITUTE SHEET (RULE 26)** 

45/46





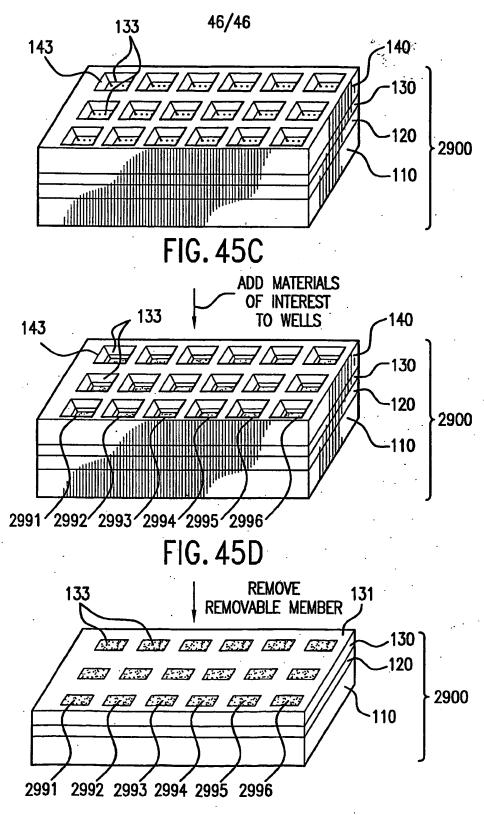


FIG. 45E

**SUBSTITUTE SHEET (RULE 26)** 

# (19) World Intellectual Property Organization

International Bureau



# 

(43) International Publication Date 6 March 2003 (06.03.2003)

### (10) International Publication Number WO 2003/018854 A3

- (51) International Patent Classification7: G01N 33/53, 33/567, C12N 11/02, 11/08, 11/06, C07K 17/02, 17/06, 17/08

(21) International Application Number:

PCT/US2002/027195

- (22) International Filing Date: 27 August 2002 (27.08.2002)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/315,261	27 August 2001 (27.08.2001)	US
60/315,544	28 August 2001 (28.08.2001)	US
60/358,412	15 February 2002 (15.02.2002)	US
60/356,765	15 February 2002 (15.02.2002)	US
60/357,136	19 February 2002 (19.02.2002)	US
60/375,023	20 February 2002 (20.02.2002)	US
60/380,259	26 April 2002 (26.04.2002)	US

- (71) Applicant: SURFACE LOGIX, INC. [US/US]; 50 Soldiers Field Place, Brighton, MA 02135 (US).
- (72) Inventors: HODNELAND, Christian; 790 Boylston St., #21 G, Boston, MA 02199 (US). CAMPBELL, Stewart; 4 Sheehan Circle, Framingham, MA 01701 (US). DUFFY, David; 18 Forest Street, Cambridge, MA 02140 (US). AGOSTO, Melina; 21 Ballard St. #1, Jamaica Plain, MA 02130 (US). WANG, Evelyn; 705 Graisbury Avenue, Haddonfield, NJ 08033 (US).

- (74) Agents: LAVENUE, Teresa et al.; Kenyon & Kenyon, 1500 K Street N.W. Suite 700, Washington, DC 20005 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (88) Date of publication of the international search report: 16 June 2005

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: IMMOBILIZATION OF BIOLOGICAL MOLECULES ONTO SURFACES COATED WITH MONOLAYERS

(57) Abstract: The present invention provides an article for immobilizing functional organic biomolecules through a covalent bond to a thiolate monolayer on a coinage metal surface. Also provided are methods for making the article and methods for the immobilization of functional organic biomolecules on the article. The thiolate monolayer contains two moieties, one having an inert group that is resistant to reacting with biomolecules and one having a covalent bond forming group that reacts with the functional organic biomolecule to covalently immobilize it on the monolayer.



## INTERNATIONAL SEARCH REPORT

International appli
PCT/I/S02/27195

		FC1/05022/193			
A. CLASSIFICATION OF SUBJECT MATTER					
IPC(7) : G01N 33/53, 33/567; C12N 11/02, 11/08, 11/06; C07K 17/02, 17/06, 17/08					
US CL : 435/7.2, 177, 180, 181, 530/402, 812, 815, 816					
According to International Patent Classification (IPC) or to both national classification and IPC					
B. FIEL	DS SEARCHED				
Minimum documentation searched (classification system followed by classification symbols)					
	35/7.2, 177, 180, 181; 530/402, 812, 815, 816	• ,			
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched					
,					
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)					
Electronic da	na oase consumed during the international search (nar	ne or data base and, where practicable, sear	en tennis asea)		
C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category *	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.		
Y	NELSON et al. Surface Characterization of Mixed S	Self-Assembled Monolayers Designed for	1-103		
	Streptavidin Immobilization. Langmuir. March 2001, Vol 17, No. 9, pages 2807-2816. see				
	entire document, especially page 2814, Figure 7.				
Y	LAHIRI et al. Biospecific Binding of Carbonic Anhydrase to Mixed SAMs Presenting				
	Benzenesulfonamide Ligands: A Model System for				
	Langmuir. August 1999, Vol 15, No. 21, pages 7186	5-7198, see entire document, especially			
	page 7187, Scheme 1.				
Y	YANG et al. Light-Activated Affinity Micropatterni		1-103		
Monolayers on Gold. Langmuir, December 2000, Vol		ol 16, No. 4, pages 1751-1758, see entire			
	document, especially page 1752, Figure 1.				
Y	SIGAL et al. A Self-Assembled Monolayer for the B	Sinding and Study of Histidine-Tagged	1-103		
	Proteins by Surface Plasmon Resonance. Analytical				
	3, pages 490-497, see entire document, especially pages	ige 433, Scheme 2.			
j		1	.		
ł			ļ		
	1				
	documents are listed in the continuation of Box C.	See patent family annex.			
* S <sub>1</sub>	pecial categories of cited documents:	"T" Inter document published after the internal and not in conflict with the application by			
	defining the general state of the art which is not considered to be of	and not in contlict with the applicancin of principle or theory underlying the inventi-			
particular i		• • • • • •			
"B" earlier app	lication or patent published on or after the international filing date	"X" document of particular relevance; the clair considered novel or cannot be considered	to involve an inventive step		
**		when the document is taken alone	•		
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as		"Y" document of particular relevance; the clair	med invention cannot be		
specified)	*	considered to involve an inventive step w	hen the document is combined		
"O" document reforming to an oral disclosure, use, exhibition or other means		with one or more other such documents, s to a person skilled in the art	uch combination being obvious		
	•				
*P" document published prior to the international filing date but later than the priority date claimed		"&" document member of the same patent far	uly		
Date of the ac	tual completion of the international search	Date of mailing of the international search	n report		
15 March 2005 (15.03.2005)		18 APR 20	1115 , I		
Name and mailing address of the ISA/US		Authorized officer			
Mail Stop PCT, Attn: ISA/US		Junes Steeling			
Commissioner of Patents		David M. Naff			
P.O. Box 1450 Alexandria, Virginia 22313-1450		Telephone No. 571-272-1600			
	(703) 873-9306		,		

Form PCT/ISA/210 (second sheet) (July 1998)